

>The Influence of Physical Changes in Communal Open Spaces on Performance Evaluation of Housing Schemes

Lay, Maria Cristina D.
Federal University of Rio Grande do Sul, Brazil;
Post-Doctoral Fellow at the Faculty of Architecture,
University of Sydney
Reis, Antônio T.
Federal University of Rio Grande do Sul, Brazil;
Post-Doctoral Fellow at the Faculty of Architecture,
University of Sydney

ABSTRACT

The study investigates the relationships between physical characteristics of communal open spaces in residential environments, types of outdoor appropriation, resident satisfaction with visual appearance of buildings and outdoor spaces, and their effects on community formation, level of maintenance and performance evaluation of housing schemes. Methodological procedures adopted in the post-occupancy evaluation of twelve housing schemes, comprised of four storey blocks of flats, terrace, detached, semi-detached and row houses, located in the metropolitan area of Porto Alegre, Brazil, included questionnaires, interviews, observations, physical measurements, and GIS. Results indicate that, despite dwelling type, physical attributes of the schemes investigated, such as spatial definition, territorial control, adequacy of spaces for child's play and for large-scale socialising and overall visual appearance, when satisfactory, positively affected residents' attitudes and motivations to improve the scheme through physical changes and maintenance, and consequently affected social and user-environment interaction. Accordingly, discontent with the spatial arrangements on the site, conflicting uses caused by the nature of the semi-private and semi-public spaces, poor visual appearance and maintenance, were some of the factors identified as adversely affecting residents' emotional attitudes toward the scheme and other residents, encouraging residents' motivations for dysfunctional behaviour, social conflict and further neglect. Variations on the effects of physical characteristics and type of appropriation of communal opens spaces were identified among the housing schemes formed by the different dwelling types.

INTRODUCTION

A body of research carried out since mid 60's (e.g. Gans, 1968; Coulson, 1980; Darke, 1984; Cooper Marcus & Sarkissian, 1986) indicates that most of the qualitative problems that affect performance of housing schemes are originated by inadequacy of design, inconsistent with the basic requirements needed to support and satisfy aesthetically and functionally user needs and values, caused, inter-alia, by the lack of information design professional and decision makers have about future residents. It is further argued that inadequate design limits performance and affects use opportunities in the built environment, further affecting environmental quality. As Gehl (1987) remarks, activities such as walking, standing, sitting, seeing, hearing, talking, playing or other community activities, which make outdoor residential environments particularly attractive and meaningful to be in, are also the most sensitive to the quality of the physical environment.

Performance evaluation of housing schemes implemented during the last decade in Brazil (e.g. Lay, 1992; Reis, 1992; Reis, 1996; Reis & Lay, 1996; Lay, 1996; Lay, 2001), show differences on environmental quality and possibilities of appropriation, with frequent neglect of communal open spaces, both in terms of physical and social performance. Results obtained from these investigations corroborate many studies in the literature which show site layout and visual appearance as major factors influencing spatial behaviour and overall satisfaction (e.g. DoE, 1972; Francescato, 1979).

Legibility of site layout, which results from how the site is organised and how the buildings and spaces are located and related to each other, affect the ways spaces are used (Lay, 1998). That is, despite spatial behaviour or user satisfaction cannot be determined by design, it can establish a number of physical and spatial qualities that can support or inhibit patterns of behaviour, consequently affecting the intensity of contact among residents.

influenced to a certain extent results of non-parametric statistical tests, concealing statistical significance or potential correlations in the two schemes.

The data analysis provided measures to assess how strongly user evaluation of environmental performance was related to types of appropriation of outdoor spaces and physical characteristics of the

areas deficiently provided with recreational public facilities, local shops and services. Although resident socio-economic characteristics were comparable in range, it was noted variance of household income among residents of each dwelling type, increasing from block of flats to terrace houses and detached/semi-detached/row houses.

Housing Schemes	Description	Time of occupation
BLOCK OF APARTMENTS		
LOUREIRO DA SILVA	416 units with two bedrooms, distributed in 26 blocks four storey high, with 16 apartments each (4 units per floor)	December/ 1985
ANGICO	96 units with two bedroom, distributed in 6 blocks four storey high, with 16 apartments each (4 units per floor)	January/1985
CAVALHADA	352 units with two bedroom, distributed in 9 blocks four storey high, with 12 apartments per floor	February/1984
	96 units with one bedroom, distributed in two blocks four storey high, with 48 apartments each (12 apartments per floor)	
SAPUCAIA DO SUL	1152 units with two bedroom, distributed in 36 blocks four storey high, with 32 apartments per floor (8 units per floor)	April/ 1981
GUAJUVIRAS – (sector A)	432 units with two bedroom, distributed in 27 blocks four storey high, with 16 apartments each (4 units per floor)	January/1987
	144 units with one bedroom, distributed in 12 blocks four storey high, with 12 apartments each (3 units per floor)	
TERRACE HOUSES		
JOÃO VEDANA	48 two bedroom terrace houses.	October/ 1986
VALE VERDE	151 two bedroom terrace houses.	March/ 1991
SANTO ALFREDO	40 two bedroom terrace houses.	April/ 1996
SÃO JORGE	52 two bedroom terrace houses.	April/ 1996
DETACHED/SEMI DETACHED/ROW HOUSES		
4ª U.V. RESTINGA	416 semi-detached houses with two and three bedroom	October/ 1980
GUAJUVIRAS (sector B)	236 detached houses with two and three bedroom	January/1987
COSTA E SILVA	550 detached and row houses with two and three bedroom	February/1981

Note: Guajuviras housing scheme is formed by 10 sectors, but only sectors A (blocks of apartments) and B (detached houses) are part of the sample.

Sampling selection

Table 1: Summary description of housing schemes

residential environment. The information gathered from physical measurements and behavioural maps were crossed with GIS spatial analysis procedures. The graphic descriptions obtained allowed visualisation and quantification of described spatial characteristics.

The selection of the sample was based on diagnostic explorations conducted in 32 housing schemes provided by governmental housing policy for low income groups located within the metropolitan area of Porto Alegre. The housing schemes selected are representative of the most frequently adopted dwelling types (blocks of flats up to four storey high, terrace, detached, semi-detached and row houses), with differences in size and site layout, comparable in time of completion/occupation and resident socio-economic characteristics, located in similar urban

RESULTS

The data generated enabled to examine how the physical environment supports or interferes with behaviours taking place in communal open spaces, especially the side effects the settings have on relationships between individuals or groups, which might affect level of maintenance and modifications introduced by residents in buildings and open spaces. In order to test the working hypothesis, the results focus on the relationship between physical characteristics of communal open spaces and types of outdoor appropriation, emphasising the impact (positive and negative) quality of open spaces has on community formation, and its effects on resident satisfaction with visual appearance and performance evaluation of housing schemes, as follows.

Physical characteristics and appropriation of communal open spaces

The process of appropriation of semi-private and semi-public open spaces was undertaken by two means: 1) by use, to perform recreational, social and functional activities; 2) by occupation with illegal or formal constructions. A short description of the main physical characteristics and site layout of each housing scheme highlight intensity of occupation and use of open spaces. It illustrates how type and intensity of appropriation affected and was affected by the physical characteristics of open spaces, as well as by the pre-existing (before physical modifications took place) and present relationships between outdoor

semi-public spaces were provided to all residents, including circulation system, recreation fields and parking lots. Private open spaces were not provided. Nonetheless, the lack of clear physical definition of spatial hierarchy in the schemes generated problems in the effective appropriation of communal open spaces. Residents tended to create new categories of social spaces that altered the relationships of the components of the settings, resulting in intensive invasion of communal open spaces by illegal private constructions (used for a variety of purposes, such as garage, space room, and small shop), abandoned spaces and conflicting uses. The consequences of occupation with illegal constructions can be summarised as follows:

- when semi-private spaces are invaded by constructions, the potentially secure, visible and accessible type of space where recreation of small children could take place is eliminated, and conditions and opportunities for social contact among residents decrease. Consequently, due to the lack of adequate space, socialising and recreation of small children tend to occur at the entrance to the buildings, adversely affecting privacy inside ground floor flats, provoking disagreements among residents. After open spaces are invaded, maintenance of

HOUSING SCHEME	Total Built area (sample)					
	Original built area		Existing built area		Increase in built area	
	m ²	%	m ²	%	m ²	%
Loureiro da Silva	5330,0	19,0	7616,7	27,2	2286,7	42,9
Guajuviras (sector a)	6774,7	13,37	15694,5	31,0	8919,7	131,7
Angico	1192,0	22,2	1631,7	23,3	439,7	36,9
Sapucaia	11613,5	18,2	21316,0	33,5	9702,5	83,5
Cavahada	4743,0	21,9	9758,7	45,1	5015,7	105,8
Santo Alfredo	728,0	31,1	1210,3	51,8	482,3	66,25
São Jorge	729,0	32,2	833,8	36,7	104,8	14,4
Vale Verde	3020,8	30,2	4458,0	44,6	1437,2	47,6
João Vedana	836,0	28,3	1075,0	36,4	239,0	28,6
Restinga	17426,1	12,3	50350,9	35,1	32924,8	188,9
Guajuviras (sector b)	8199,5	8,8	19519,8	21,0	11320,3	138,0
Costa e Silva	22331,3	15,7	53548,1	37,7	31216,8	139,8

note 1: calculations were made with GIS, considering the total sampling data.

note 2: the total built area refers to built area at the ground level only.

note 3: % figures refers to occupation rate of open spaces with built area.

Table 2: Increase in built area the total sampling data.

spaces and buildings in each scheme. Increase in built area occurred predominantly in communal open spaces without clear physical definition of spatial hierarchy and spaces originally provided for circulation and parking (in the case of blocks of flats and terrace houses), and in private open spaces (in the case of detached/semi-detached/row houses).

The table below shows the increase in built area and the occupation rate in each of the housing schemes investigated.

Blocks of Apartments

The five schemes composed of blocks of flats presented significant increase in occupation rates (from 45.1% in Cavahada, to 23.3% in Angico). Originally, site layout in each setting was characterised by buildings surrounded by communal open spaces. With some variations, groups of buildings were provided with ambiguous semi-private spaces, while

the remaining outdoor space and building is poor, while where illegal construction did not occur, use of semi-private spaces is intense, and level of maintenance of open spaces and buildings are satisfactory.

- when illegal constructions are made as an extension of ground level apartments, besides eliminating gathering spaces, the structure of the building can be jeopardised.
- when semi-public spaces are invaded by constructions, the consequences assume higher proportions, affecting security, visual appearance and the image of the scheme. It results in total or partial elimination of possibilities of recreational activities to all residents, creates physical barriers that affect circulation and reduce visibility, promoting occurrence of crime, and violence in general.



Recreation facilities - Vale Verde



Circulation route - Santo Alfredo



Circulation route - São Jorge

Figure 2: Examples of communal outdoor spaces in housing schemes with terrace houses.

separated by a circulation route 5-6 metres wide, shared by pedestrians and vehicles, with controlled entrance. The number of rows varies according to the shape of the plot, and the size of the scheme. The terrace houses usually have a minimal private open space at the back, and rarely have a small recess at the front, in order to preserve visual privacy inside the dwelling, which is a major concern among residents. Privacy is adversely affected by the intense traffic and activities performed in the narrow circulation routes, and by the excessive proximity between rows of dwellings. Communal open spaces are scarce, and are shared and maintained by all residents. When spaces for social gathering and recreation are provided, they are usually inadequate and insufficient. For this reason, the circulation routes and parking areas are also used for children recreation. Many residents avoid the use of communal open spaces, while others restrain themselves to sit in front of their dwellings.

Among the four schemes, Vale Verde is the largest, with the highest household income among the twelve schemes. Overall maintenance is very satisfactory, and reflects strong community organisation. Railings surround the scheme, and a private guard hired by the community controls entrance. The main increase in built area refers to occupation of private back yards and the construction of a gathering space at the back of the plot, near the playground area. Despite the level of control, this area is perceived as insecure, due to reduced visual access, and most recreation activities occur in the circulation routes, near the entrance to the dwellings.

With similar size and comparable layout, Santo Alfredo and São Jorge are close to each other, separated by a contention wall. The schemes consist of two double rows of terrace houses, separated by a 5 metres wide circulation route, and two entrance alleys at the back of each row, limited by contention walls that delimit the plots. Due to topographic characteristics of each site (site in Santo Alfredo in levelled, site in Sao Jorge is sloped, with steps), circulation routes in each scheme are used differently. In Santo Alfredo, the parking area is located at the back of the plot, and the route is simultaneously used by pedestrian and vehicles. In Sao Jorge, the parking area is located at the front of the plot, facing the street, so that the route is exclusively used by pedestrian. Considering these differences, one might infer that performance in Sao Jorge might be more satisfactory than in Santo Alfredo, as security for pedestrian is better in the former one. Nonetheless, as a considerable portion of land at the back of the plot in Sao Jorge is a too deep slope to be used, residents do not have enough space to have a playground area, or a social gathering place, as residents in Santo Alfredo do. Maintenance was more satisfactory in Santo Alfredo, and a variety of improvements were made in the site, which showed more intense appropriation of communal open spaces than in Sao Jorge.

The 28.6% increase in built area in João Vedana refers mainly to constructions of individual garages in the parking lot, equivalent to 1/3 of the ground built area of the dwellings, but still inadequate, considering the total number of residents with vehicles. The communal open spaces are reduced to the circulation route. Social gathering among adults is scarce. The remaining open space at the back of the plot is unusable and dangerous, with poor sanitation, original to the site. Residents in Joao Vedana do not have the

necessary economic resources to recuperate this peace of land, consequently, no action towards improving the scheme has been taken since its occupation.

Detached, semi-detached and row houses
The three schemes composed of detached, semi-detached and row houses presented the lowest original occupation rates (between 8% and 15%) among all schemes, however the increase in built area reached between 138% and 188,9%. All new built areas were constructed in private open spaces (front and back yards). Original plot occupation and aesthetics patterns were modified, with variations on types and intensity of intervention according to economic resources of households. In order to compensate the lack of small services and local shops in the area, many of the dwellings were modified in order to fulfil this need, being converted into mixed use dwellings.

Site layout is characterised by blocks subdivided into private lots, with streets (road and sidewalk) on all four sides. The limits between the scheme and the surrounding area are not physically demarcated. Hierarchy of open spaces inside the scheme is clearly defined, with private yards (private spaces), sidewalks (semi-private) and streets (semi-public). Semi-public

open spaces, with vegetation, playing field and recreation equipment, are frequently provided. Use of communal open spaces depends on various factors, such as perception of security, overall maintenance and adequacy of equipment provided. Despite clear spatial hierarchy definition, control is a major concern in this type of scheme. Perception of security was reflected in terms of fears for children's safety and fear of criminal activity from people living in the surrounding areas. When undesirable non-residents to the scheme use the recreation grounds, insecurity is perceived, and residents tend to avoid confrontation. Besides pedestrian circulation, sidewalks perform an important social role; therefore provision of adequate width, paving and vegetation is important. As they are located close to the dwellings, these are the spaces more frequently used by children and adults, leaving near by, strongly supporting community formation. In the schemes investigated, residents were not satisfied with width of sidewalks provided. Detached houses were originally provided with larger yards, more suitable for small children recreation and outdoor social gathering than semi-detached or row houses, who must rely on communal open spaces to perform such activities, when new built areas were inserted in the yards.

The two sectors investigated in Restinga (with semi-detached houses) and Costa e Silva (with detached and row houses), were provided with recreational communal spaces (two in each scheme) and centrally located in relation to a pre-determined number of dwellings. Maintenance of semi-public spaces was shared between the municipality and the resident association conceived in each scheme. In open spaces where insecurity was perceived, maintenance and use by residents declined. When spaces are controlled and security is satisfactory, maintenance and use are intense. Guajuviras (with detached houses) was not provided with recreational open spaces. Children and adults intensively use streets and front yards for all types of recreation and social gathering. The presence of vehicles is limited to residents circulation, and security is not adversely perceived in this scheme.



Recreation facilities - Restinga



Sidewalk and front yard- Guajuviras



Semi-public spaces - Costa e Silva

Figure 3: Example of communal open spaces in housing schemes with houses

Relationship between use and sense of community
The importance of adequate provision of communal open spaces in affecting positive performance evaluation and supporting community formation is confirmed through the identification of statistically significant relationships (Kruskal-Wallis and Spearman tests), which show that:

(residents who use communal open spaces tend to be more satisfied with the housing scheme than non-

the housing scheme and satisfaction with the living environment. Moreover, it was found that neglected appearance can affect resident self-esteem and promote social discrimination, affected by the resulting image of the scheme, as in Cavalhada. The consequences are further noted in the lack of motivation to preserve the housing scheme as a whole, including maintenance of communal open spaces, addition of improvements and maintenance of buildings (i.e. in Sao Jorge and Joao Vedana). On the other hand, positive evaluation of the housing scheme in Costa e Silva appears to be affected by the high level of satisfaction with appearance of the buildings and quantity of open spaces. The level of satisfaction with the scheme, appearance of buildings and open spaces and quantity of outdoor spaces available in each scheme are illustrated in the table below.

Level of satisfaction with building appearance vary significantly among the twelve schemes investigated (K-W, $\chi^2=90.7660$, sig.=.0000). In Costa e Silva, 75% of residents are satisfied with building appearance, while in Cavalhada and Sapucaia, with intense occupation of communal spaces by illegal construction and serious structural problems in the buildings, most residents are dissatisfied with building appearance. Moreover, satisfaction with appearance of communal open spaces are significantly different among the schemes (K-W, $\chi^2=67.6607$, sig.=.0000). While residents in Joao Vedana and Sao Jorge are the most dissatisfied, residents in Vale Verde and Sapucaia are the most satisfied with appearance of communal open spaces.

From the exploration of relationships between level of satisfaction with the scheme, open spaces and building appearance, correlations were confirmed. Correlation between satisfaction with building appearance and satisfaction with the scheme ($c=.4085$, sig.=.000) in the total sample, reveals the effects building appearance has on resident satisfaction with the scheme. Correlation between the two variables were also individually found in schemes formed by houses (Restinga, with $c=.3765$ sig.=.024) blocks of apartments (Loureiro da Silva with $c=.3681$, sig.=.013; Angico with $c=.5848$, sig.=.001) and terraces (João Vedana with $c=.5895$ sig.=.001; São Jorge with $c=.4859$, sig.=.030). Correlation was found between satisfaction with appearance of open spaces and satisfaction with the scheme ($c=.4085$ sig.=.000) in the total sample, confirming the effects of quality of open spaces on housing scheme evaluation. Correlation between the two variables were found in schemes composed of blocks of apartments (i.e. Loureiro da Silva $c=.3848$ sig.=.009; Cavalhada with $c=.4198$, sig.=.015;

Guajuviaras with $c=.5981$, sig.=.000). These results indicate that while building appearance is an important attribute in affecting satisfaction with the scheme, despite dwelling type, appearance of communal open spaces is more important in affecting evaluation of schemes formed by blocks of flats.

CONCLUSION

The results highlight the important role outdoor residential environments play on type and intensity of appropriation, community formation, overall visual appearance and resident evaluation of housing schemes formed by different dwelling types: physical attributes such as spatial definition, territorial control, adequacy of spaces for child's play and for large-scale socialising, etc., when satisfactory, positively affected residents' attitudes. These positive attitudes had an effect on resident motivation to improve the scheme through physical changes and maintenance, and consequently affected social interaction among residents, which were further motivated to increase social and user-environment interaction. On the other hand, discontent with the spatial arrangements on the site and conflicting uses caused by the nature of semi-private and semi-public spaces and the sort of changes introduced, were identified as adversely affecting residents' emotional attitudes toward the scheme and other residents. These factors were assumed to encourage residents' motivations for dysfunctional behaviour, social conflict and further neglect. Moreover, it was confirmed that when ambiguous spaces exist, residents tend to modify physical definition of spaces, adversely affecting security, environmental comfort, overall visual appearance, legibility and orientation, self-esteem, relationships among residents and sense of place, all considered necessary conditions for adequate performance of residential environments.

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