# AIA Guide to Building Life Cycle Assessment in Practice

# **Authorship and Acknowledgements**

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### **List of Abbreviations**

AAP Aquatic Acidification Potential

AP <u>Acidification Potential</u>

ASHRAE American Society of Heating, Refrigerating and Air-Conditioning Engineers

**BEES®** Building for Environmental and Economic Sustainability

BREEAM Building Research Establishment Environmental Assessment Method

CMU Concrete Masonry Unit

DPWS Department of Public Works and Services

Economic Input Output – Life Cycle Assessment

**EP** <u>Eutrophication Potential</u>

EPA Environmental Protection Agency
EPD Environmental Product Declarations

GBC Green Building Challenge
GBI Green Building Initiative
GWP Global Warming Potential

IGCC International Green Construction Code

ISO <u>International Organization for Standardization</u>

LCA <u>Life Cycle Assessment</u> LCC <u>Life Cycle Costing</u>

LCEA Life Cycle Energy Analysis
LCI Life Cycle Inventory

LCIA <u>Life Cycle Impact Assessment</u>
LCM Life Cycle Management

**LEED** Leadership in Energy and Environmental Design

NJMC New Jersey Meadowland Commission

**OD** Ozone Depletion

POCP Photochemical Smog Potential

SBTC Sustainable Building Technology Committee

**SETAC** Society of Environmental Toxicology and Chemistry

TRACI Tool for the Reduction and Assessment of Chemical and Other Environmental Impacts

UNEP United Nations Environment Programme
USGBC United States Green Building Council

# **Executive Summary: The Future of Building Life Cycle Assessment in Practice**

Currently the greatest incentive for the use of LCA in the design process is the ability of an architect to show to the client that the use of LCA will improve and demonstrate the "greenness" of the project and help significantly in increasing long-term paybacks by better decision-making

#### **Summary**

As the architectural and construction industries increasingly emphasize sustainability, more comprehensive methods are being developed to evaluate and reduce environmental impacts by buildings. Life Cycle Assessment (LCA) is emerging as one of the most functional assessment tools; however, presently there is a scarcity of clear guiding principles specifically directed towards the architectural profession in the use of building LCA during the design process. In this paper, we are providing those guidelines to help architects understand and use LCA methodology as part of the design process by identifying scenarios for the use of LCA in the design process and providing a set of proposed guidelines for the conductance of wholebuilding LCA. The scenarios were developed by an extensive literature review of previously completed whole-building LCA case studies, architect interviews, and an evaluation of a set of North American and international LCA tools for use in the proposed scenarios. Additionally, the study shows an example of wholebuilding LCA of an institutional facility being designed in Georgia.

In this paper, we established a basic understanding about LCA for the building industry—particularly architects, the utility of LCA, and proposed guidelines/suggestions for conducting LCA. The state of research was reviewed to find answers to present limitations of use of LCA in practice. We showed that LCA results help answer numerous questions that arise during the design and construction of a green building. It can reinforce the decisions made by architects by providing a scientific justification for those decisions. A number of whole building LCA tools are available for use by architects.

In the current state of LCA, the limitations must be recognized; however, it also needs to be recognized that with increasing use, research, and tools development these limitations will be resolved. One limitation is the scarcity of the financial incentives for LCA use at this time, although this is expected to change quickly as LEED and ASHRAE 189.1 become proponents of the use of LCA in the design process. Currently the greatest incentive for the use of LCA in the design process is the ability of an architect to show to the client

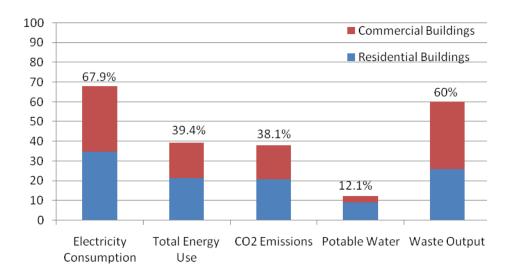
of the project and help significantly in increasing long-term paybacks by better decision making. A second limitation is the deficiencies in the databases completeness requiring the architect or LCA practitioner to be required to use multiple data sources and increasing uses of assumptions. This limitation is being reduced as the databases enlarge their information bases and as more and more easily used tools become available. The last major limitation is the lack of benchmarks established by government authorities, particularly in the US, that can be used for comparisons. This limitation also will be overcome as LCA becomes more commonly used and the benchmark data become more readily available.

We opine that with improvements in LCI databases and whole building LCA tool capabilities, design practitioners will have more faith in LCA results and be more inclined to conduct LCA analyses as larger numbers of case studies are conducted representing different building types to set benchmarks. Robust normalizing and weighting methods will be established as the tools are advanced. The establishment of attractive incentives in terms of tax incentives and other financial incentives, particularly in the US, will lead the path of integration of LCA in building design and promote its use by architects.

#### Introduction

Architects are increasingly interested in characterizing and reducing the environmental impacts of the buildings they design. Tools like energy modeling assist in predicting and, through good design, reducing the operational energy in buildings. LCA is a tool that allows architects and other building professionals to understand the energy use and other environmental impacts associated with all life cycle phases of the building: procurement, construction, operation, and decommissioning.

Today, state building codes and the model codes on which they are based are adopting modest improvements in energy-related design. A large segment of those decision makers procuring new buildings are choosing to follow elective green-building scorecard and branding schemes such as Energy-Star and LEED. The AIA and major US cities have embraced auspicious targets for reducing the environmental impact and climate change potential of the country's building stock—as embodied by the AIA 2030 Commitment.



The environmental impact of human actions is quite evident in the present-day world. EPA's statistical summary published in 2004 suggests that the building industry is a major contributor to this impact. EPA's analysis indicates the building industry's share in various resource consumption and environmental impact categories and their distribution amongst commercial and residential building sectors.

Though current efforts such as LEED and Energy-Star are laudable, they are incomplete. Scorecard approaches such as these do not fit well within design practice. The credits given within LEED do not provide design guidance or feedback on how well a given design decision is working. Rather, they provide a specific list of do's and don'ts to be applied during the design process. Architects seek methods to answer specific design questions—to help them understand the environmental impact of both the overall building and of particular design decisions.

The use of LCA for buildings requires a set of guiding principles, which consider the unique character of each building design, complexity in defining systems, and related decisions.

LCA is an emerging tool that promises to aid in architectural decision making. Industrial ecologists, chemists, and chemical engineers seeking to understand and reduce the impact of manufacturing and process chemistry developed LCA. Today, LCA is being promoted as a tool for analyzing the environmental impact of buildings and making decisions to reduce these impacts.

The output of an LCA can be thought of as a wide-ranging environmental footprint of a building—including aspects such as energy use, global warming potential, habitat destruction, resource depletion, and toxic emissions.

Currently there exists, however, significant confusion about LCA and

how it can be used in its current state, as was demonstrated by the architect interviews that we conducted as part of this study. The AIA has commissioned our document to aid practitioners in the understanding and adoption of the LCA methodology.

The use of LCA for buildings requires a set of guiding principles, which consider the unique character of each building design, complexity in defining systems, and related decisions.

LCA is relatively new to the building industry. As in any developing field, there is a great deal of confusion about LCA, which can inadvertently lead to misuse of LCA tools, techniques, and supporting data. Thus, there is a need for a clear working definition of LCA and related terminology to help build credibility for the methodology and make the building industry more receptive to this new way of evaluating their work.

# **Definitions and Aspects of Life Cycle Assessment**

The LCA process is governed under ISO 14000, the series of international standards addressing environmental management. According to International Standard ISO 14040, LCA is a "compilation and evaluation of the inputs, outputs and the potential environmental impacts of a product system throughout its life cycle."

The Code of Practice by the Society of Environmental Toxicology and Chemistry (SETAC) describes LCA as "a process to evaluate the environmental burdens associated with a product, process, or activity by identifying and quantifying energy and materials used and wastes released to the environment; to assess the impact of those energy and materials used and released to the environment; and to identify and evaluate opportunities to affect environmental improvements." The Environmental Protection Agency (EPA) refers to LCA as "a cradle-to-grave approach for assessing industrial systems that evaluates all stages of a product's life."

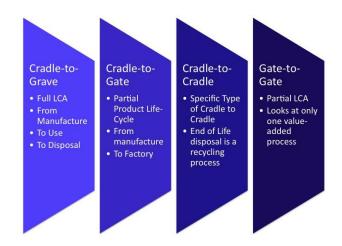
# Economic Input-Output Based LCA Method (EIO-LCA)

Estimates the materials and energy resources required for, and the environmental emissions resulting from, activities in our economy.

- Considers an entire sector of the economy – all activities of all industrial sectors.
- → Gives a more holistic view of the impact from a process or product.
- → Relies on sector-level averages that may or may not represent a subset of the sector relevant to a particular project
- → In terms of the building industry, is not an appropriate tool for determining whether specific actions are environmentally beneficial or harmful
- → Better suited to track overall aspect of one aspect in the entire construction industry as a whole (i.e. the use of fly ash in concrete)

#### **Variants of LCA**

The scope of LCA can extend to various stages and processes in a product's life. Depending on the purpose of conducting the LCA, one of two primary means for conducting the LCA can be considered. The two primary variants of LCA are process-based LCA and Economic Input-Output based LCA. Within each variant there exists a number of options to be considered. LCA methods implemented in the building construction industry are based primarily on process-based LCA.



<u>Types of Process-Based LCA Methods</u>: In a process-based LCA, the inputs (materials and energy resources) and the outputs (emissions and wastes to the environment) for each step required to produce a product. **LCA methods implemented in the building construction industry are based primarily on process-based LCA.** 

# **Life Cycle Stages**

Every product or process goes through various phases or stages in its life. Each stage is composed of a number of activities. For industrial products, these stages can be broadly defined as material acquisition, manufacturing, use and maintenance, and end-of-life. In case of buildings, these stages are more specifically delineated as: materials manufacturing, construction, use and maintenance, and end of life.

# **Life-Cycle Stages**



The Life-Cycle Stages of a building are:

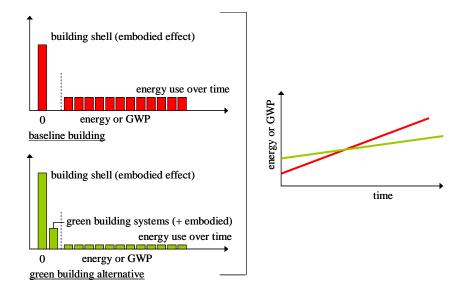
- → <u>Materials Manufacturing</u>: Removal of raw materials from earth, transportation of materials to the manufacturing locations, manufacture of finished or intermediate materials, building product fabrication, and packaging and distribution of building products
- → <u>Construction</u>: All activities relating to the actual building project construction
- → <u>Use and Maintenance</u>: Building operation including energy consumption, water usage, environmental waste generation, repair and replacement of building assemblies and systems, and transport and equipment use for repair and replacement
- → <u>End of Life</u>: Includes energy consumed and waste produced due to building demolition and disposal of materials to landfills, and transport of waste materials. Recycling and reuse activities related to demolition waste also can be included and have a "negative impact."

An LCA that includes the materials manufacturing and construction phase of the project is the primary means of computing the embodied energy in a building.

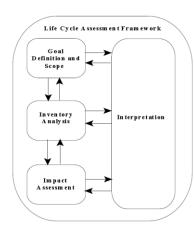
# **Embodied Energy, Operational Energy and LCA**

The output from an energy model, such as DOE2 or BLAST, is the projected energy use within a building as it operates over a typical meteorological year. This energy is considered the "operational energy" and is one component of the input needed to complete a building LCA.

The second major component of energy consumed by a building is the "embodied energy," which comes from the materials manufacturing and construction phases of the building project. The need to understand embodied energy becomes more important as measures to reduce operational energy are taken. For "net-zero buildings," the majority of the energy impacts will be embodied, as operational energy needs are increasingly met by on-site power generation. An LCA that includes the materials manufacturing and construction phase of the project is the primary means of computing the embodied energy in a building.



The embodied and operational energies of two building projects. The baseline building (in red) has the smallest embodied energy but uses more energy over time. The green building alternative includes additional embodied energy from systems like high-performance insulation and glazing, and photovoltaics. Over time, the energy embodied in the green build systems is "paid back", and the overall impact of the green building, embodied+operational, becomes less than that of the baseline building. If energy sources for building construction and operation are known, then energy use can be converted to carbon emissions, often denoted global warming potential or GWP.



#### **Step 1: Goal and Scope Definition**

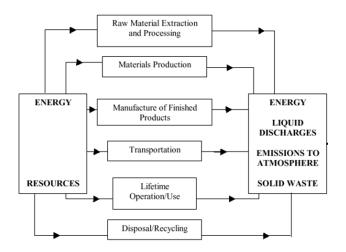
In this phase, the product(s) or service(s) to be assessed are defined, a functional unit is chosen, and the required level of detail is defined. The type of analysis, impact categories to be evaluated, and the set of data that needs to be collected are identified in this step.

#### **Step 2: Inventory Analysis**

In this step, the energy and raw materials used and the emissions to atmosphere, water, and soil are quantified for each step in the process, then combined in the process flow chart and related back to the functional unit—an inventory of all the inputs and outputs to and from the production system is prepared as part of the inventory analysis. Thus, products and processes can be compared and evaluated using Life Cycle Inventory (LCI) results. If the results of LCI are consistent, which means that a product performs well or poorly in all environmental burdens, there is no need to carry out Step 3: Impact Assessment. However, if the LCI results are inconsistent, Step 3 becomes essential.

The LCA begins with a definition of the goals for completing the LCA -- a clear list of the questions that the LCA is intended to answer. The boundary of the LCA is drawn so that it is understood which materials and processes are being considered and which are beyond the scope of the assessment. The main effort of the LCA is in the inventory analysis, where materials and activities are analyzed and the emissions from them are accrued. As an option, the environmental impact of these emissions can be analyzed, using a recognized method for impact analysis. Finally, the results of the LCA must be analyzed in light of the questions posed as the beginning of the process.

In the inventory analysis stage, software tools and databases are critical. It is not possible to analyze each individual material and process from scratch each time an LCA is performed. Instead, software tools tied to extensive product and process databases are used to complete the inventory analysis. The simplest software tools are spreadsheets, in which material quantities can be entered. More complex tools act more like cost-estimating software, so that automated tabulation of material quantities from assemblies, on a square-foot basis, can be completed.



A graphical representation of the Inventory Analysis step. The diagram can be applied to the overall product or process being analyzed, or can be thought of as a building block which is applied to each discreet sub-product within an overall LCA. For example, the diagram above could apply to anodized aluminum extrusions, which would then be one component of an overall LCA on a curtain wall system (from "British Royal Chemistry Society").

#### **Step 3: Impact Assessment**

The impact assessment translates the *emissions* from a given product or process into impacts on various human and terrestrial eco-systems. To aid in the understanding of impacts, the effects of the resource use and emissions generated are grouped and quantified into a limited number of categories,

which may then be weighted for importance. In other words, data from the inventory analysis (Step 2) is attributed to appropriate impact category defined in scoping (Step 1). The results from this step can either be obtained for different impact categories or a single value result can be obtained by applying weights.

Impact assessments differ among the LCA tools used—and there is no one dominant impact framework. For this reason, a given LCA may choose to skip the impact assessment step and instead present its results in terms of bulk emissions. The judging of impacts necessarily invokes the value system of either the LCA user or the value system embedded in the LCA tool. A given impact assessment may focus primarily on greenhouse gas emissions and deemphasize or ignore habitat alteration or toxic releases to waterways. The BEES LCA tool includes a range of options for impact assessment, allowing the user to select a suite of impacts that most closely aligns with the value system of the user.

#### **Step 4: Interpretation**

LCA results are reported in the most informative way possible, and the need and opportunities to reduce the impact of the product(s) or service(s) on the environment are systematically evaluated. The outcome of this step is directly useful in making environmentally friendly decisions. LCA can be an iterative process; therefore, the interpretation of the LCA can lead to changes in the proposed design, which then leads back to Step 2 in the process.

# **Impact Categories**

The impact categories of LCA methodologies vary from system to system.

Environmental Impact Categories are mappings from quantities of emissions to the environmental impacts that these emissions cause. They can be thought of as a class of environmental issues of concern to which Life Cycle Inventory (LCI) results may be assigned. The impact categories have been established from nationally recognized standards established by agencies such as the EPA, OSHA, and NIH. The impact is usually given as a ratio of the quantity of the impact per functional unit of product produced. Each category is an indicator of the contribution of a product to a specific environmental problem. These categories are defined by the Life Cycle Impact

Assessment (LCIA) methods.

# Life Cycle Impact Assessment (LCIA) Method

A number of technical terms are used to describe Life Cycle Assessment, its components and related assessment methods. One term that is often used is Life Cycle Analysis, which is simply a synonym for Life Cycle Assessment.

#### **Functional Unit**

The functional unit can be defined as the unit of comparison that assures that the products being compared provide an equivalent level of function or service. It is difficult to establish functional equivalence in the building industry.

#### **System Boundary**

System boundary is defined as an interface between a product system and the environment or other product systems. It defines the activities and processes that will be included in each life-cycle stage for the LCA analysis and those that will be excluded.

#### **Examples of LCI databases:**

- → EcoInvent Database with global, European, an Swiss datasets
- → US LCI database managed by NREL and available in spreadsheet form from <a href="http://www.nrel.gov/lci/da">http://www.nrel.gov/lci/da</a> tabase/
- → Available with LCA tools such as BEES® LCA Tool and Athena Impact Estimator

# Life Cycle Inventory (LCI) Database

CCI data make up the heart of any LCA analysis. Several organizations and LCA tool developers have developed LCI databases that contain material and energy use data as well as emissions data for commonly used products and processes. These databases contain elementary flows (inputs and outputs) for each unit process for a product system and are specific to countries and regions within countries. The LCI data are region-specific because the energy fuel mix and methods of production often differ from region to region. The data can be based on industry averages or could be supplier-specific. The data in the LCI databases generally account for raw material extraction, transportation to manufacturing unit, manufacturing process, and packaging and distribution.

Databases may contain industry averages or product-specific data. Industry averages make more sense in whole-building LCA tools, as these tools are designed to be used by architects to make decisions about assemblies at the schematic design stage. A specific supplier is not usually identified in early-stage design. At the specification and procurement stages, if the supplier-specific data are available, a

decision to select the most environmentally sensitive supplier for a specific product could be assisted by the use of LCA. It may be necessary to engage an LCA practitioner at this stage, as LCA tools for architects may not have supplier-specific capabilities.

#### **Life Cycle Management (LCM)**

LCM is a framework that utilizes methods like Life Cycle Assessment and Life Cycle Costing (LCC) to support decisions leading to sustainable development. LCM has been defined by the SETAC Working Group as "a flexible integrated framework of concepts, techniques and procedures to address environmental, economic, technological and social aspects of products and organizations to achieve continuous environmental improvement from a Life Cycle perspective". A Life Cycle Management (LCM) approach can form the basis of an effective business strategy by providing a framework for improving the performance of an organization and its respective products and services.

#### **Life Cycle Costing (LCC)**

LCC provides decision support in selection of a building system or whole-building design based on its financial benefits, as opposed to LCA, in which a decision is based on the environmental benefits of a system or design. LCC provides a basis for contrasting initial investments with future costs over a specified period of time. The future costs are discounted back in time to make economic comparisons between different alternative strategies. LCC involves the systematic consideration of all relevant costs and revenues associated with the acquisition and ownership of an asset. In the context of buildings, this consists of initial capital cost, occupation costs, operating costs, and the costs incurred or benefited from its disposal. An LCC analysis is a data-intensive process, and the final outcome is highly dependent on the accessibility, quality, and accuracy of input data.

#### **Life Cycle Energy Analysis (LCEA)**

Life Cycle Energy Analysis, also referred to as Life Cycle Energy Assessment, is an abbreviated form of LCA that uses energy as the only measure of environmental impact. This helps in choosing energy efficient materials, systems, and processes for the life cycle of buildings.

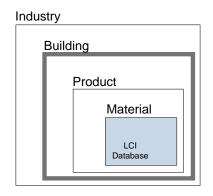
#### **Carbon Accounting**

Carbon accounting is the process by which CO<sub>2</sub> emissions from fossil

fuel combustion are calculated. Carbon emissions factors are expressed in many forms. It can either be expressed as a mass of  $CO_2$  or only as the mass of carbon contained in the  $CO_2$ , and may be expressed in any mass units. In case of buildings, carbon accounting would consider  $CO_2$  emissions from all life stages.

# Life Cycle Assessment in the Building Industry

The LCA methodology as it relates to the building industry can be pictured as operating at one of four levels: material, product, building, or industry, as shown in the diagram below. Each larger level builds from the level below, and expands from the material kernel.



LCA in the building industry can be thought of as operating at one of four levels. At the <u>material</u> and <u>product</u> level, architects are likely to be consumers of LCA information, that is, they may use this information to guide in their material and product selection process. At the <u>building</u> level, architects may themselves be the LCA practitioners, using building-specific LCA tools to create LCAs that characterize the environmental footprint of proposed projects, either for the purpose of meeting regulatory requirements (e.g., to stay below a specified impact threshold) or as part of an iterative design methodology that seeks to minimize the environmental impact of a project. LCAs created at the <u>industry</u> level are more likely to be of use to policy makers and planners.

#### **Material Level**

At its core, process-based LCA is defined at the material level.

It is not likely that an architect or any building industry consultant would be called on to produce material-level LCI data. This information is calculated by process chemists, chemical engineers, and associated specialists and submitted for inclusion in various LCI databases. There is some direct use of material-level LCI data by building professionals however.

#### **Product Level**

At the product level, an LCA is calculated as a collection of materials, which are assembled into a final (or intermediate) product. A quantity takeoff of the product is completed, and the emissions from

each component of the products are summed. To complete a product LCA, a thorough knowledge of the source and quantities of materials and the manufacturing processes of the finished product are required. General-purpose LCA software, such as Gabi, Boustead, or SimaPro is usually used to complete a product LCA.

There is emerging an increasing quantity of product-level LCA data useful to architects. This is especially true in areas where products can clearly be compared on a one-to-one basis or in LCA terminology, where the functional unit for a product can be clearly delineated.



Building LCA, or whole-building LCA is a product LCA where the product is the building. In this case, the architect can be the LCA expert, as the architect understands how the building is constructed, how building materials and products flow to the jobsite, and how the building is going to be operated over time.

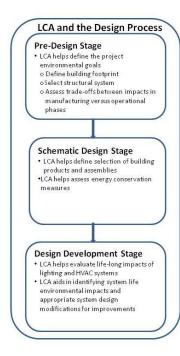
#### **Industry Level**

At the building industry level, the Economic Input-Output (EIO) based LCA method is probably the best tool for completing an industry/neighborhood LCA. Instead of completing a process-based LCA of every building in the portfolio—not a realistic approach—an LCA at the building industry scale is completed by examining industrial production and economic output data. The EIO-LCA method has been used in the building industry to quantify the impacts of cement and steel production, suburban sprawl and urban densification, and changes in land use, for example.

Again, it is clear that LCA at this industry-wide scale is not actionable by a practicing architect. Rather, it is at the smaller scales—material, product, and building—that the LCA becomes useful to the architect.

# **LCA and the Design Process**

At what stages of the design process can LCA be useful?



LCA is applicable at each of the three design stages; however, the stage of impacts evaluated.

#### **Pre-Design Stage**

During this stage, LCA can help define the environmental goals of a project. LCA could be used to make decisions regarding the building footprint among several options. The basic decisions for choosing a structural system can also be based on LCA. Trade-offs between impacts from manufacturing phase and operational phase can be evaluated to select assembly types.

#### **Schematic Design Stage**

Choices regarding selection of building products and assemblies can be made with the help of LCA. Energy conservation measures can be assessed for their environmental burdens and an informed decision can be facilitated by the use of LCA.

#### **Design Development Stage**

In the design development stage, LCA can help evaluate the life-long impacts of proposed lighting and HVAC systems. The most crucial stages in a system's life can be identified in terms of environmental performance is important defines the impact, and appropriate modifications to the system design can be tool to be used and the types of proposed. Material finishes can also be compared with the help of LCA results, and the right choices can be made.

#### In the design process, LCA can be helpful in:

- >Making choices between various building design options
- >Making choices between various building structural systems, assemblies and products
- >Identifying products or assemblies causing the maximum and minimum contribution to the overall environmental impact throughout building's life-cycle
- >Identifying stages of building life-cycle causing the maximum and minimum contribution to overall impact
- ➤Mitigating impacts targeted at a specific environmental issue

Pre-Design Stage	Schematic Design Stage	Design Development Stage
Identify owner's requirement	Site plan and principal floor plans prepared	Detailed site plan indicating building location and site improvements prepared
Departmental and room-by-room interaction matrix established	Views, elevations, sketches and models prepared to convey building configuration	Detailed plans, elevations, sections, schedules and notes prepared
Preliminary structural, mechanical, electrical and other engineering systems determined	Comparative structural, mechanical, electrical and other systems analyzed	Structural, mechanical, electrical and other building systems finalized
Block plans created showing all rooms, corridor and vertical solutions	Space and location requirement for these systems determined	Review obtained from regulatory agencies
Estimates prepared for total project cost and annual project operating expenses	Preliminary screening of materials, equipment and fixtures carried out	Code compliance check

Table 1. Typical Design Activities and Tasks Accomplished

(Activities in "red" indicate those where input from and LCA is clearly relevant.)

# Challenges in the Use of LCA

Although LCA is doubtless the best tool for analyzing the environmental impact of product or project, the methodology and underlying data are still being developed. LCA is a complex method heavily relying on the availability and completeness of data (LCI) and methodologies for tabulating material use within the LCA tools.

# · Limited availability of good life cycle inventory data • Increases difficulty for an architect or LCA practitioner Data · Needs to collected, tabulated, & indexed for ease of use Collection • Tools such as BEES and Eco-Calculator reduce the need for extensive manual collection • Data collected from single manufacturers can be unreliable **Data Quality** • Required third-party validation **Impact** • LCIA is an evolving science Assessment Methods used to translate inventories into impacts vary by impact category Methods Impact assessment results in scores for different categories · Weighting decision making often left up to the user Weighting · Reducing results to a single score requires assumptions and generalizations · Often weighting is not done; impacts reported in each category

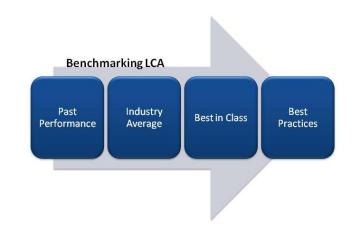
Four primary areas present challenges to architects and LCA practitioners in the performance of wholebuilding LCAs.

- 1. Data Collection
- 2. Data Quality
- 3. Impact Assessment Methods
- 4. Weighting of Impact Scores

Another issue that needs resolving for whole-building LCA is the development of benchmarks.

Benchmarks are needed for comparisons among projects performance. Benchmarking can be performed by project comparisons in:

- → <u>Past Performance</u>: comparing current versus historical data
- →<u>Industry Average</u>: comparing to an established performance metric
- →<u>Best in Class</u>: marking against the best in the industry and not the average



# **State of LCA Tools**

Four LCA tools are commonly used in the U.S. and are linked to domestic data sources.

- 1. ATHENA® Impact Estimator
- 2. ATHENA® EcoCalculator
- 3. BEES®
- 4. EIO-LCA

# Important Questions to Consider When Choosing a Tool

- 1. What is the configuration of the tool? Does it embed a LCI database and impact assessment method within or are these two required separately?
- What type of tool is it? Material/Assembly/Whole-Building LCA tool.
- 3. What life-cycle stages are accounted for in the tool?
- 4. What is the level of expertise required for using the tool?
- 5. What inputs are required? What is the method of input?
- 6. What are the outputs obtained from the tool? What are the options to view the outcome/results?
- 7. How capable is the tool in terms of interoperability?
  Will it accept databases from other sources? Are the outcomes of the tool compatible with other analysis and documentation tools?
- 8. What kind and number of building assemblies and materials that can be evaluated by the tool?
- 9. What impact categories can be evaluated if the tool has an impact assessment model embedded within?
- 10. Does the tool provide normalized results?
- 11. What is the latest version of the tool?
- 12. How much does the tool

Twelve additional tools are available in other countries.

- 1. EQUER
- 2. LCAid™
- 3. Eco-Quantum
- 4. LISA
- 5. Envest
- 6. LCAit
- 7. PEMS
- 8. TEAM™
- 9. Umberto
- 10. SIB LCA
- 11. Boustead
- 12. SimaPro
- 13. GaBi

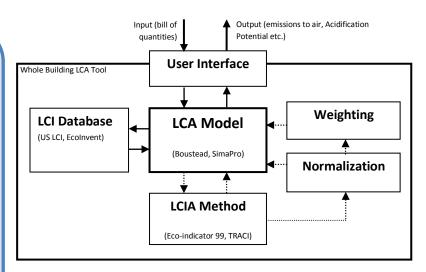
#### **Configuration of an LCA Tool**

An LCA tool is environmental modeling software that develops and presents life cycle inventory (LCI) and perhaps life cycle impact assessment (LCIA) results through a rigorous analytical process that adheres closely to relevant ISO standards and other accepted LCA guidelines.

The most basic LCA tool takes inputs in the form of material take-offs (in area or volume) and converts it into mass. Then it attaches this mass value to the LCI data available from an LCI database and other sources. This step results in quantities of inputs and outputs of a product system. The inputs and outputs may include the use of resources and releases to air, water, and land associated with the system.

#### **Commonly Used US LCA Tools**

- 1. ATHENA® Impact Estimator
- → Allows user to evaluate whole buildings and assemblies
- Assemblies include foundations, walls, floors and roofs, columns, and beams
- → Provides full inventory of natural resources, energy, water usage, and emissions to air, water, and land
- → Indicates implications of different material mixes and design options
- → Considers trade-offs among the various environmental effects
- → <a href="http://www.athenasmi.org/">http://www.athenasmi.org/</a> tools/ecoCalculator/index.ht ml
- 2. BEES® (Building for Environmental and Economic Sustainability)
- Provides product-toproduct comparisons on basis of environmental and economic performance
- → Allows users to apply weighting factors selectively to environmental and economic impact and then weigh various environmental factors
- → <a href="http://www.bfrl.nist.gov/o">http://www.bfrl.nist.gov/o</a>
  ae/software/BEES/bees.html
- 3. EIO-LCA (Economic Input-Output LCA)
- → Economic input-output LCA-based tool (the other tools are process-based LCA tools)
- → Provides guidance on the relative impacts of different types of products, materials, services, or industries with respect to resource use and emissions throughout the supply chain



Basic configuration of a typical whole-building LCA tool: takes inputs in the form of material take-offs (in area or volume) and converts it into mass. Then it attaches this mass value to the LCI data available from an LCI database and other sources. This step results in quantities of inputs and outputs of a product system. The inputs and outputs may include the use of resources and releases to air, water, and land associated with the system.

#### **Classification of Tools**

LCA tools can be classified based on their ability to analyze building systems (for building-specific tools) and the required user skill to use the tools.

#### Based on different levels of LCA application

For tools that focus on the building industry, there are three main types of LCA tools, although some tools may have characteristics of more than one class:

- 1. Building product tools
- 2. Building assembly tools
- 3. Whole-building LCA tools.

#### LCA Tools based on application



#### LCA Tools based on user



#### **State of Practice**

#### **Literature Case Study Reviews**

In this study, we review eight whole-building case studies. Four of the case studies are real-world projects and four are research paper studies. Each of the case studies presents its own scenario of use of whole-building LCA and revealed practical issues associated with conducting an LCA.

# Questions Addressed in Case Study Reviews

- 1. Why a particular study was conducted? The motive behind the study.
- What specific aspect of the building project was evaluated? Goal Definition.
- During which project stage was LCA introduced in the project? (only in case of real projects)
- 4. How was the study scoped?
- 5. Which stages of building lifecycle are included in the study?
- 6. How were the data collected?
- 7. What were the assumptions made for data not available?
- 8. What LCA tools, LCI database, and LCIA method were used in a specific case?
- 9. Which team members were involved in the LCA process?

The case studes were:

#### **Real Projects**

Case Study 1: New Jersey Meadowlands Commission (NJMC) Center for Environmental and Scientific Education Building, New Jersey, U.S.

Case Study 2: Stadium Australia, New South Wales, Australia Case Study 3: Emeryville Resourceful Building, California, USA Case Study 4: Alicia Moreau De Justo School, Mendoza, Argentina

#### **Research Paper Studies**

Case study 5: Three Variants of a House, Switzerland

Case Study 6: Commercial Office, Thailand Case Study 7: Two variants of a House, USA

Case Study 8: Office Building, USA

These case studies are thoroughly reviewed in the main body of the full paper.

# LCA from an Architect's Perspective

To understand LCA from an architect's perspective, architects from seven architecture firms agreed to interviews, ranging from small to large firms. Some of these firms focused on sustainable practices only.

The interview results are thoroughly outlined in the main body of the full paper.

It was generally observed that large firms were more inclined to sustainable practices as compared to small firms. Integration of LCA in the design process also showed a similar trend. This was primarily due to the fact that LCA is a time and money intensive exercise. Large firms were able to afford it while smalls were not. Moreover, most of these firms that used LCA in their projects had hired an LCA expert to carry out the LCA study. This could be because of one of two reasons: (1) architects are not completely aware of simplified whole-building LCA tools or (2) architects do not have faith in these simplified whole-building LCA tools. One of the major obstacles that prevented the use of LCA in practice is the overwhelming information that architects obtain from the LCA experts. Since an LCA may result in environmental impact scores spread over different categories, it

becomes difficult for the architects to rate which category is more important than the other. Another obstacle is the lack of incentives at present for the use of LCA. When asked about the kind of incentives that would instigate the use of LCA in practice, a range of responses was received. Some believed that monetary incentives in terms of tax benefits and subsidies on the purchase of green products would help whereas others believed that if a range of projects using LCA were showcased and case studies compiled, it would be a great incentive for other firms to adopt the LCA methodology. In terms of benefits of LCA, one interesting response suggested that since LCA is not a common practice at present, it could give an architecture firm an edge over the others and increase the market value of the firm. Responses regarding possible applications of LCA ranged from selecting a building product to selecting consultants and product vendors. A firm employing LCA in a project would prefer consultants and vendors who have an understanding of the LCA methodology.

Thus, we concluded that although *LCA* at present is not an essential component of most of the architecture practices, a general understanding of the methodology is critical for architects to understand the process and results of *LCA*.

The target audiences in the building industry for LCA are mostly architects, product manufacturers, and sustainability consultants. A general contractor can also take the responsibility of conducting an LCA study for the project in some situations. Other stakeholders, such as owners, building occupant, and other consultants, are indirectly affected by the use of LCA in practice.

#### CONDUCTING AN LCA—EXAMPLE

An LCA was conducted on a small institutional design project (Big Nerd Range—BNR) using the ATHENA® Impact Estimator tool. The study demonstrates how an LCA can be performed in the early design phase by architects using simplified LCA tools.

The ATHENA® Impact Estimator is a tool for general users that can be used for whole-building LCA analysis. It is appropriate to be employed during the schematic design stage when basic building plans and sections are available and preliminary material assignment is accomplished. Thus, it has been used in this study to get a snapshot of the environmental footprint of the Training Center

(Building A) for BNR. The LCA study is thoroughly discussed in the main body of the full paper.

The facility used to conduct the example LCA is a proposed training facility that is being designed for software professionals and located in the metro Atlanta area. The project is in the construction documents stage at present. The facility will comprise three building blocks (a training center and two residential blocks for trainees) spread over a contoured site measuring 6.7 acres. For the purpose of this study, an LCA was conducted only for the training center also referred to as Building A.

The training center (Building A) is an 8,230 ft<sup>2</sup> building comprising two floors. The ground floor consists of a dining area, kitchen, gymnasium, and restrooms. The first floor consists of a classroom, recreation space, office, and store. The structure is primarily woodframe construction. The floor plans of the building can be found in Appendix A of the main document. Building assemblies used in the training center are described in the sidebar.

#### **Description of Building Assemblies for Building A**

Assem-	Description		
bly Type			
Foun-	Cast-in-place concrete retaining walls		
dation			
Floors	Light frame wood truss with ¾" plywood base		
	finish. Carpet, rubber, cork tile, and ceramic		
	tiles have been used for the floor finishes		
Exterior	2" x 6" wood stud wall with brick cladding +		
Walls	plywood sheathing + R-19 batt insulation + 5/8"		
	gypsum board + latex based paint		
Interior	2" x 6" wood stud wall with 5/8" gypsum board		
Walls	+ latex based paint		
Roof	Standing seam metal roof with prefabricated		
	wood scissor truss + plywood roof decking + R-		
	30 batt insulation		
Doors	Hollow core metal doors, solid core wood		
	doors, and French doors		
Windows	Aluminum-clad wood window frame with		
	double low-e glazing		

#### **Required Inputs**

Basic information regarding the training center area, location, and expected life were entered in the ATHENA® tool to set-up the project. The user is only required to specify the building assembly configuration and area to calculate the inventory analysis results. The inventory analysis process is pre-designed within the ATHENA® model with standard assumptions.

The following building assembly types can be configured within the ATHENA® tool.

- Foundations
- Walls
- Floors
- Roof

A table of assembly dimensions was prepared for each assembly type for easy data input. These dimensions were obtained from the architectural drawings.

Although the operational energy input is optional in ATHENA, it was considered essential to include it in this study. Inclusion of operational energy facilitates comparison of embodied and operational energy during a building's life cycle. The energy calculation was done using eQUEST hourly energy-simulation software.

#### **Goal and Scope Definition**

**Goal**: The goal of the study is to evaluate the overall environmental impact of Building A to help in identifying the life-cycle stages and assemblies causing maximum impact. The study is focused on determining the inventory analysis results in terms of energy use, resource use and emissions, and impact assessment results available in terms of impact categories.

**Scope**: The scope of the LCA is limited to assessing global warming potential, acidification potential, and ozone depletion potential. These categories have been chosen as being common to the other case studies reviewed in this guide. Having common categories should facilitate easy comparison and benchmarking of the LCA results of this study.

**Functional Unit**: Provision of the training center for 60 years. For comparison purposes, the results have also been normalized on a per-square-foot-per-year basis.

**Building Lifespan**: A 60-year building life has been estimated by the structural engineer based on type of structure, assemblies, and climatic conditions.

**System Boundary**: The user is not required to define the system boundary for the LCA, as this information is embedded inside the ATHENA tool.

**Tools used**: ATHENA® Impact Estimator for LCA analysis, eQUEST for energy calculation, and MS-Excel for tabulating the quantities.

#### **Output**

Both inventory analysis as well as impact assessment results can be obtained from the Impact Estimator. Since the goal of the study is to identify life cycle stages and assemblies causing maximum impact, the following reports were generated in ATHENA® Impact Estimator.

- Graphs for Absolute
   Values by Life-Cycle
   Stages
- Tables for Absolute
   Values by Assembly
   Group
- Table for Summary
   Measures by Life-Cycle
   Stages
- Graphs for Summary
   Measures by Assembly
   Types
- Comparison Graphs –
   BNR and R2000 House
   Design

#### **LC Results**

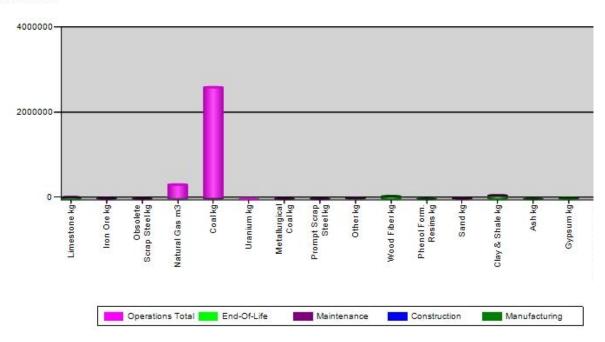
**Annual Energy Consumption:** The annual energy consumption for Building A was estimated to be 132.74 x 10<sup>3</sup> kWh. Its energy intensity, thus, equals 17.68 kWh/ft<sup>2</sup>, making Building A 27 percent more energy-efficient than a standard educational facility[1] due to the use of high-performance building systems.

Energy Consumption by Life-Cycle Stages: Coal  $(2.62 \times 10^6 \text{ kg})$  and natural gas  $(3.34 \times 10^5 \text{ m}^3)$  are the most used resources during the training center's life-cycle (see figure below). The operations stage is primarily responsible for this use. Other significant use of resources are water  $(4.33 \times 10^5 \text{ L})$ , coarse aggregate  $(1.16 \times 10^5 \text{ kg})$ , fine aggregate  $(9.64 \times 10^4 \text{ kg})$ , and clay and shale  $(7.64 \times 10^4 \text{ kg})$ , owning to their use in the manufacturing stage.

Energy consumption is also dominated by the operations stage with coal, nuclear, and natural gas as the major contributors. Maximum emissions to air, water, and land are during the operations stage. Carbon dioxide, sulfur dioxide, methane, and particulate matter contribute significantly to air emissions whereas emissions to water are primarily dissolved solids  $(4.94 \times 10^{10} \text{ mg})$ , chloride  $(4.06 \times 10^{10} \text{ mg})$ , and sodium ion  $(1.13 \times 10^{10} \text{ mg})$ . Land emissions are mainly composed of other solid waste  $(6.12 \times 10^5 \text{ kg})$  and concrete solid waste  $(2.20 \times 10^4 \text{ kg})$ .

# Resource Use Absolute Value Chart By Life Cycle Stages

Project BNR



#### **Output**

The results for inventory analysis are consistent. By lifecycle stages, operation stage emerges to be the most dominant, and, by assembly group, wall assemblies have been found to cause the maximum emissions and resource use in case of BNR. The next step should be to identify alternatives that would potentially reduce the environmental burden caused during the operations stage and from wall assemblies. Another LCA run should be carried out using these alternatives to make a more informed decision. **Energy Consumption by Assembly Groups:** Viewing inventory analysis results according to assembly groups helps in identifying assemblies consuming maximum energy and causing greatest emissions. The BNR LCA analysis showed that the walls account for more than 50 percent of the total energy use. Roofs are the second largest consumer of energy in terms of their manufacturing, construction, maintenance, and end-of-life activities. Having identified these hot spots, alternative assemblies can be tested for their walls and roof to choose the option with the lowest energy consumption. In terms of resource use, foundations consume 73 percent of the total coarse aggregate, whereas the walls and roof together consume 67 percent of the total water used in the life-cycle of the training center (excluding water consumed during operations). Wall assemblies are responsible for most of the emissions to air, water, and land: emissions of carbon dioxide, sulfur dioxide, methane, and particulate matters for air; concrete and other solid waste in the case of emissions to land; and chloride, sodium, and dissolved salts in the case of emissions to water.

#### **Impact Assessment**

ATHENA® Impact Estimator presents impact assessment results in terms of "summary measures" format. Three summary measures, global warming potential (GWP), acidification potential (AP), and ozone depletion potential (ODP) have been evaluated in this study.

The impact assessment result by life-cycle stages shows that the operations stage dominates GWP and AP whereas ODP is most significant in the manufacturing stage.



Life-Cycle Stage Impact Assessment for BNR. The y-axis represents the totally impact for a given impact category.

The wall assemblies have the highest impact on all the three evaluated impact categories.

# Interpretation

The results from inventory analysis and impact assessment either compared one life-cycle stage with the other or one assembly to another. This helped in identifying the hot-spots within the training center's life-cycle. To understand the overall performance of the training center, it is essential to compare it with a benchmark. Since standard benchmarks have not been published by any reliable

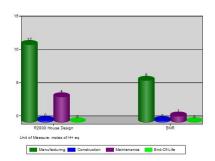
sources, past case studies' results were used to rate the performance of BNR. The following case studies were used for comparison.

- R2000 House Design Toronto (Sample Projects from ATHENA® Impact Estimator Tool)
- NJMC Center for Environmental and Scientific Education (Chapter 3 – Case Study 1 of this guide)
- Wood Frame House Tucson (Chapter 3 Case Study 6 of this guide)

#### Comparison of Impact Assessment Results of BNR Training Center with Other Case Studies

	GWP	АР	ODP
	(kg CO <sub>2 equiv.</sub> per sf per year)	(Moles of H+ eqiv. per sf per year)	(g CFC-11 per sf pe year)
BNR Training Center	11.85	4.27	4.76 x 1
R2000 House Design	3.08	1.31	3.20 x 1
NJMC Building	3.12	-	444.21 x 1
Wood Frame House - Tucson	7.33	-	529.90 x 1

Table presents impact assessment results normalized on per square foot per year basis.



Acidification by Life-Cycle Stages (per sf)

**GWP:** The GWP value for BNR Training Center is the highest compared to other case studies. Since GWP can be considered a function of energy use, this high GWP value could be due to a difference in energy use during building life-cycle and a variation in fuel mix used to produce energy in these four cases.

**Acidification Potential:** When compared to R2000 House, the AP value for BNR is higher.

Ozone Depletion Potential (ODP): The values for ODP vary by a

large margin across different case studies. It can be observed that the values for BNR and R2000 house fall under a close range. The ODP value for BNR is reasonably more than R2000 house in the manufacturing stage. Thus, the difference in the overall value for ODP can primarily be attributed to the manufacturing stage.

# GUIDELINES TO INTEGRATE LCA IN BUILDING DESIGN

Any building-related LCA analysis is defined by four variables:

- Life-cycle stages to be included in the analysis
- 2. Building systems to be studied
- 3. Type of expected results from either Life Cycle Inventory (LCI) Analysis or Life Cycle Impact Assessment (LCIA)
- 4. Project phase at which the LCA analysis is conducted

# **Exploring the Scenarios of Use of LCA**

Any building-related LCA is defined by four variables

- Life-cycle stages to be included in analysis
- Building systems to be studied
- Type of expected results from either the Life Cycle Inventory (LCI) Analysis or the Life Cycle Impact Assessment (LCIA)
- Project phase at which the LCA analysis is conducted.

Each variable can have several possible values, and various combinations of these variables can lead to different scenarios of use for LCA. Contributors to this guide calculated 84 different possible scenarios as a result of the combination of these variables.

The opportunities for use of LCA are numerous.

#### **Guidelines to Choose an LCA tool**

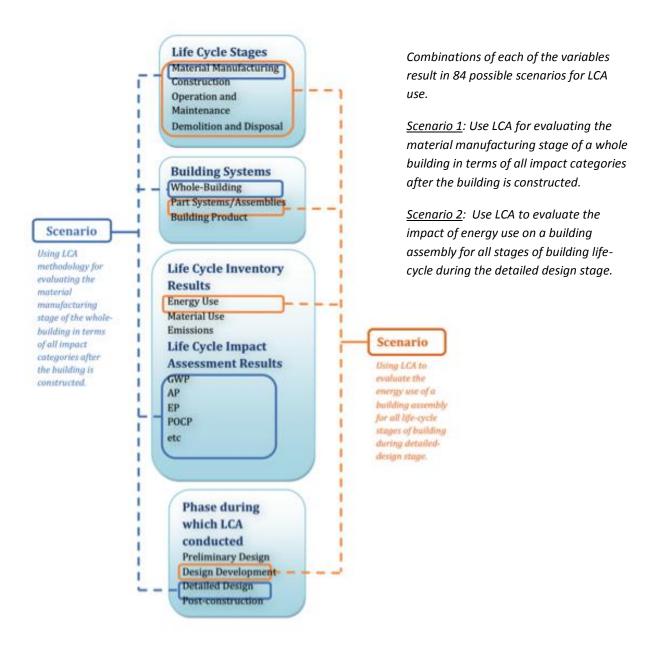
The choice of an LCA tool depends on the scenario of use of LCA.

Seven commonly encountered scenarios, each with a rationale for tool selection, are thoroughly discussed in the guide.

A common step in every building design process is evaluation of several alternatives with the goal of selecting the most environmentally friendly option. The LCA analysis to achieve this goal is defined by the four variables: 1) All Life Cycle Stages, 2) Whole Building Systems, 3) All Categories of LCIA Results, and 4) LCA performed in preliminary design phase. Since the LCA is being conducted in the Preliminary Stage, the project and assembly information will be minimal; therefore, the tool must allow for the input of approximate information. Also it must be able to analyze the whole building; therefore, the tool must have the LCIA method

Scenario 1: LCIA Results of Whole-Building for All Life-Cycle Stages to Optimize a Building Design during Preliminary Design Stage embedded. Since the study goal is the assessment of all building life-cycle stages, the tool must consider all life-cycle stages. Based on these criteria, Envest is an appropriate tool.

Scenario 2: LCIA Results of Whole-Building for All Life-Cycle Stages to Evaluate a Building Design during Detailed Design Stage At the detail design stage, a design team may want to know how precisely their proposed design is performing better than the baseline cases. The LCA analysis to achieve this goal is defined by the four variables: 1) All Life Cycle Stages, 2) Whole Building Systems, 3) All Categories of LCIA Results, and 4) LCA performed in the detailed design phase. Since this LCA is to be performed in the second stage, the quality of information is more precise; therefore, a more precise quantification can be made. The suitable tool will be capable of assessing details for assemblies and systems, such as the ATHENA® Impact Estimator.



Scenario 3: Evaluating a
Building's Environmental
Footprint after Construction to
Establish Baselines for Future
Studies

Since the goal of the LCA in this case is to establish baselines for future studies, the LCA is conducted after the construction phase. This eliminates assumptions about material manufacturing and the construction stage, thus achieving more accurate results. The LCA analysis to achieve this goal is defined by the four variables: 1) All Life Cycle Stages, 2) Whole Building Systems, 3) All Categories of LCIA Results, and 4) LCA performed during post construction phase. Since case specific data about energy and material use during the transportation and construction phases are available, and a high level of accuracy is required, the use of an LCA practitioner's tool is

warranted, such as SimaPro.

Scenario 4: Evaluating the Impact of One Assembly over the Life-Cycle of Building to Help in Selection of Assembly

During the design development stage, choice among competing assemblies are made. This goal can be met by defining LCA study by the four variables: 1) All Life Cycle Stages, 2) One Building Assembly, 3) All Categories of LCIA Results, and 4) LCA performed during design development phase. This scenario focuses on only one assembly for its impact during the building life-cycle, thus an assembly LCA can be used that accounts for all life-cycle states and shows results for different impact categories. ATHENA® EcoCalculator could be used, but it does not account for the building operation phase; therefore, the impact due to the operation phase will have to be added externally. ATHENA® Impact Estimator will fulfill all needs for this scenario.

<u>Scenario 5</u>: Evaluating a Specific Impact for the Whole Building The goal of the LCA may be only to quantify and mitigate a specific impact like global warming potential (GWP) for the whole building. This goal can be met by defining LCA study by the four variables: 1) All Life Cycle Stages, 2) Whole Building Systems, 3) Global Warming Potential LCIA Results, and 4) LCA performed during preliminary/design development phase. Since the evaluation impact category is specified, a tool that presents results in that category is required. The expected accuracy of the results needs to be clearly defined prior to tool selection—either a simplified LCA tool or a detailed LCA tool. EcoCalculator is an appropriate simplified tool. Operational energy needs to be externally calculated with this tool. EQUER is an appropriate detailed tool.

<u>Scenario 6</u>: Evaluating the Impact Using a Product during the Maintenance Stage of a Building Life-Cycle The LCA study may be conducted to help design a facility housekeeping program. Since this is a recurring activity, the products selection could significantly affect a building's life-cycle impact. This goal can be met by defining the LCA study by the four variables: 1) Operations and Maintenance Life Cycle Stage, 2) Product Building Systems, 3) All LCIA Results, and 4) LCA performed during post construction phase. Since the goal is only to study the impact of a building product on the maintenance stage, a product LCA tool should be used that shows impact distribution among different ranges of life. BEES® may be an appropriate tool if the specific product is available in the BEES® product list. If not, a detailed LCA tool will be required.

# Scenario 7: Calculating the Environmental Payback of a Green Technology

Green buildings use high performance systems and assemblies for increased energy efficiency during the operations phase. The study goal is to weigh the environmental impacts of a green technology during different phases of design. Additionally, how are the material impacts in life-cycle phases mitigated by the energy saved or produced during the operations phase. This goal can be met by defining LCA study by the four variables: 1) All Life Cycle Stages, 2) Green Technology (Assembly - Building Systems), 3) All LCIA Results, and 4) LCA performed during design development/detail phase. Since a green technology is being evaluated, the tool options are very limited, since the inventory data for innovative technologies have not been incorporated into the LCI databases. A detailed LCA tool is required to model the life of the green technology. Negative values of impacts due to energy saved or produced from use of the technology should be plotted against added impacts during the production and maintenance stage. The result is the environmental payback of the technology. Tools such as GaBi, Boustead, and SimaPro may be used to model this type of study.

# **Selecting Tools**

- $\rightarrow$ Design/project stage
- Availability of information about building materials and assemblies
- Availability of building  $\rightarrow$ energy analysis results
- Time constraints  $\rightarrow$
- User skills  $\rightarrow$
- Accuracy of required output

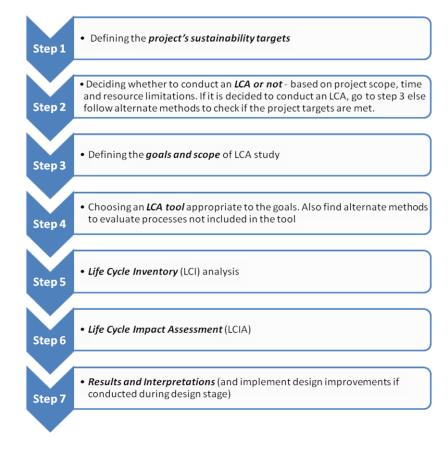
Other Criteria to Consider When When selecting a tool, the features must be matched to the specific requirements and project goals. The table below compares the features in the two popular whole-building LCA tools ATHENA® Impact Estimator (IE) and LCAid™.

Features comparison of two popular whole-building LCA tools. Features list 3 presents the recommended list of tool features for the performance of whole-building LCA.

-	Features	ATHENA® IE	LCAid™
1	LCA Tool Type	Whole Building LCA Tool	Whole Building LCA Tool
2	Acceptable Building	Industrial, Institutional, Commercial,	All Types
r	Туре	Residential	
list 3	Acceptable Building	New Construction and Major	New Construction and Existi
o <u>ol</u>	Phase	Renovation	Buildings
ole- <sup>4</sup>	Target Users	Architects, engineers, designers,	Architects, engineers, stude
		environmental consultants	LCA practitioners and evalua
5	Required User Skills	None	None
6	LCI Data	ATHENA® database based on	DPWS database based speci
		Canadian and North American	Australia. Can import data fi
		Region	other databases like Bouste
			(UK), SimaPro(NL)
7	Available Building	1200 Assemblies	400+ Building Materials
	Material/Assembly		
	Combinations		
8	Units	SI and Imperial	-
9	Life Cycle Stages	<ol> <li>Material Extraction and</li> </ol>	<ol> <li>Material Extraction and</li> </ol>
		Manufacturing	Manufacturing
		2. Related Transport	<ol><li>Related Transport</li></ol>
		3. On-site Construction	3. On-site Construction
		<ol><li>Operation (energy only) and</li></ol>	4. Operation (energy and
		Maintenance	and Maintenance
	-	5. Demolition and Disposal	<ol><li>Demolition and Disposa</li></ol>
10	Impact Categories	Embodied primary energy use	Life Cycle embodied end
		2. Acidification Potential	2. Acidification Potential
		3. Global Warming Potential	3. Life Cycle Green House
		4. Human Health Respiratory	Emissions
		Effects Potential	4. Carcinogenesis
		5. Ozone Depletion Potential	5. Ozone depletion
		6. Smog Potential	6. Summer/Winter smog
		<ol><li>Aquatic Eutrophication Potential</li></ol>	7. Nutriphication
			<ol> <li>Heavy metals</li> <li>Solid Wastes</li> </ol>
		8. Weighted Resource Use	
			10. Water consumption
			11. Primary fuels
11	Input Method	Manual Entry	Material Quantities can be
			imported from 3D Models:
			CAD(.dwf), ECOTECT (.eco/.:
			All other, manual entry

# **Guidelines to Conduct an LCA Process**

Once the study goal is fixed and the appropriate tool selected, key issues during each step of the LCA process need to be indentified, particularly when a detailed LCA tool is used. When a simplified LCA tool is used, the user still has to be aware of the way that the tool deals with the key issues.



Key issues to be addressed during each step of an LCA process. Awareness of these issues is critical when conducting a detailed LCA. When a simplified tool is used, it is essential to be aware of the way that the LCA tool deals with these key issues.

# 1 LIFE CYCLE ASSESSMENT: INTRODUCTION AND TERMINOLOGY

# **Background**

This document describes the process of life cycle assessment, or LCA, as it is applied to building design and construction. Tools like energy modeling assist in predicting and, through good design, reducing the operational energy in buildings. Life Cycle Assessment (LCA) is a tool that allows architects and other building professionals to understand the energy use and other environmental impacts associated with all life cycle phases of the building: procurement, construction, operation, and decommissioning.

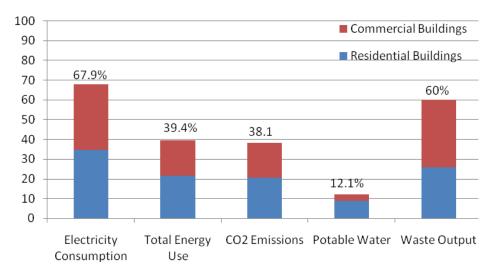
Architects have embraced the premise that it is their professional obligation to lead the greening of the building industry. Many architects have expanded their practices to include studios that only work on green buildings or that complete green energy audits for their clients. Sustainable design in the practice of architecture has gone through many phases. Through history, vernacular building forms world over were constructed of local materials, tailored to respond to prevailing climatic conditions, and configured to take advantage of natural ventilation. In the United States, climate-aware designs like the "dogtrot house" emerged as sustainable solutions for their specific regional climates. Early architectural and technological solutions to building sustainability were demonstrated in Wright's Solar Hemicycle house and in the MIT Solar Houses. Significant fundamental research in the US Department of Energy laboratories, which led to widespread use of building energy modeling, and applied research by the US Green Building Council, which has led to the development of LEED<sup>TM</sup>, one of the major green building guidelines, are indications of progress in the green building movement. Regional efforts promoted by organizations such as the New Buildings Institute and Southface demonstrate the widespread impact of sustainability research and implementation.

This leads us to a current snapshot of green building design. Today, state building codes and the model codes on which they are based have adopted modest improvements in energy efficiency. Legislation on the energy efficiency of buildings has been proposed and debated in both the US Senate and House of Representatives at the time of this report that will require more aggressive energy efficiency improvements, a promise around which the next generation of model codes is being developed, including the International Green Construction Code (IgCC).

A significant number of new buildings' owners are choosing to follow elective green-building scorecard and branding schemes such as Energy-Star, LEED, and Green Globes and highly progressive systems such as the Living Building Challenge. Combined with new codes aimed at

energy efficiency, the industry has passed the tipping point for the promotion of green buildings, from special case and best practice to an initial approach for the industry for mainstreaming green construction practices in the building industry. The AIA, many other building industry associations, and major US cities have embraced auspicious targets for reducing the environmental impact and climate change potential of the country's building stock—as embodied by programs such as Architecture 2030 Challenge, the AIA 2030 Commitment Program, and the US Conference of Mayors Pledge.

As part of their comprehensive national Energy Conservation and Improved Energy Efficiency policy, the National Association of Governors (NGA) has adopted the promotion of carbon neutral new and renovated buildings by 2030 as outlined by the AIA. Governor Chris Gregoire (D) from Washington proposed the NGA policy change in July 2009. On May 8, 2009, she had signed carbon neutral legislation in Washington State that was proposed by AIA Washington (based on AIA national model legislation), then took that concept and convinced her colleagues in all 50 states to encourage each other to do the same. This adoption by the nation's governors follows the U.S. Conference of Mayors' unanimous adoption of the AIA's carbon neutral building policy in 2006 and the National Association of Counties announcing their support for the policy in 2007. (from AIA news release: http://info.aia.org/aiarchitect/thisweek09/0724/0724n nga.cfm)



The environmental impact of human actions is quite evident in the present day world. EPA's statistical summary published in 2004 [1] suggests that the building industry is a major contributor to this impact. EPA's analysis indicates the building industry's share in various resource consumption and environmental impact categories and their distribution amongst commercial and residential building sectors.

Though the role of rating systems in the marketplace and the progress that they have made to mainstream the understanding of green architecture are laudable, rating systems are an incomplete approach to achieving truly high performance buildings. Scorecard approaches do

not fit well and automatically within design practice. Credits and points do not provide design guidance and do not provide feedback on how well a given design decision is actually working—rather, they provide a specific list of options and do's and don'ts to be applied during the design process. Inherent to the design process is comparative analysis among design options. Architects seek both 1) methods to answer specific design questions and make those comparative decisions on behalf of their clients and 2) aid to their understanding of the environmental impact of both the overall building and of particular design decisions.

Life Cycle Assessment is an emerging tool that promises to aid in architectural decision making. LCA was developed by industrial ecologists, chemists, and chemical engineers seeking to understand and reduce the impact of manufacturing and process chemistry. Today, LCA is being promoted as a tool for analyzing the environmental impact of buildings and making decisions to reduce these impacts.

The output of an LCA can be thought of as a wide-ranging environmental footprint of a building—including aspects such as energy use, global warming potential, habitat destruction, resource depletion, and toxic emissions. In the future, LCA will highlight those building components that cause the highest environmental impact, and whether the impact of a project is coming primarily from site selection or the ongoing operation of the building. The method allows the designer to assess tradeoffs in building design, such as those in selecting a steel or concrete frame or a clay masonry or stone veneer. These are the promises of LCA.

There exists, however, significant confusion about LCA and how it can be used in its current state. Though one can complete an LCA on a building, there are few baseline metrics to allow for comparison with other buildings. Rating systems and standards currently under development—such as LEED, Green Globes, and ASHRAE/USGBC/IESDNA Standard 189—are beginning to incorporate Life Cycle Assessment. The AIA has commissioned this document to aid practitioners' understanding and adoption of the LCA methodology.

# **Organization of the Document**

This document reviews the state-of-the art of Life Cycle Assessment in the building industry. The document also reviews the state of practice and research. Because few practitioners are likely to attempt an LCA without the use of software tools, the document reviews the tools currently available and identifies likely scenarios for their use in the building design process. To illustrate examples of LCA, case studies of LCA use in commercial and residential buildings are presented, for both built projects and in conceptual works. Finally, the document provides guidelines for use of LCA in building projects based on the questions being asked of the LCA and the phase in the design process in which the LCA is being implemented.

# **History of LCA**

The LCA methodology dates back to 1960s, when concerns over the limited availability of raw materials and energy resources led to new ways to account for energy use and the consequences of these uses. [2] In the early 1990s, LCA was used for external purposes, such as marketing. [3] Its application broadened

in the present decade into building materials, construction, chemicals, automobiles, and electronics. This was primarily because of the formalization of LCA standards in the ISO 14000 series (1997 through 2002) and the launch of the Life Cycle Initiative, a combined effort by United Nations Environment Programme (UNEP) and the Society of Environmental Toxicology and Chemistry (SETAC), in 2002.[2]

The principles provided by ISO standards and SETAC are well structured for industrial processes. However, when applied to buildings, the following basic differences need to be considered [4]:

- The useful life for a building is typically much longer than for industrial products
- The unique character of every building project differs from the thousands of identical products in industrial systems
- It is difficult to characterize the functional unit or boundary of analysis for a building, as compared as to an industrial product

These differences make it apparent that the guidelines used for industrial products cannot be borrowed directly for use in buildings. Use of LCA for buildings requires a set of guiding principles that takes into consideration the unique character of every building design, complexity in defining systems, and related decisions made by the owner and design team.

Another major problem with LCA is that it is relatively new to the building industry. As in any developing field, there is a great deal of confusion about LCA, which can inadvertently lead to misuse of LCA tools, techniques, and supporting data. [5] Thus there is a need for a clear working definition of LCA and related terminology to help build credibility for the methodology and make the building industry more receptive to this new way of evaluating their work.

#### **Definitions and Aspects of Life Cycle Assessment**

The LCA process is governed under ISO 14000, the series of international standards addressing environmental management. According to International Standard ISO 14040,[6] LCA is a "compilation and evaluation of the inputs, outputs and the potential environmental impacts of a product system throughout its life cycle."

The Code of Practice by the Society of Environmental Toxicology and Chemistry (SETAC) describes LCA as "a process to evaluate the environmental burdens associated with a product, process, or activity by identifying and quantifying energy and materials used and wastes released to the environment; to assess the impact of those energy and materials used and releases to the environment; and to identify and evaluate opportunities to affect environmental improvements." [7] The Environmental Protection Agency (EPA) refers to LCA as "a cradle-to-grave approach for assessing industrial systems that evaluates all stages of a product's life." [2]

#### **Variants of LCA**

The scope of LCA can extend to various stages and processes in a product's life. Depending on the purpose of conducting the LCA, one of two primary means for conducting the LCA can be considered: process-based LCA and economic input-output-based LCA. Within each variant, there exists a number of options to be considered.

#### Process-based LCA Method

In a process-based LCA, one itemizes the inputs (materials and energy resources) and the outputs (emissions and wastes to the environment) for each step required to produce a product. [8] LCA methods implemented in the building construction industry are based primarily on process-based LCA, and thus this document focuses on this method.

Different types of process-based LCA methods are:

#### Cradle-to-Grave

Cradle-to-grave is the full Life Cycle Assessment from manufacture or "cradle" to use phase and disposal phase, "grave." An example would be to use process-based LCA to capture the impact of cellulose insulation:

Tree  $\rightarrow$  Paper  $\rightarrow$  Cellulose insulation  $\rightarrow$  Ceiling insulation in the building  $\rightarrow$  Building demolition  $\rightarrow$  Insulation incinerated

#### Cradle-to-Gate

Cradle-to-gate is an assessment of a partial product life cycle from manufacture, "cradle," to the factory gate,i.e., before it is transported to the consumer. Cradle-to-gate assessments are sometimes the basis for Environmental Product Declarations (EPDs). Used for buildings, this would only include the manufacturing and, and perhaps, depending on how the LCA was carried out, the construction stage. For building LCA tools based on assemblies, the starting point for the assessment might be a collection of cradle-to-gate LCAs completed on major building systems, for example, curtain wall, roof systems, load bearing frames, etc., which are then assembled into a complete cradle-to-grave assessment of the entire building.

#### Cradle-to-Cradle

Cradle-to-cradle is a specific kind of cradle-to-grave assessment where the end-of-life disposal step for the product is a recycling process. From the recycling process originate new, identical products or different products. Due to the work of William McDonough, [9] the term cradle-to-cradle often implies that the product under analysis is substantially recycled, thus reducing the impact of using the product in the first place.

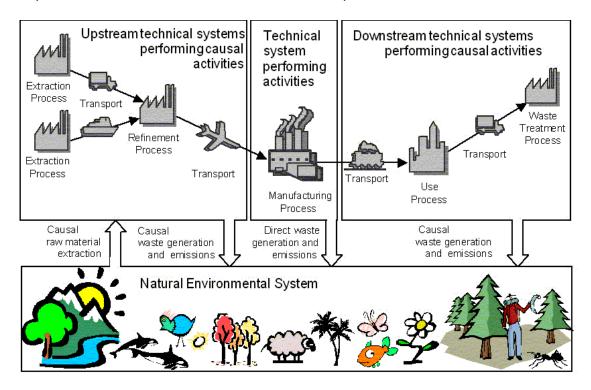
#### Gate-to-Gate

Gate-to-Gate is a partial LCA that examines only one value-added process in the entire production chain, for example by evaluating the environmental impact due to the construction stage of a building.

#### Economic Input-Output Based LCA Method

The Economic Input-Output Life Cycle Assessment (EIO-LCA) method estimates the materials and energy resources required for, and the environmental emissions resulting from, activities in a given economy. [8] Unlike process-based LCA methods, which focus on examining a single process in detail, input-output-based LCA methods consider an entire sector of the economy—all activities of all industry sectors. Although such analysis gives a more holistic view of the impact of a process or product, it relies on sector-level averages that may or may not appropriately represent a subset of the sector relevant to

a particular product. In terms of the building industry, the EIO-LCA method is not an appropriate tool for use in determining whether specific actions are environmentally beneficial or harmful within a given project. Rather, the EIO-LCA method is better suited to track the overall impact of one aspect, e.g., the use of fly ash in concrete, in the entire construction industry as a whole.



A graphical representation of the LCA process after DANTES[10]

# **Life Cycle Stages**

Every product or process goes through various phases or stages in its life. Each stage is composed of a number of activities. For industrial products, these stages can be broadly defined as material acquisition, manufacturing, use and maintenance, and end-of-life. In case of buildings, these stages are more fully delineated as: materials manufacturing, construction, use and maintenance, and end of life.

#### **Material Manufacturing**

This stage includes removal of raw material from the earth, transportation of these materials to the manufacturing location, manufacture of finished or intermediate materials, building product fabrication, and packaging and distribution of building products.[6]

#### Construction

This phase accounts for activities relating to actual construction of a building project. Typically, the following activities are included in this stage: transportation of materials and products to the project site, use of power tools and equipment during construction of the building, on-site fabrication, and energy used for site work. Permanent impacts to the building site also fall into this stage, though these impacts are fully considered in current LCA methods.

#### **Use and Maintenance**

This stage refers to building operation, which includes energy consumption, water use, and environmental waste generation. It also takes into account the repair and replacement of building assemblies and systems. The transport and equipment use for repair and replacement is also considered in this stage.

#### **End of Life**

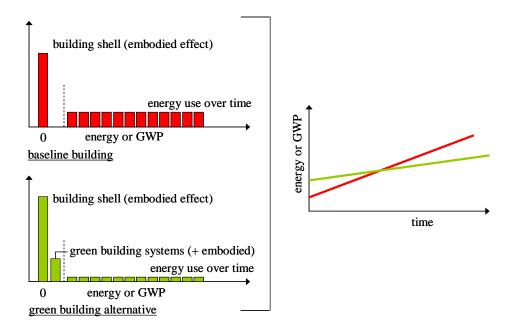
This includes energy consumed and environmental waste produced due to building demolition and disposal of materials to landfills. The transport of waste building material is also included in this stage. Recycling and reuse activities related to demolition waste can also be included in this stage, depending on the availability of data. (The return of significant high-value materials to the inventory through recycling can even be considered as a "negative impact.")

It should be noted here that the description of building life-cycle stages presented above is based on review of previous LCA studies. [4] [11] [12] Each life-cycle stage may or may not include all the activities described above, depending on the scope of the project.

# **Embodied Energy, Operational Energy and LCA**

Energy modeling has been applied to buildings for more than 30 years, starting with the advent of computer simulations such as DOE2 and BLAST in the early 1970s. The use of energy modeling is at the heart of the LEED rating system and, as such, is becoming more widely used as a building design tool. Though architects do not generally craft their own energy models, most are familiar with the process. Energy modeling is perhaps the most appropriate way to meet the energy code requirements for buildings, such as those embedded in ASHRAE 90.1 and 90.2, for commercial and residential buildings respectively, as well as the higher performance levels expected by LEED. The output from an energy model is the projected energy use within a building as it operates over a typical meteorological year. This energy can be considered the "operational energy" and is one component of the input needed to complete an LCA for a building.

The second major component of the energy consumed by the building is the embodied energy. This embodied energy comes from the materials manufacturing and construction phases of the building project. The need to understand embodied energy becomes more important as measures to reduce operational energy are taken. For so-called net-zero buildings, most of the impacts will be embodied, as systems are designed to cover net operational needs with on-site power generation. An LCA that includes the materials manufacturing and construction phase of the projects is the primary means of computing the embodied energy in a building.



The embodied and operational energies of two building projects. The baseline building (in red) has the smallest embodied energy but uses more energy over time. The green building alternative includes additional embodied energy from systems like high-performance insulation and glazing and photovoltaics. Over time, the energy embodied in the green build systems is "paid back," and the overall impact of the green building, embodied+operational, becomes less than that of the baseline building. If energy sources for building construction and operation are known, then energy use can be converted to carbon emissions, often denoted as global warming potential or GWP.

# **Steps of the LCA Process**

According to ISO 14040, LCA consists of four components or steps: (1) Goal and Scope Definition, (2) Inventory Analysis, (3) Impact Assessment, and (4) Interpretation.

#### **Step 1: Goal and Scope Definition**

In this phase, the product(s) or service(s) to be assessed are defined, a functional unit is chosen, and the required level of detail is defined. [13] The type of analysis, impact categories to be evaluated, and set of data that needs to be collected are identified. System boundary and functional unit definition are important elements of this component. (See definitions of these terms, below.)

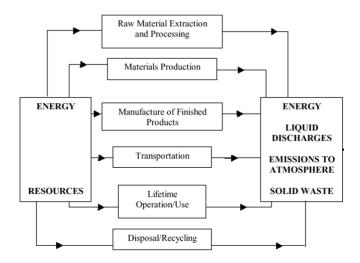
**Functional Unit:** The functional unit is a description of the product or system being assessed, defined with great specificity so that the resulting LCA can be compared to the LCA of a similar product or system on a one-to-one basis. For a floor cleaning product, the functional unit might be 16 ounces of cleaner. It also might be the recommended amount of cleaner for 1,000 square feet of flooring (to account for cleaner concentration). For a building LCA, the functional unit might be "the entire building supplied from design to demolition for a 50-year service life," or it might be computed on a per-square-foot basis and limited to one life cycle stage (e.g., construction).

**System Boundary:** The system boundary dictates the breadth and depth of the proposed LCA. For example, if the LCA is completed on a building enclosure system, then the system boundary will likely <u>exclude</u> the primary building structure that supports the façade. The assessment might or might not include the clips, brackets, and lintels that are used to attach the façade to the building. If a comparative LCA is anticipated, then it is critical that the system boundary be established in the same way for the systems being compared.

#### **Step 2: Inventory Analysis**

In this step, the energy and raw materials used; the emissions to atmosphere, water, and soil; and different types of land use are quantified for each process then combined in the process flow chart and related to the functional basis. [13] In other words, an inventory of all the inputs and outputs to and from the production system is prepared in this step. As an example, the inputs may include water consumption and the outputs may include sulfur oxides (SO<sub>x</sub>). Thus, products and processes can be compared and evaluated using Life Cycle Inventory (LCI) results. If the results of LCI are consistent, which means that a product performs well or poorly in all environmental burdens, there is no need to carry out Step 3, Impact Assessment. However, if the LCI results are inconsistent, Step 3 becomes essential.

In the inventory analysis stage, software tools and databases are critical. It is not possible to analyze each individual material and process from scratch each time an LCA is performed. Instead, software tools tied to extensive product and process databases are used to complete the inventory analysis. The simplest software tools are spreadsheets, in which material quantities can be entered. More complex tools act more like cost-estimating software, so that automated tabulation of material quantities from assemblies can be completed on a square-foot basis.



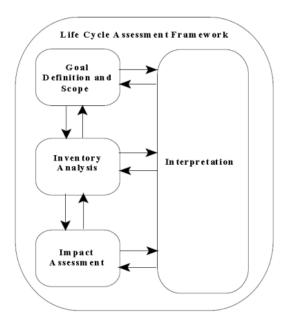
A graphical representation of the Inventory Analysis step. The diagram can be applied to the overall product or process being analyzed or can be thought of as a building block applied to each discreet sub-product within an overall LCA. For example, the diagram above could apply to anodized aluminum extrusions, which would then be one component of an overall LCA on a curtain wall system (from British Royal Chemistry Society).

#### **Step3: Impact Assessment**

The impact assessment translates the *emissions* from a given product or process into impacts on various human and terrestrial eco-systems. (See the section on Impact Categories, below.) To aid in the understanding of impacts, the effects of the resource use and emissions generated are grouped and quantified into a limited number of impact categories, which may then be weighted for importance. [13] In other words, data from the inventory analysis (Step 2) is attributed to an appropriate impact category defined in scoping (Step 1). The results from this step can either be obtained for different impact categories or a single value result can be obtained by applying weights. Continuing the example above,

the outputs of  $SO_x$  may contribute to a number of impact categories, but primarily to impact categories related to acid rain (acidification) and the production of smog.

Impact assessments differ among the LCA tools used, and there is no one dominant impact framework, either in North America or internationally. For this reason, a given LCA may choose to skip the impact assessment step and instead present its results in terms of bulk emissions. The BEES LCA tool includes a range of options for impact categories, allowing the user to select a suite of impacts that most closely aligns with the value system of the user.



LCA Steps according to ISO 14040[13]

#### **Step 4: Interpretation**

LCA results are reported in the most informative way possible and the need and opportunities to reduce the impact of the product(s) or service(s) on the environment are systematically evaluated. [13]. In this step, the results are often presented in the form of tables or graphs, which is especially helpful when comparing two competing design options or products. The outcome of this step is directly useful in making environmentally friendly decisions. Like any other design feedback tool, LCA can be an iterative process; the interpretation of the LCA can lead to changes in the proposed design, which then leads back to Step 2 in the process.

#### **Summary of Steps**

The LCA, therefore, starts with a definition of the goals for completing the LCA; that is, a clear list of the questions that the LCA is intended to answer. The boundary of the LCA is drawn so that one understands which materials and processes are being considered and which are beyond the scope of the assessment. The main effort of the LCA is in the inventory analysis, where materials and activities are

analyzed and the emissions from them are accrued. As an option, the environmental impact of these emissions can be analyzed using a recognized method for impact analysis. Finally, the results of the LCA must be analyzed in light of the questions posed at the beginning of the process. ISO 14040 presents this graphically, as shown.

# **Impact Categories**

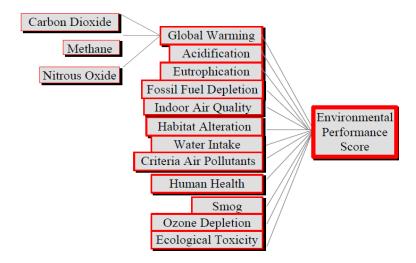
The impact categories of LCA methodologies vary from system to system. A full comparison of the impact categories is beyond the scope of this guide. Environmental Impact Categories are mappings from quantities of emissions to the environmental impacts that these emissions cause. They can be thought of as a class of environmental issues of concern to which Life Cycle Inventory (LCI) results may be assigned. [14] The impact categories have been established from nationally recognized standards established by agencies such as the Environmental Protection Agency, Occupational Safety and Health Administration, and National Institutes of Health. The impact is usually given as a ratio of the quantity of the impact per functional unit of product produced. Each category is an indicator of the contribution of a product to a specific environmental problem. These categories are defined by the Life Cycle Impact Assessment (LCIA) methods described below. A set of impact categories common to many LCA methods are also provided below. [15]

#### **Global Warming Potential (GWP)**

Global Warming Potential, or GWP, has been developed to characterize the change in the greenhouse effect due to emissions and absorptions attributable to humans. The unit for measurement is grams equivalent of  $CO_2$  per functional unit of product (note that other greenhouse gases, such as methane, are included in this category, thus the term " $CO_2$  equivalent" is an impact and not an emission).

# **Acidification Potential (AP)**

Acidifying compounds emitted in a gaseous state either dissolve in atmospheric water or fixed on solid particles. They reach ecosystems through dissolution in rain. The two compounds principally involved in acidification are sulfur and nitrogen compounds. The unit of measurement is grams of hydrogen ions per functional unit of product.



BEES (Building for Environmental and Economic Sustainability) impact categories and weighting. Different weighting strategies may be selected in order to establish the environmental performance score. Though the environmental performance score may be useful in comparing two functionally identical building products or functionally equivalent buildings, the use of such scores may mask the character of the impacts and the ability to understand the most significant impacts of a given product or process (after BEES Technical Manual and User Guide).

#### **Eutrophication Potential (EP)**

Eutrophication is the addition of mineral nutrients to the soil or water. In both media, the addition of large quantities of mineral nutrients such as nitrogen and phosphorous results in generally undesirable shifts in the number of species in ecosystems and a reduction in ecological diversity. In waterways, excess nutrient leads to increased biological oxygen demand (BOD) from the dramatic increase in flora that feed on these nutrients, a subsequent reduction in dissolved oxygen levels, and the collapse of fish and other aquatic species. The unit of measurement is grams of nitrogen per functional unit of product.

#### **Fossil Fuel Depletion**

This impact addresses only the depletion aspect of fossil fuel extraction, not the fact that the extraction itself may generate impacts. The unit for measurement is mega joules (MJ) of fossil-based energy per functional unit of the product. This category helps demonstrate positive environmental goals, such as reducing the energy needed to produce a product, or such as producing a product with renewable, non-fossil-based energy.

#### **Smog Formation Potential**

Under certain climatic conditions, air emissions from industry and fossil-fueled transportation can be trapped at ground level, where they react with sunlight to produce photochemical smog. The contribution of a product or system to smog formation is quantified by this category. The unit of measurement is grams of nitrogen oxide per functional unit of product. This highlights an area where a regional approach to LCA may be appropriate, as certain regions of the world are climatically more susceptible to smog.

#### **Ozone Depletion Potential**

Emissions from some processes may result in the thinning of the ozone layer, which protects the earth from certain parts of the solar radiation spectrum. Ozone depletion potential measures the extent of

this impact for a product or system. The unit of measurement is CFC-11 per functional unit of the product.

#### **Ecological Toxicity**

The ecological toxicity impact measures the potential of a chemical released into the environment to harm terrestrial and aquatic ecosystems. The unit of measurement is grams of 2, 4-dichlorophenoxyacetic acid per functional unit of product.

#### **Water Use**

Water resource depletion has not been routinely assessed in LCAs to date, but researchers are beginning to address this issue to account for areas where water is scarce, such as the western United States. The unit of measurement is liters per functional unit.

It should be noted that the impact categories listed above is in accordance with TRACI LCIA method used in the Building for Environmental and Economic Stability (BEES®) tool [15]. Other impact categories included in BEES but not described here are Habitat Alteration, Criteria Air Pollutants and Human Health. These definitions and units may differ depending on the LCIA method used (see below).

# Life Cycle Impact Assessment (LCIA) Method

Several methods are used to convert the LCI analysis results (quantities of materials and energy used and resulting emissions) into environmental impacts. Some commonly used methods are Eco-indicator 99, EDIP 1997 and IMPACT 2002+. The Tool for the Reduction and Assessment of Chemical and other environmental Impacts (TRACI) is an impact assessment tool developed by Environmental Protection Agency (EPA). TRACI allows the examination of the potential for impacts associated with the raw material usage and chemical releases resulting from the processes involved in producing a product. It allows the user to examine the potential for impacts for a single life cycle stage or the whole life cycle and to compare the results between products or processes.

Within a given impact category, groups of emissions that contribute to single impact are often converted into an equivalent value as part of the LCIA step. The results from LCIA step are often normalized and weighted to provide simpler interpretations of the results. The processes of equivalence-ing, normalizing, and weighting are discussed below.

#### **Equivalents**

A wide range of emissions may contribute to given impact category. For example, 12 chemical emissions are listed in the BEES manual as contributing to global warming. [15] Common GWP chemicals are  $CO_2$  and methane—but the release of methane has 23 times more impact than a release of the same amount of  $CO_2$ . Therefore, the  $CO_2$  equivalent of an emission of methane is 23 times the methane release. The summation of the 12 chemicals, multiplied by their equivalence values, leads to the global warming impact or GWP, measured in  $CO_2$  equivalents.

#### **Normalization**

Normalization is a technique for changing impact indicator values with differing units into a common, unit-less format. This is achieved by dividing the impact category value by a selected reference quantity.

The reference may be chosen, but often the average yearly environmental load in a country or continent, divided by the number of inhabitants, is used as the reference. [14]. In case of the BEES® LCA tool, normalization results in impact caused per person in the U.S. over a year towards a specific impact category. This process increases the comparability of data among various impact categories as all the categories are reduced to the same scale. [2]

#### **Weighting Methods**

Some LCIA methods allow weighting across impact categories. This means the impact (or damage) category indicator results are multiplied by the weighting factors and added to form a total "environmental performance" score. [14] Weighting can be applied on normalized or non-normalized scores. It can either be user-defined—representing the value system of the LCA user—or predefined by experts. For example, the BEES® Stakeholder panel proposes weights for each impact category. According to this panel, GWP contributes 29 percent to the total environmental score, whereas AP contributes 3 percent. Though weighing can make environmental impacts easier to understand (by providing a scalar quantity), it has the potential to mask the underlying impacts.

# **LCA Terminology**

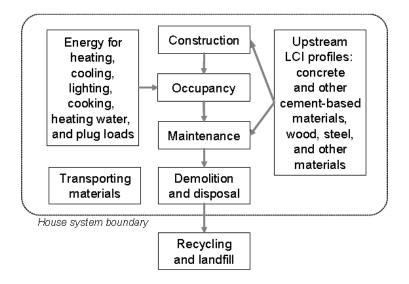
A number of technical terms are used to describe Life Cycle Assessment, its components, and related assessment methods. One term that is often used is Life Cycle Analysis, which is simply a synonym for Life Cycle Assessment.

#### **Functional Unit**

The functional unit can be defined as the unit of comparison that assures that the products being compared provide an equivalent level of function or service. [2] It is difficult to establish functional equivalence in the building industry. True equivalence can only be ensured at the level of a complete building design. For example, a wood structure is likely to have different cladding and insulation requirements than a steel or concrete structure. Therefore, if wood is being compared with steel or concrete for environmental impact, then all the related decisions, such as for cladding and insulation options, need to be accounted for to achieve functional equivalence. Case-specific considerations should be explicitly stated when determining functional equivalence. [5] An example of a functional unit when comparing two building design options for a school can be "provision of a school building that operates for 50 years."

#### **System Boundary**

System boundary is defined as an interface between a product system and the environment or other product systems. [14] It defines the activities and processes that will be included in each life-cycle stage for the LCA analysis and those that will be excluded. Figure 5 presents an example of a system boundary defined for conducting an LCA of a house. [16] It can be seen that recycling and landfilling are not included within the system boundary as impacts because these two activities have not been accounted in the LCA.



System boundary for the LCA of a house[16]

#### Life Cycle Inventory (LCI) Database

LCI data are at the heart of any LCA analysis. Several organizations and LCA tool developers have developed LCI databases that contain material and energy use data as well as emissions data for commonly used products and processes. These databases contain elementary flows (inputs and outputs) for each unit process for a product system[2] and are specific to countries and regions within countries. The LCI data are region-specific because the energy fuel mix and methods of production often differ from region to region. The data can be based on industry averages or could be supplier-specific. For example, the BEES® LCA Tool is driven largely by product data supplied by suppliers.[15] However, the modules do not contain data characterizing the full life cycles of specific products.[2] The data in the LCI databases generally account for raw material extraction, transportation to a manufacturing unit, the manufacturing process, and packaging and distribution. Examples of some LCI databases are the EcoInvent Database with global, European, and Swiss datasets and the US LCI database managed by the National Renewable Energy Lab with US datasets.[17] The databases are either available with an LCA tool or can be imported into a tool. The US LCI database is also available in spreadsheet form (from http://www.nrel.gov/lci/database/).

Databases may contain industry averages or product-specific data. Industry averages make more sense in whole-building LCA tools, as these tools are designed to be used by architects to make decisions about assemblies at the schematic design stage [5]. A specific supplier is not usually identified in early-stage design. At the specification and procurement stages, if the supplier-specific data are available, a decision to select the most environmentally sensitive supplier for a specific product could be assisted by the use of LCA. It may be necessary to engage an LCA practitioner at this stage, as LCA tools for architects may not have supplier-specific capabilities.

#### **Life Cycle Management (LCM)**

LCM is a framework that uses methods like LCA and Life Cycle Costing (LCC) to support decisions leading to sustainable development. LCM has been defined by the SETAC Working Group as "a flexible integrated framework of concepts, techniques, and procedures to address environmental, economic, technological, and social aspects of products and organizations to achieve continuous environmental improvement from a Life Cycle perspective." [18] A Life Cycle Management (LCM) approach can form the basis of an effective business strategy by providing a framework for improving the performance of an organization and its respective products and services. An example of use of the LCM approach is discussed by Junnila. [19] The study uses the LCM approach for estimating the life-cycle impacts of three products by EIO-LCA method and assesses the suitability of such an approach in a company environment.

#### **Life Cycle Costing (LCC)**

LCC provides decision support in selecting a building system or whole-building design based on its financial benefits as opposed to LCA, in which a decision is based on the environmental benefits of a system or design. LCC provides a basis for contrasting initial investments with future costs over a specified period of time. The future costs are discounted back in time to make economic comparisons between different alternative strategies. LCC involves the systematic consideration of all relevant costs and revenues associated with the acquisition and ownership of an asset. In the context of buildings, this consists of initial capital cost, occupation costs, operating costs, and the costs incurred or benefited from its disposal. [20] An LCC analysis is a data-intensive process, and the final outcome is highly dependent on the accessibility, quality, and accuracy of input data.

There are a number of factors limiting the use of LCC at present.[20] They are:

- A general lack of motivation to use LCC because it is a time-intensive process. Moreover, there is lack of confidence in the results.
- Clients are not willing to pay the architect or other consultant for the added cost of conducting an LCC, as there is a lack of awareness of its benefits.
- There is no standardized method.
- The nature of buildings makes the whole-building LCC methodically much more complex.
- There is significant uncertainty in operational cost data.
- The performance information about innovative green materials and technologies is missing.

Though they are quite different in terms of intent, many of the problems associated with life cycle costing also apply to life cycle assessment. An example of LCC applied to a construction project is presented by Rutgers Center for Green Building.[21]

#### Symbiotic Relation between LCA and LCC

LCA and LCC when used together can lead to more holistic decision making. Most building projects are constrained by budgets. In a given scenario, LCA will produce results indicating the environmental impacts of different options. The option with least impact is proposed as the best solution based on LCA

results, but this option might have a large initial cost. In such a situation, LCC can evaluate the life cycle cost of the option and help in selecting the most suitable option based on a limited budget and calculated payback period, while simultaneously managing environmental impacts. An example of simultaneous use of LCA and LCC can be found in a project by Siegel and Strain Architects.[22]

## **Life Cycle Energy Analysis (LCEA)**

Life Cycle Energy Analysis, also referred to as Life Cycle Energy Assessment, is an abbreviated form of LCA that uses energy as the only measure of environmental impact. [23] This helps in choosing energy efficient materials, systems, and processes for the life cycle of the building. An example of use of LCEA can be found in a study by Huberman and Pearlmutter. [23] The study aims to identify building materials from a number of possible alternatives that will optimize a building's energy use over its entire life cycle.

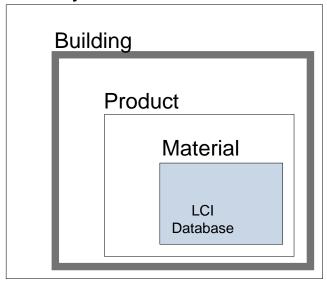
#### **Carbon Accounting**

Carbon accounting is the process by which  $CO_2$  emissions from fossil fuel combustion are calculated. [24] Carbon emissions factors are expressed in many forms, either expressed as a mass of  $CO_2$  or only as the mass of carbon contained in the  $CO_2$ , and may be expressed in any unit of mass. In case of buildings, carbon accounting would consider  $CO_2$  emissions from all life stages. [25] Thus, carbon accounting can be described as a narrow-scoped LCA that is only targeted to measure the  $CO_2$  emissions for a building life-cycle.

# Life Cycle Assessment in the Building Industry

The LCA methodology as it relates to the building industry can be pictured as operating at one of four levels: material, product, building, or industry, as shown in the diagram below. Each larger level builds from the level below and expands from the material kernel.

# Industry



LCA in the building industry can be thought of as operating at one of four levels. At the <u>material</u> and <u>product</u> levels, architects are likely to be consumers of LCA information, that is, they may use this information to guide in their material and product selection process. At the <u>building</u> level, architects may themselves be the LCA practitioners, using buildingspecific LCA tools to create LCAs that characterize the environmental footprint of proposed projects, either for the purpose of meeting regulatory requirements (e.g., to stay below a specified impact threshold) or as part of an iterative design methodology that seeks to minimize the environmental impact of a project. LCAs created at the <u>industry</u> level are more likely to be of use to policy makers and planners.

#### **Material Level**

At its core, process-based LCA is defined at the material level. In the United States, the primary source for information about the environmental impact of materials is the LCI (life cycle impacts) database managed by the National Renewable Energy Laboratory or NREL.[17] Participants in the US LCI Database Project are actively involved in analyzing widely used building materials and formatting their analysis for inclusion in the LCI database (Institute et al. 2003). Athena Impact Estimator uses the US LCI database.

Prior to the development of this database, LCA software for the United States used LCA data from foreign data sources. The early versions of BEES used US data for energy production and European data for materials, along with proprietary material-supplier data for the manufacturing life cycle. [68] The current version of BEES uses these proprietary data, the US LCI database, and supplemental analysis from SimaPro with the EcoInvent database.

The question "What is a material?" seems straightforward, but is not. Both cement and concrete are building materials, but cement is a constituent of concrete. The environmental footprint of Portland cement is significant, due the extraction of precursor minerals from the earth and the energy necessary to create the Portland cement clinker. [69] An LCA of a given concrete will depend on the percentage of cement that is included in the concrete and whether fly-ash is used as a substitute for cement. [70] In addition, the location of cement production relative to the building site will have a significant impact on the LCA outcomes. [26] The BEES LCA tool, for example, allows for the user to select a concrete with 100 percent Portland cement, as well as other concretes with fly-ash, limestone, and slag as substitutes for a portion of the cement.

It is not likely that an architect or any building industry consultant would be called on to produce material-level LCI data. This information is calculated by process chemists, chemical engineers, and associated specialists and submitted for inclusion in various LCI databases. There is some direct use of material-level LCI data by building professionals, however. If one wanted to calculate the positive impacts of using fly-ash as a substitute for part of the Portland cement in concrete, this calculation could easily be made by directly accessing data from the LCI database.

#### **Product Level**

At the product level, an LCA is calculated as a collection of materials, which are assembled into a final (or intermediate) product. A quantity takeoff of the product is completed, and the emissions from each component of the products are summed. The product LCA of a heat pump would include the production of the pre-cursor materials—steel, copper, aluminum, plastics, refrigerants—plus emissions from galvanizing processes, painting, metal fabrication, welding, etc. Completion of the heat pump LCA might be made easier if the LCA of a particular component, say an electric motor, is already available.

To complete a product LCA, thorough knowledge is required of the source and quantities of materials and the manufacturing processes of the finished product. General-purpose LCA software, such as Gabi, Boustead, or SimaPro, is usually employed to complete a product LCA.

A large quantity of product-level LCA data is emerging that is useful to architects. This is especially true in areas where products can clearly be compared on a one-to-one basis or in LCA terminology, where the functional unit for a product can be clearly delineated. Manufacturers of office furniture and carpets are adopting the LCA method widely and providing the results of these LCAs to architects to demonstrate the "green-ness" of their products.[27]

#### **Building Level**

Building LCA, or whole-building LCA, can be thought of as a product LCA writ large, where the product is the building. In this case, the architect can be the LCA expert, as the architect understands how the building is constructed, how building materials and products flow to the jobsite, and how the building is going to be operated over time. A rationale for conducting whole-building LCA, and specific strategies for the use of building-scale LCA information, are discussed in detail in Chapter 5 of this document.

In North America, three tools exist to support the whole-building LCA process: Athena Eco-Calculator, BEES, and Athena Impact Estimator. A detailed description of these tools is given in Chapter 2.

#### **Industry Level**

At the building industry level, the Economic Input-Output (EIO) based LCA method is probably the best tool for completing an LCA. Instead of completing a process-based LCA of every building in the portfolio—not a realistic approach—an LCA at the building industry scale is completed by examining industrial production and economic output data. And so, for example, to characterize the environmental impact of the residential housing industry, surveys of homebuilders, housing start data, income of wood-products suppliers, property tax rolls, and construction employment data could be collected and analyzed to predict the amount of green-field land, non-renewable materials, and energy are directed into residential construction on a national or regional basis each year. In this way, an LCA of an entire segment of the AEC industry is created, but with little of the specificity found in process-based LCAs. The EIO LCA method has been used in the building industry to quantify the impacts of cement and steel production, suburban sprawl and urban densification, and changes in land use, for example.

Again, it is clear that LCA at this industry-wide scale is not actionable by a practicing architect. Rather, it is at the smaller scales: material, product, and building that the LCA becomes useful to the architect.

# LCA and the Design Process

In addition to asking the question: "At what level of detail can an LCA be used?" it is also possible to clarify LCA by asking: "At what stages of the design process can LCA be useful?" To aid in this discussion, we will use the typical stages of the architectural design process taken from AIA D200[28] and focus on the pre-design, schematic design, and design development stages of the design process.

The table below presents typical design activities that take place at these three stages of the design process. Activities where input from an LCA would clearly be relevant are indicated in the table in **bold**. These activities are discussed in detail in the text following the table.

Table 1 - Tasks accomplished in three design stages of a building project[28]

Pre-Design Stage	Schematic Design Stage	Design Development Stage
Identify owner's requirement	Site plan and principal floor plans prepared	Detailed site plan indicating building location and site improvements prepared
Departmental and room-by- room interaction matrix established	Views, elevations, sketches, and models prepared to convey building configuration	Detailed plans, elevations, sections, schedules, and notes prepared
Preliminary structural, mechanical, electrical, and other engineering systems determined	Comparative structural, mechanical, electrical, and other systems analyzed	Structural, mechanical, electrical, and other building systems finalized
Block plans created showing all rooms, corridor, and vertical solutions	Space and location requirement for these systems determined	Review obtained from regulatory agencies
Estimates prepared for total project cost and annual project operating expenses	Preliminary screening of materials, equipment, and fixtures carried out	Code compliance check

#### **Pre-Design Stage**

In this design stage a concept based on feasibility studies is prepared. It shows the design analysis and options considered and will be sufficiently detailed to establish the outline proposal preferred. This design stage is often time constrained. [28] [29] During this stage, LCA can help define the environmental goals of a project. LCA could be used to make decisions regarding the building footprint among several options. The basic decisions for choosing a structural system can also be based on LCA. Trade-offs between impacts from the manufacturing and operational phases can be evaluated to decide assembly types.

# **Schematic Design Stage**

The pre-design proposal approved by the client is now taken to a more detailed level. The tangible material produced can include site layout, planning and spatial arrangements, elevation treatment, construction, and environmental system. [28] [29] Choices regarding selection of building products and assemblies can be made with the help of LCA. Energy conservation measures can be assessed for their environmental burdens, and an informed decision can be facilitated by the use of LCA.

#### **Design Development Stage**

At this stage, the schematic design solution is worked through in detail. At the end of this phase, detailed design drawings are produced for co-coordinating structure, services, and specialist installation. Internal spaces may be detailed to include fittings, equipment, and finishes. [28] [29] In the design development stage, LCA can help evaluate the life-long impacts of proposed lighting and HVAC systems. The most crucial stages in a system's life can be identified in terms of environmental impact, and appropriate modifications to the system design can be proposed. Material finishes can also be compared with the help of LCA results, and the right choices can be made.

In summary, LCA can be helpful in:

- Making choices among various building design options
- Making choices among various building structural systems, assemblies, and products
- Identifying products or assemblies causing the maximum and minimum contribution to the overall environmental impact throughout building's life cycle
- Identifying stages of building life cycle causing the maximum and minimum contribution to overall impact
- Mitigating impacts targeted at a specific environmental issue.

# Challenges in the Use of LCA

Though LCA is doubtless the best tool for analyzing the environmental impact of product or project, the methodology and underlying data are still being developed. In this section of the text, the challenges of applying the LCA methodology to buildings is discussed.

LCA is a complex method heavily relying on the availability and completeness of data (LCI) and methodologies for tabulating material use within the LCA tools. A number of key challenges for applying the LCA methodology to buildings are enumerated below.

#### Data collection

Data collection for the inventory analysis can be quite exhausting. The availability of good life cycle inventory data is more limited in North America than it is in Europe, where LCA is practiced and understood more widely. [30] Again, this lack of data is being addressed in the United States through the US Life Cycle Inventory project, overseen by NREL. [17] The deficiency in present databases leads to collection of data from other sources, such as product manufacturers (first party data, instead of third-party data). The lack of readily available data makes the task of conducting LCA difficult for the architect or even for an LCA practitioner. It is unlikely that any design professional will use LCA unless the inventory analysis data have already been collected, tabulated, and indexed in a way that promotes ease of use. Further development of aggregated LCAs of building assemblies, as are present in BEES and Eco-Calculator, will assist architects in constructing LCAs of buildings without the need for extensive manual data collection.

#### **Data Quality**

Data collected from single manufacturers can be unreliable and inconsistent. This may lead to unfair comparison between two competing products. Additions to the LCA databases by industry trade

associations (instead of single suppliers), has improved the quality of LCI data. Nevertheless, such data require third-party validation.

#### **Issues with Impact Assessment Methods**

LCIA is an evolving science based on assumptions and extrapolations from work of scientists in many fields. [30] The methods used to translate inventories into impacts vary by impact category. Impacts such as global warming and ozone depletion are estimated based on internationally established methods. For impact categories like eco-system toxicity, the impact assessment method is less consistent, as the impacts are much more complex to quantify. For example, it is widely acknowledged that a release of mercury into the environment is harmful to eco-systems, but it is difficult to quantify this impact in terms of human morbidity and mortality.

#### **Issues with Weighting**

The impact assessment results in scores for different impact categories. Often, the decision of selecting the more important impact to compare the products is left to the user. Some LCA tools facilitate the weighting process or even include default weightings. But reducing the results to a single score requires even more questionable assumptions and generalizations than impact category assessment, which is not found acceptable by many LCA experts.[30] Many believe that a better approach is to report the impacts in each of the categories and then use these values without weighting.

# Role of ISO Standards, SETAC/UNEP, and EPA

The 14040 series of ISO (International Organization for Standardization) includes a series of standards relating to LCA. ISO is primarily responsible for standardizing the LCA methodology. It concerns the technical as well as the organizational aspects of an LCA project. [6] Organizational aspects include design of critical review processes and matters like the involvement of stakeholders. It has been agreed that the ISO 14040 family of LCA standards should be used as a starting point for further development of LCA methodology within the building industry sector. [31] The following standards are included under the 14040 series.

- ISO 14040 General Principles and Framework
- ISO 14041 Goal and Scope Definition and Inventory Analysis
- ISO 14042 Life Cycle Impact Assessment (LCIA)
- ISO 14043 Life Cycle Interpretation
- ISO 14047 Technical Report
- ISO 14048 LCA Data Documentation Format
- ISO 14049 Technical Report

The Society of Environmental Toxicology and Chemistry (SETAC) was the first international organization to propel the development of LCA. The United Nations developed the Environment Programme (UNEP) to focus on the application of LCA particularly in developing countries. In 2002, SETAC and UNEP jointly launched the Life Cycle Initiative, which aims at putting life cycle thinking into practice and improving the supporting tools through better data and indicators. [2] Following are the three programs under this initiative.

- The Life Cycle Management (LCM) program creates awareness and improves the skills of decision makers by producing informational materials, establishing forums for sharing best practice, and carrying out training programs in all parts of the world.
- The Life Cycle Inventory (LCI) program improves global access to transparent, high quality life cycle data.
- The Life Cycle Impact Assessment (LCIA) program increases the quality and global reach of life cycle indicators by promoting the exchange of views among experts whose work results in a set of widely accepted recommendations.

The US Environmental Protection Agency (EPA) has been a key player in propagating the use of LCA methodology in the United States. EPA organizes international workshops dealing with various aspects of LCA. Its LCA101 document, "Life Cycle Assessment: Principles and Practice," provides an introductory overview of Life Cycle Assessment (LCA) and describes the general uses and major components of LCA.[32] Moreover, EPA has been

#### Web-links

- EPA LCA Research <a href="http://www.epa.gov/nrmrl/lcaccess/index.html">http://www.epa.gov/nrmrl/lcaccess/index.html</a>
- ATHENA® Institute <a href="http://www.athenasmi.org/index.html">http://www.athenasmi.org/index.html</a>
- BEES Tool <a href="http://www.bfrl.nist.gov/oae/software/bees/">http://www.bfrl.nist.gov/oae/software/bees/</a>
- American Center for Life Cycle Assessment http://www.lcacenter.org/
- SETAC <a href="http://www.setac.org/node/32">http://www.setac.org/node/32</a>
- The International Journal of Life Cycle Assessment http://www.springerlink.com/content/0948-3349
- Building LCA Project by Royal Melbourne Institute of Technology (RMIT) <a href="http://buildlca.rmit.edu.au/">http://buildlca.rmit.edu.au/</a>

fundamental in the development of the LCIA method TRACI, which is widely used in LCA. EPA's science advisory board has also proposed weights for different environmental categories for the TRACI method. [15]

# **Incentives for conducting LCA - Building Standards and Rating Systems**

Completing an LCA is a time and resource intensive process. LCA has been successfully integrated into many industrial manufacturing systems because of benefits available to the manufacturer and the consumer. These benefits are:

#### *Indirect monetary incentives*

Process enhancements that can be achieved by using LCA methodology is rewarding for consumer product and similar industries. This is because of the repetitive nature of production in these industries. An improvement in one process stage affects all subsequent batches of products. Both time and other resources are optimized, leading to monetary savings for the manufacturer. These savings are also reflected in the market prices and thus benefit the consumer. This is not the case with buildings where each is unique in its construct and the way it is operated. The use of LCA with its present capabilities and limitations tends to consume more time and resources than it saves for building projects. In addition, monetary savings for reducing environmental emissions are only possible if the release of emissions is taxed or limited in some way. In many cases, there is no direct economic payback to reduction of emissions beyond the threshold levels set by environmental regulators.

Another incentive is the increase in the market value of a product by signing up for programs like Environmental Product Declaration (EPD).[33] EPD requires the use of scientifically accepted, valid methods of using LCA. Not many such programs exist for the building industry. Green Globes™ is the

only green building rating system at present recognizes and rewards the use of LCA in building design.[34]

#### **Direct monetary incentives**

Direct monetary incentives can be defined in terms of tax credits or benefits which are available to the manufacturer for using LCA or complying with programs that have LCA embedded in it. Consumers also receive monetary incentives if LCA-compliant green products or technologies are available at subsidized rates.

#### **Incentives for Building LCA at Present**

At this time, the incentives of using LCA in building projects are minimal. The present incentives are available in form of Green Globes™ green building rating system, ASHRAE Standard 189.1 and the Carbon Cap-and-Trade Bill. In the future, it is anticipated that there will be many other incentives for the use of whole-building LCA and that the method will be easier to use due to improvement in LCA tools.

#### Green Globes™ [34]

Green Globes™ is a building rating system that provides guidance for green building design, operations, and maintenance through its Web-based tool. With its roots in Canada, it was launched in the United States in 2004 and is being distributed by the Green Building Initiative (GBI). The rating system comprises 1,000 points, which are sub-divided into 7 sections. The Resources section carries 100 points, out of which 50 can be achieved by implementing LCA methodology. GBI's purpose behind introducing LCA in Green Globes™ was to initiate a fundamental shift in the way green building rating systems have traditionally approached green building—away from a prescriptive methodology to one that relies on objective environmental performance scoring, especially in the area of material resource use.

Green Globes™ recommends adopting an assembly-scale LCA during the schematic design stage where important large-scale design decisions are made. Assembly scale LCA allows the decision maker to understand the impact of the whole assembly compared to one specific product choice. The ATHENA® Impact Estimator tool is suggested to be a good tool for this stage of LCA. For the contract document stage, the rating system suggests the use of LCA for product-to-product comparison. The proposed tool for this analysis is Building for Economic and Environmental Sustainability (BEES®). The assemblies generally evaluated by LCA are (but are not limited to): foundation systems, floor assemblies and materials, column-beam/post-beam assemblies, and wall/roof/other envelope assemblies.

#### **ASHRAE 189.1**

ASHRAE Standard 189.1, Standard for the Design of High-Performance Green Buildings except Low-Rise Residential Buildings was released in January 2010. The standard is intended to act as a reference standard for green building design codes. It recommends the use of LCA performed in accordance with ISO standard 14044 for 'Section 9 – The Building's Impact on the Atmosphere, Materials and Resources'. LCA is specified as a performance option for compliance. Thus, acceptance of this standard might results in wider acceptance of the LCA method. It is also anticipated that ASHRAE 189.1 would eventually transform into building codes which would accelerate the integration of LCA in building industry.

#### Carbon Cap-and-Trade Bill

The carbon cap-and-trade bill passed by the US House of Representatives in June 2009 contains a number of provisions that would, indirectly, encourage the use of LCA, as LCA is the primary methodology for calculating the carbon footprint of a building. "The bill sets a cap on emissions of greenhouse gases. By 2020, emissions must be reduced 17 percent over 2005 levels. By 2050, emissions must be reduced 80 percent or more. Staying under these caps is done with a system of permits or allowances. Companies must have an allowance for every ton of greenhouse gas they emit. They are allowed to buy and sell those allowances, but gradually the total number of allowances will be reduced, thus reducing overall emissions." [35] This suggests that companies are looking for opportunities to offset their greenhouse gases emissions. As mentioned in Chapter 1, buildings account for 38 percent of the total carbon emissions. Thus, large amount of emission reduction can be achieved by making buildings greener. This will encourage building owners and developers to conduct abbreviated LCA to account for the total emissions due to construction and use of facilities.

#### **Future Incentives for Building LCA**

Future incentives for using the LCA methodology can be anticipated, given the evolution of current rating systems and the emergence of green building codes.

#### **LEED**

Leadership in Energy and Environmental Design (LEED) is a product offered by the US Green Building Council and is currently the most widely accepted green building rating system in the US. On September 29, 2004, a meeting was convened in Washington, D.C., by the USGBC to begin the process of determining how best to integrate LCA in the LEED assessment system. [36] Six working groups were assigned specific tasks for the realization of this process, to define:

- LCA Goal and Scope
- Inventory Analysis and Allocation
- Impact Analysis
- Normalization
- Benchmarking
- Weighting
- Available Tools and Methods Survey
- Definition of Required Characteristics for LEED Tools and Methods
- Pilot Test of Tools
- Design of Draft Credits Recommendations.

The project is still in progress, and it is expected that a future version of LEED will award points for using the LCA method within the system. Implementation of LCA within LEED should have a great effect in popularizing LCA, similar to the degree that LEED has popularized energy modeling.

#### **IgCC**

The Sustainable Building Technology Committee (SBTC) of the International Code Council (ICC) is developing the International Green Construction Code (IgCC). The first draft of this code is expected to be released in 2010. The draft standard is auspicious in scope. Once adopted by a municipality, the provisions of this code shall apply to the construction, alteration, movement, enlargement, replacement, repair, equipment, location, maintenance, removal, and demolition of every building or structure or any appurtenances connected or attached to such buildings or structures. [37] At the time this document was written, LCA was nested in Chapter 3, "General Compliance," of the IgCC draft and was a project elective. Project electives can become mandatory by building jurisdictions or designers, should they so choose. Thus, the acceptance and implementation of this code would encourage the use of LCA in some areas of building construction.

It is important here to understand the difference between building codes, standards, and rating systems. Building codes are an enforceable body of rules that govern the design, construction, alteration, and repair of buildings, whereas standards, for example the standard ASHRAE 90.1, outlines a series of options for performance of building systems and assemblies and are often referenced by codes but are not alone enforceable, unless adopted as part of a code. Rating systems typically aim to achieve environmental goals above and beyond the code. While also not written in enforceable language, rating systems aspire to a set of criteria for construction and performance, not minimums.[38]

# Research to Address Shortcomings in Building-Specific LCA

This short section focuses on research on seven key questions in building LCA currently being addressed. Advances in these areas will lead to more widespread and reliable use of LCA in building design and construction and point the way to the future of LCA in buildings.

- 1. How can the impacts due to site selection and construction for a given project be accounted for in LCA?
- 2. Given the desire to promote a "cradle-to-cradle" approach, how can the credits for recycling demolition waste at the building's end of life be accounted for?
- 3. Can the LCA methodology be used to assess the environmental benefits of existing building retrofits?
- 4. What simplified and less time consuming methods exist to conduct LCA?
- 5. How can BIM help in streamlining the LCA process for buildings?
- 6. How does one choose a weighting system from among different available systems? Should weighting be applied on LCA results across categories?
- 7. How can benchmarks or thresholds be established for LCA?

#### Allocating Recycling Activities in a Building Life Cycle

Recycling activities can be a part of all the stages of a building's life. During the material manufacturing phase, many building products and assemblies may contain recycled content and need to be accounted in calculating the impacts. The waste produced during construction may also be recycled. During maintenance, the materials replaced could be diverted from the landfills by transporting the material to

a recycling center. During the demolition phase, which accounts for the biggest share of waste produced in a building's life, the majority of building waste can either be reused or recycled. Accounting for recycling activities may result in negative values for impacts, which can reduce the overall impact of a building. By not accounting for these activities in an LCA analysis, projects deprive themselves of the credits of recycling. Most of the case studies reviewed in this document only accounted for the recycled content of materials during the material manufacturing phase. It is believed that the other stages were not included, as the methods to account for recycling activities are not well integrated in the LCA tools.

Research in this area is well-developed. Several approaches like the "bonus" and "stock flow" methods have been proposed by researchers to address the end of life recycling activities.[39] The bonus method credits a material being recycled with half of the bonus (impacts of recycling minus impacts of the avoided fabrication thanks to recycling) at the fabrication, and the other half at the end of life phase, often resulting in negative values at this stage. On the contrary, the stock flow approach does not account of recycling processes at end of life; the only parameters of recycling at end of life are the dismantling impact and transportation distances. It allocates the credit of the recycling process at the fabrication phase, which enables analysts always to evaluate current recycling technologies. As a consequence, stock flow may result in assessing a better environmental impact for a land filling or incinerating scenario compare to the recycling scenario, since the transportation distances to recycling facilities are often higher than the distances to a landfill, due to local landfill opportunities.[39]

#### Analysis for 1 tonne of concrete 1000 800 Environmental impact as cumulative energy ▲ No allocation of 600 recycling process (stock flow model) 400 50% allocation of recycling process (bonus 200 method) 0 200 100 400 500 -200 400 -600 Transportation distance to recycling facilities (km)

An example of "offsets" or negative impacts, taken at the end of life of a building. By the two methods used for assessing the value of the offsets (the flow model and the bonus method), it pays to recycle the concrete if the distance to the recycle facility is less than 80 km (flow model) or 400 km (bonus method).

The impact due to recycling a tonne of concrete remains negative up to 400 km from recycling facilities for the bonus method. For the stock method, it only remains negative for the first 80 km (approximately). Although there is variation in the results because of the difference in the way credits for recycling are allocated, recycling activities are accounted by adopting one of these methods. Currently neither accounting method is prevalent in practice for building LCA studies. The authors of this

guide suggest that embedding any such method in building LCA tools would aid in evaluation of this very important activity in the life-cycle assessment of a building project.

# **Weighting Impact Categories**

Weighting is a value-based process that represents the scientific interpretation and ideological, political, and ethical principles. [40] It is argued by many scientists that introduction of weights make the results of an LCA subjective. This is mostly true as weights are generally defined by a group of scientists or stakeholders and are based on their general understanding of the importance of each impact category. For example, a new optional weighting set was introduced in BEES version 4.0 that was created by a multi-stakeholder panel via the AHP method. [40] The panel comprised 19 members representing the three stakeholder categories. A weighting-set proposed by such a small group of representatives may not be representative of the entire scientific community.

However, the benefits of weighting cannot be undermined. It is helpful in decision making as it generates a single-value result to help decision makers understand the environmental impact of a product, assembly, or design option. Moreover, it is recognized that it is necessary to use weighting in order to conform to the ISO 14044 requirement that a "comprehensive set of environmental issues related to the product system being studied shall be reflected" when conducting an LCA study. [40] Thus, there is a need for the formulation of more robust methods of creating weighting-sets that truly represent the understanding and importance of different impact categories.

#### **Streamlining the LCA Process**

LCA is a comprehensive and time-intensive process. This characteristic of LCA is a major obstacle in its adoption during building design. Many efforts have been made to simplify the LCA process. One such study was conducted by Kellenberger and Althaus. [26] The study explored the deviation in LCA results when certain insignificant activities were excluded from the scope of LCA. These activities included transportation activities from factory gate to the site, some ancillary materials that are less obvious in a building component, the building processes, and the associated cutting waste. [26] It was concluded that transportation and ancillary materials are of relevance whereas building processes and cutting waste can be neglected. The results of one study cannot be generalized to be applicable in other cases. Thus there is a need for a detailed study that tests this method of simplification and thus concludes with a list of activities that can be excluded from the scope of an LCA to get approximate results that are informative towards decision making.

#### **Benchmarking LCA**

The final unresolved issue in the LCA analysis of buildings is the identification of benchmarks. Benchmarks are important in the building performance studies as they provide a basis for comparing the performance of a given project under consideration. Benchmarking for energy can be completed in a variety of ways[41]:

- 1. Past performance—A comparison of current versus past performance
- 2. Industry average—Based on an established performance metric, such as the recognized average performance of a peer group
- 3. Best in class—Benchmarking against the best in the industry and not the average
- 4. Best practices—A qualitative comparison against certain, established practices considered to be the best in the industry.

Note that items 2 and 3 are part of the Energy Star method assessing the energy use of buildings. Similar methods can be adopted for benchmarking buildings for their overall environmental impact assessed by LCA. Some argue against benchmarking a building design based on its past performance or worst-case scenario, since it does not provide a sound basis for establishing a building's performance. Thus, federal agencies and organizations, such as EPA, need to establish "industry average" LCA data to benchmark buildings. [41]

# **Chapter Summary**

In this chapter, we discussed:

- ✓ Some highlights of the buildings sustainability movement and how LCA is relevant
- ✓ History of LCA development and application
- ✓ LCA definition
- ✓ Variants of LCA
  - Process-based LCA method: cradle-to-cradle, cradle-to-grave, cradle-to-gate, gate-to-gate
  - Economic Input-Output LCA method
- ✓ Life-cycle stages for a building
  - Material manufacturing
  - Construction
  - Use and maintenance
  - End-of-Life
- ✓ How LCA can help one understand the relation between embodied and operational energy related to buildings
- ✓ Steps of an LCA process
  - Goal and scope definition
  - Life-Cycle Inventory (LCI)
  - Life-Cycle Impact Assessment (LCIA)
  - Results and Interpretations
- ✓ Terminology related to LCA
  - o Impact Categories: global warming potential (GWP), acidification potential (AP), etc.
  - LCIA relating methods: equivalents, normalization, and weighting
  - Functional unit
  - System boundary

- LCI database
- o LCM, LCC, LCEA, carbon accounting
- o How LCA and LCC, when conducted together, prove beneficial
- ✓ Different levels of LCA application: industry, building, product, and material
- ✓ How LCA can be applied at different design stages
- ✓ The challenges in use of LCA: data collection, data quality, issues with weighting, etc.
- ✓ Role of ISO standards, SETAC, UNEP, and EPA in development and propagation of LCA method
- ✓ Incentives for conducting LCA: Direct monetary and indirect incentives
  - Incentives for building LCA at present: Green Globes, ASHRAE 189.1, and carbon capand-trade bill
  - o Future incentives for building LCA: LEED and IgCC
- ✓ Research to address shortcomings in building-specific LCA
  - o Allocating recycling activities in a building life cycle
  - Weighting impact categories
  - Streamlining the LCA process
  - o Benchmarking LCA

✓

# 2 STATE OF TOOLS

This chapter describes the LCA tools or software available in the United States and internationally suitable for use in the building sector, including their utility in various scenarios.

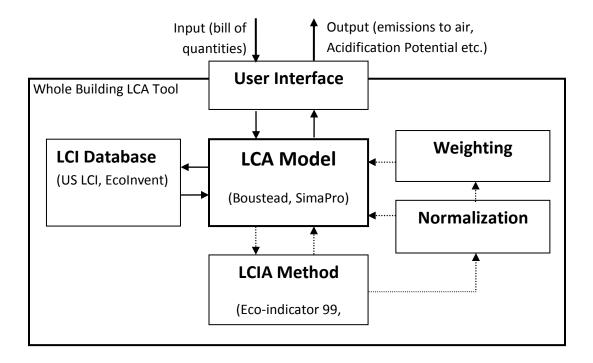
ATHENA® Impact Estimator, EcoCalculator, BEES®, and EIO-LCA can be used in the US and are linked to domestic data sources. Some other tools that will be briefly described to get a sense of LCA tools available in different countries are: EQUER, LCAid™, Eco-Quantum, LISA, Envest, LCAit, PEMS, TEAM™, Umberto, SIB LCA, Boustead, SimaPro, and GaBi. This chapter seeks answers to the questions:

- 1. What is the configuration of the tool? Does it embed an LCI database and impact assessment method within or are these two required separately?
- 2. What type of tool is it? Material/assembly/whole-building LCA tool?
- 3. What life-cycle stages are accounted for in the tool?
- 4. What is the level of expertise required for using the tool?
- 5. What inputs are required? What is the method of input?
- 6. What are the outputs obtained from the tool? What are the options to view the outcome/results?
- 7. How capable is the tool in terms of interoperability? Will it accept databases from other sources? Are the outcomes of the tool compatible with other analysis and documentation tools?
- 8. What kind and number of building assemblies and material can be evaluated by the tool?
- 9. What impact categories can be evaluated if the tool has an impact assessment model embedded within?
- 10. Does the tool provide normalized results?
- 11. What is the latest version of the tool?
- 12. How much does the tool cost?

It should be noted here that there is a difference between LCA tools and building assessment frameworks like Leadership in Energy and Environmental Design (LEED), Building Research Establishment's Environmental Assessment Method (BREEAM) and Green Building Challenge (GBC). GBC has been described in the GBC Assessment Manual as "an assessment framework which can accommodate a variety of building assessment tools and be configured to meet a variety of different output requirements." [5] An LCA tool is one of the many building assessment tools that are accommodated in these frameworks. The scope of the chapter is limited to LCA tools, and building assessment frameworks have not been discussed.

# **Configuration of an LCA Tool**

An LCA tool can be defined as an environmental modeling software that develops and presents life cycle inventory (LCI) and perhaps life cycle impact assessment (LCIA) results through a rigorous analytical process that adheres closely to relevant ISO standards and other accepted LCA guidelines. [5] The most basic LCA tool takes inputs in the form of material take-offs (in area or volume) and converts it into mass. Then it attaches this mass value to the LCI data available from an LCI database and other sources. This step results in quantities of inputs and outputs of a product system. The inputs and outputs may include the use of resources and releases to air, water, and land associated with the system. [4] Figure 30 depicts the basic configuration of a whole-building LCA tool.



Configuration of a typical whole-building LCA tool

#### **Classification of Tools**

LCA tools can be classified based on their ability to analyze building systems (for building-specific tools) and based on the required user skill to use the tool (for all tools).

#### Based on different levels of LCA application

For tools that focus on the building industry, three main types of LCA tools can be identified: building product tools, building assembly tools, and whole-building LCA tools. The classifications are not exact; that is, some tools have characteristics of more than one class.

#### **Building Product LCA Tools**

Within building product tools, the products themselves are the smallest element of analysis. Individual materials are not modeled within the tools by the user (but the tools are based on underlying material data). These tools evaluate and compare competing building products. Such tools can provide a valuable service if they compare products that are sufficiently similar in their basic composition as well as in their function within a building context and they are legitimate substitutes.[5] These tools could provide a good framework for supplier-to-supplier comparisons as opposed to material-to-material comparisons. BEES® (Building for Environmental and Economic Sustainability) is an example of a building product LCA tool.

#### **Building Assembly LCA Tools**

A building assembly is a group of interdependent building components that make up a system within a building. For example, a wall is made up of several elements, all of which are needed to build, weather-proof, and finish a wall[22] Building assembly tools evaluate complete assemblies for their environmental footprint by considering the combined effect of all the products. These tools are even wider in scope (and less specific in analysis results) than building product tools. ATHENA® EcoCalculator is an example of a building assembly LCA tool.

#### Whole-building LCA Tools

Whole-building LCA tools assess the environmental impact of bringing together all the systems and assemblies. These tools are generally capable of comparing several design options for a building program and are generally helpful during initial design. Example: ATHENA® Impact Estimator is a whole-building LCA tool that takes input in terms of building geometry and building assemblies. The result is aggregated for the entire building and presented in the form of environmental impacts due to different life-cycle stages or the contribution of the building towards a particular impact.

#### **Based on User Skills**

Based on required user skills, LCA tools can be categorized as tools for LCA practitioners and tools for general users (for example, architects).

# Tools for LCA Practitioners [5]

These tools help in structuring the analysis; linking user-defined or pre-defined unit processes; making it easy to take into account standard transport, energy production, and other common datasets; and providing necessary analytical and computational frameworks. These provide databases that can be adjusted or replaced by the user. These tools may facilitate an LCA of individual products and relatively complex components like window assemblies. Carrying out an LCA of a whole building using these tools can be a strenuous task. The analogy here would be to imagine creating an energy model of a building directly in the underlying simulation tool (e.g., DOE2) without using one of the modeling tools that act as a front-end to the software (e.g., eQUEST). The real use for LCA practitioners' tools in the building industry is to use these tools to build product and assembly LCAs, which can then be embedded in building-specific tools like BEES® and ATHENA® Eco-Calculator.

#### Tools for General Users

Tools for general users, such as architects, have all the basic LCA work done in the background. In most cases, databases are locked and cannot be modified by the user. [5] Thus it can only be used for the building products, materials, and activity stages for which it has data (often a serious drawback). These tools have a user-friendly interface in which the user is prompted for inputs and need not structure the analysis. The tool may ask for a building location region to determine aspects like electrical grid, source of building products, and transportation modes and distances. The model breaks down the selected assemblies into their respective products, converts it onto a bill of quantities, and applies LCI databases to it to get the inventory of consumed resources and emissions. For some tools, this is followed by impact assessment. It is essential for the user to understand the basic working of the tool to get an idea about the precision of the expected results.

# **Based on Region**

Some LCA tools have an LCI database locked to them and are not compatible with other databases. Since LCI databases are region-specific tools. For example, ATHENA® Impact Estimator has the ATHENA® and US LCI databases embedded in it, which are specific to North America so, at present, it can only be used for building locations in Canada and the United States. Other tools, like SimaPro, are more adaptive in their linkages to different databases and thus are not region-specific.

#### **Based on Its Application to a Design Stage**

The classification of tools based on their application to a design stage is dependent on two factors: (1) the amount of information available for the project during that stage (2) the type of decisions taken during that stage. During pre-design stage, basic information like building form, gross building area, and schematic floor plans is available for a number of design options. Thus a tool from the simplified whole-building LCA tool category can be used at this stage to assess the impact of a specific form or structure system. Examples of such tools are Envest, ATHENA® Impact Estimator, ATHENA® EcoCalculator, and Eco-Quantum. During the detailed design stage, more accurate information is available and decisions regarding building systems and products are taken. Thus product LCA tools are the tools suitable for the detailed design stage.

#### **Based on Life-Cycle Phases Included**

Not all the LCA tools are capable of conducting a cradle-to-grave LCA analysis. Cradle-to-gate LCA tools will only account for impacts due to material manufacturing and the building construction phase, whereas cradle-to-grave LCA tools like BEES® & ATHENA® Impact Estimator account for all life-cycle stages.

# **ATHENA® Impact Estimator**

ATHENA® Impact Estimator (IE) is the primary software tool in North America allowing users to evaluate whole buildings and assemblies based on internationally recognized LCA methodology. [42] The types of assemblies covered by this tool are foundations, walls, floors and roofs, columns, and beams. It provides a full inventory of natural resource, energy, and water use and of emissions to air, water, and land for a complete building or for individual assemblies. The aim of the tool is to indicate implications of different

material mixes and design options and consider trade-offs among the various environmental effects. Table 13 gives an overview of ATHENA® Impact Estimator.

Table 3 - ATHENA® Impact Estimator overview

Tool Developer	ATHENA® Institute				
LCA Tool Type	Whole Building Analysis Tool, Tool for Architects				
Life Cycle Stages Included	Material Extraction and Manufacturing, Related Transport, Onsite Construction (energy use + related emissions), Operation (energy only), Maintenance and Replacement, Demolition and Transport to Landfill.				
Acceptable Building Type	Industrial, Institutional, Commercial, Residential for both New Construction and Major Renovation				
LCI Database	ATHENA® Database (cradle-to-grave), US LCI Database				
Data Location	Canada and US Region				
LCIA Method	EPA TRACI				
Impact Categories and Units	<ul> <li>Acidification Potential – Moles of Hydrogen Ion Equivalent Mass</li> <li>Global Warming Potential – CO₂ Equivalent Mass</li> <li>Human Health Respiratory Effects Potential – PM2.5 Equivalent Mass</li> <li>Ozone Depletion Potential – CFC11 equivalent</li> <li>Smog Potential – Nox equivalent mass</li> <li>Aquatic Eutrophication Potential – N Equivalent Mass</li> <li>Total Fossil Energy – GJ</li> </ul>				
Unit System	SI and Imperial				
Building Material/Assembly Combinations	1,200+				
Required User Skill	Moderate				
Target Users	Architects, Engineers, Designers, Environmental Consultants				
Tool Vendor	Morrison Hershfield				
Cost	\$750				
Latest Version	4.0.64				
Web-Link	http://www.athenasmi.org/tools/impactEstimator/				

# **Tool Assumptions**

Since Impact Estimator (IE) is a simplified LCA tool; the system boundaries and assumptions for calculation are already embedded within the tool. [5] [43]

- Effects of building factories, producing machines, building and maintaining transportation systems, housing workers, or other activities related to basic systems are not included in the calculations.
- Only energy use associated with processing, transporting, converting and delivering fuel, and energy are accounted for.
- Impact from site selection and site preparation is not accounted for.
- All off-shore products are treated as though they were manufactured in North America.

- Maintenance, repair, and replacement schedules are assessed based on the building type and occupancy (owner occupied or leased).
- Replacement materials will be the same as those used in original construction.
- If service life of a replacement material or component exceeds the remaining assumed life of the building, the difference is credited.
- All building components and assemblies that are recycled or reused at present are assumed to get recycled or reused after the end of product's life, as no reliable data are available for these practices 40-60 years from now.

# Input

The tool requires building location, building type, expected life span of the building, and material and assembly details as inputs. Options to building location are limited to eight cities in Canada and five in the US (Pittsburgh, Minneapolis, Atlanta, Orlando, and New York). [42] [43]. Through the location information, the tool tries to identify a region to determine aspects like electrical grid, source of building products, and transportation modes and distances.[5] Thus, the user must select a location closest in these aspects from the actual location of the project. It covers around 1,200 assemblies, which primarily comprise of wood, steel, and concrete products used in foundations and in vertical and horizontal structural assemblies. The user defines envelope material layers applicable to the assembly and geometry of the building. Two types of quantity take-offs are possible within the Impact Estimator—a "prescriptive take-off," where the user prescribes a set of elements composing the take-off calculations, or a "deterministic take-off," where the user specifies functional requirements like live loads and bay sizes, and the model determines the size of the element to match the requirements.[43] Operational energy can also be fed into the tool by fuel type, if data are available. The tool also gives a choice between whether the building is owner occupied or rental. This choice turns on effects specific to the maintenance, repair, and replacement for envelope materials such as roofing, cladding, and window systems.[43]

#### Output

The Estimator allows users to change the design, substitute materials, and make side-by-side comparisons for any one or all of the environmental impact indicators. [42] The result of the analysis can be viewed either in form of summary tables or graphs. The results can be categorized by assembly groups or life-cycle stages. Operational impact versus embodied impact can also be viewed separately. Up to five design options can be compared at a time. No weighting options are available. Thus, a single value environmental indicator cannot be obtained.

#### **Additional Features**

ATHENA® Impact Estimator allows the tabulated results to be directly exported to MS-excel or PDF. Moreover, bills of quantities for the evaluated design options can also be obtained as an output of the analysis.

#### **Strengths**

The high-quality, regionally sensitive databases and user-friendly interface provide both detailed and aggregated results. It offers superior assembly and complete design comparison capability.[44]

#### Weaknesses

The tool is limited to analysis of load-bearing materials and assemblies. It accounts for exterior and interior wall finishes but does not consider floor and ceiling finishes. Also, housekeeping products and home appliances are not available to be included in the ATHENA LCA model. It is expected that future versions of the tool would include more building finishes, products, and systems, making the tool more powerful. Such a tool would help architects obtain a more complete picture of their building's environmental footprint.

Another weakness is that the tool at present has limited options of designing a wall assembly. Most of the conventional wall assemblies can be created within the tool, but options to create a high-performance wall are not available yet. Eventually, it would be good to have a tool wherein architects can customize an infinite number of assemblies and have an impact number generated by a more dynamic version of the tool—this is what is missing in the tool as it stands.

#### ATHENA® EcoCalculator

ATHENA® EcoCalculator is a free spreadsheet-based LCA tool developed by the ATHENA® Institute in association with the University of Minnesota and Morrison Hershfield Consulting Engineers. It was commissioned by the Green Building Initiative™ (GBI) for use with the Green Globes™ environmental assessment and rating system. [45] This MS-Excel-based tool provides quick LCA results for more than 400 common building assemblies. The results embedded in the tool are based on detailed assessments completed with the ATHENA® Impact Estimator for buildings. Thus, the LCI database related to this tool is the same as ATHENA® Impact Estimator. Table 14 gives an overview of ATHENA® EcoCalculator.

Table 4 - ATHENA® EcoCalculator overview

Tool Developer	ATHENA® Institute			
LCA Tool Type	Building Assembly Analysis Tool			
Life Cycle Stages Included	Material Extraction and Manufacturing, Related Transport, On-			
	site Construction of Assemblies, Maintenance and Replacement,			
	Demolition, and Transport to Landfill. Operational energy not			
	included			
Acceptable Building Type	Industrial, Institutional, Commercial, Residential for New			
	Construction, Retrofits, and Major Renovation			
LCI Database	ATHENA® Database (cradle-to-grave), US LCI Database			
Data Location	Canada and US Region			
LCIA Method	Based on methods used in ATHENA® Impact Estimator			
Impact Categories	<ul> <li>Global Warming Potential – tons</li> </ul>			
	<ul> <li>Embodied Primary Energy - MMBtu</li> </ul>			
	<ul> <li>Pollution to Air - index</li> </ul>			
	<ul> <li>Pollution to Water - index</li> </ul>			
	<ul> <li>Weighted Resource Use - tons</li> </ul>			
Unit System	Imperial			
Building Material/Assembly	400+			
Combinations				
Required User Skill	Low			
Target Users	Architects, engineers, designers, environmental consultants			
Tool Vendor	Morrison Hershfield			
Cost	\$0			
Latest Version	2.3			
Web-Link	http://www.athenasmi.org/tools/ecoCalculator/index.html			

#### **Tool Assumptions** [45]

- Results are presented on a per unit area basis (e.g., per square foot)
- Installation for all assemblies was assumed to use components and loadings typical for central areas of the United States.
- It was assumed that all assemblies would be used in owner-occupied office buildings with a 60-year lifespan—which affects the maintenance and repair/replacement schedules of relevant building envelope materials (e.g., roofing membranes, claddings, and window systems).
- Other specific assumptions covered factors such as:

- Window-to-wall ratio
- Concrete strength and fly-ash content
- o Gypsum board type and thickness with latex paint
- o Live load for all intermediate floors, columns and beams, and roofs
- Bay sizes
- Column heights
- o External wall thicknesses, depending on construction system
- Stud size/strength and spacing
- Sheathing and decking materials.

#### **Input**

The installation of the tool requires the user to give information about the project location, building type, and scale. Based on the user input, the tool is installed as an MS-Excel file that contains six assembly sheets for the following categories: exterior walls, interior walls, roofs, windows, intermediate floors and columns, and beams. The number of assemblies in each category varies widely, depending on the possible combinations of layers and materials. [45] The tool requires input in terms of area of an assembly used. The user can indicate the relative area represented by each assembly type in a category. For example, there could be a case where two types of window assemblies have been used in a project. In such a case, the user can input values specifying the percentage of each window type.

#### **Output**

The results are available for five impact categories: global warming potential, embodied primary energy, pollution to air, pollution to water, and weighted resource use. These are available in tabular form and show real time changes as the inputs are adjusted. [45] This tool feature aids quick and easy testing of different assembly options. The results take into account all the standard life-cycle stages but do not include operating energy impact. No weighting options are available. Thus, a single value environmental indicator cannot be obtained.

#### **Strengths**

Real-time feedback obtained from the tool makes it easy to compare assemblies and make decisions. Moreover, its simple user interface makes EcoCalculator available to architects. The tool is available for free. This tool should be the starting point for learning about LCA for most building professionals in North America.

#### Weaknesses

Only assembly options available in the tool can be evaluated unlike ATHENA® Impact Estimator in which custom assemblies could be built from available products. Moreover, column and beam sizes are fixed.

# **Building for Environmental and Economic Sustainability (BEES®)**

Developed by National Institute of Standards and Technology (NIST), Building for Environmental and Economic Sustainability (BEES®) provides product-to-product comparisons on the basis of environmental and economic performance. Users are allowed to apply weighting factors selectively to environmental and economic impact and then weigh various environmental factors. Table 15 gives an overview of BEES®.

Table 5 - BEES® overview

Tool Developer	NIST			
LCA Tool Type	Building Product LCA tool, Tool for Architects			
Life Cycle Stages Included	Material Extraction and Manufacturing, Transportation,			
	Installation, Operation and Maintenance, Recycling and Waste			
	Management			
Acceptable Building Type	-			
LCI Database	Data collected for BEES® 4.0, US LCI Database			
Data Location	US Region			
LCIA Method	EPA TRACI			
Impact Categories	<ul> <li>Acidification Potential – grams of hydrogen ion equivalent per functional unit</li> <li>Global Warming Potential – grams of CO<sub>2</sub> equivalent per</li> </ul>			
	functional unit  – Eutrophication Potential - grams of nitrogen per functional unit of product			
	<ul> <li>Fossil Fuel Depletion - surplus megajoules (MJ) per functional unit of product</li> <li>Indoor Air Quality</li> </ul>			
	<ul> <li>Habitat Alteration – threatened and endangered species count per functional unit of product</li> </ul>			
	Water Intake – liters per functional unit			
	<ul> <li>Criteria Air Pollutants</li> <li>Human Health – grams of toluene per functional unit of product</li> </ul>			
	<ul> <li>Smog Formation Potential – grams of nitrogen dioxides per functional unit</li> </ul>			
	<ul> <li>Ozone Depletion Potential – CFC11 equivalent</li> </ul>			
	<ul> <li>Ecological Toxicity – grams of 2, 4-D per functional unit</li> </ul>			
Unit System	SI and Imperial			
Building Material/Assembly Combinations	230+ building products			
Required User Skill	Moderate			
Target Users	Designers, Specifiers, Builders, Product Manufacturers, Purchasers, Researchers, and Policy Makers[44]			
Tool Vendor	NIST			
Cost	\$0			
Latest Version	4.0			
Web-Link	http://www.bfrl.nist.gov/oae/software/BEES/bees.html			

#### **Tool Assumptions** [15]

- The BEES® system considers only those materials in a product system that are significant in either weight, energy, or cost.
- The functional unit for most building products is 0.09 m<sup>2</sup> (1 ft<sup>2</sup>) of product service for 50 years.
   For other products, the functional unit is specified in the BEES® manual.
- The data are US averages.
- For generic products, the most representative technology is evaluated. When data for the most representative technology are not available, an aggregated result is developed based on the US average technology for that industry.
- For manufacturer-specific products, data are collected from the manufacturer through a questionnaire and validated by a third-party consultant.
- Normalization is applied to impact assessment results, and normalized results are presented in the unit of impacts per year per capita.

#### Input

BEES® is a Windows-based modeling tool. The user is prompted to define "analysis parameters" by providing information about weights to be assigned to environmental and economic performance and to the environmental impact categories. Four weighting options are available for impact categories. The user can then select alternatives products from a group of elements listed in BEES® for comparison. Another window prompt allows users to select the impact categories for which the results are to be displayed. The results obtained from BEES® are for a unit area or volume of a material or product. These results could be multiplied to the actual quantity of material or product to get its total impact in a building.

#### **Output**

The output can be viewed in graph form. Detailed graphs are available by life-cycle stages and environmental flow. Summary graphs can also be viewed for overall, environmental, and economic performance.

#### **Strengths**

BEES® offers a unique blend of environmental and economic performance for a product system, facilitating easy comparison of products. The user can obtain a single value for performance, which eliminates the need to decide the importance of various environmental impact categories. It includes building element groups, such as building sitework and repair and maintenance elements. It is transparent in documenting and providing all the supporting performance data and computational algorithms. [44] It also includes interior fittings and furnishing to a certain extent.

#### Weaknesses

Right now, the tool has an embedded catalog of building products. It only includes data for 200 building products covering 23 building elements.

# **Economic Input Output - LCA (EIO-LCA)**

The online tool for EIO-LCA has been developed by Green Design Institute at Carnegie Mellon University. This is an economic input-output LCA-based tool, unlike other tools discussed in this section, which are process-based LCA tools. Its results provide guidance on the relative impacts of different types of products, materials, services, or industries with respect to resource use and emissions throughout the supply chain. [46] EIO-LCA models are available for various national and state economies. Each model is composed of national economic input-output models and publicly available resource use and emissions data. In general, EIO tools are not applicable to completing an LCA for a specific building. Some LCA tools do use a hybrid methodology that includes both process-based and EIO-LCA. Table 16 gives an overview of Carnegie's EIO-LCA.

**Tool Developer** Carnegie Mellon University **LCA Tool Type** Embodied Energy Tool[47] Life Cycle Stages Included Material Extraction, Manufacturing, Transportation. Use phase and end of life impacts not directly included **Acceptable Building Type** Residential, Commercial, Institutional, Industrial, Highway and Bridge Construction, Water and Sewer Pipeline Construction, Maintenance and Repair **LCI Database** US, Germany, Spain, Canada, China **Data Location LCIA Method Impact Categories** Unit System **Building Material/Assembly Combinations** Thorough understanding of tool as well as industry flows required **Required User Skill Target Users** Environmental professionals and tool/data developers [47] **Tool Vendor** Carnegie Mellon University \$0 Cost **Latest Version** Web-Link http://www.eiolca.net/index.html

Table 6 - EIO-LCA overview

#### Tool Assumptions [46]

- For the most part, the use phase and end-of-life phases are not directly included in the results.
- Imports are implicitly assumed to have the same production characteristics as comparable products made in the country of interest.
- Most of the economic input-output models that form the basis for the EIO-LCA models represent the producer prices—the price a producer receives for goods and services.
- The EIO-LCA models use only publicly available data.

#### Input

The tool requires four types of input. The user is asked to select a price model based on the country of analysis. Then, the industry and sector the product belongs to is selected. In case of buildings, the industry to be selected is "Construction." Sectors like commercial buildings and residential buildings are available as options under the Construction industry selection. The amount of economic activity for that sector is also required in units of million dollars. In the fourth step, the user selects the category of results to be displayed.

#### Output

The EIO-LCA model produces results for inventory analysis and do not estimate the actual environmental or human health impacts. Results can be viewed for six categories: economic activity, conventional air-pollutants, greenhouse gases, energy, toxic releases, and employment.

#### **Strengths**

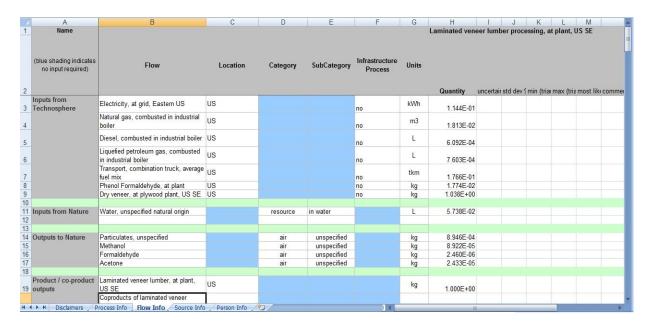
The EIO-LCA model allows for system-level comparisons. It also provides for future product development assessments. Moreover, the results are economy-wide, comprehensive assessments.

#### Weaknesses

The results of an EIO-LCA analysis represent the impacts from a change in demand for an industry sector. Depending on the model chosen, an industry sector represents a collection of several industry types, and this aggregation leads to uncertainty in how well a specific industry is modeled.

# **US LCI Database - by NREL**

The High-Performance Buildings research group at the National Renewable Energy Laboratory (NREL) is working with the ATHENA® Institute in developing the U.S. Life-Cycle Inventory (LCI) Database, which is publicly available on the NREL Web site. The LCA experts at NREL aim to solve the problem of data consistency and transparency by providing a central source of critically reviewed LCI data. [17] The LCI data are available in several formats: a streamlined spreadsheet, EcoSpold format spreadsheet, EcoSpold XML file, and detailed spreadsheet with all the calculation details. The figure below presents a screenshot of the US LCI Database in spreadsheet format obtained from the NREL Web site.



Snapshot of the LCI spreadsheet for 'Laminated Veneer Lumber Processing, at plant, in U.S. Pacific North West Region'

# **US LCIA Method - TRACI by EPA**

TRACI (Tool for the Reduction and Assessment of Chemical and other environmental Impacts) is an impact assessment tool developed by EPA for Sustainability Metrics, Life Cycle Assessment, Industrial Ecology, Process Design, and Pollution Prevention. The impact categories in TRACI include acidification, eco-toxicity, eutrophication, fossil fuel depletion, global warming, human health cancer, human health criteria, human health non-cancer, ozone depletion, and smog formation. The categories were selected based on their level of commonality with existing literature in this area, consistency with EPA regulations and policies, current state of development, and perceived societal value. [48] TRACI was developed specifically for the US using input parameters consistent with US locations.

#### **International LCA Tools**

#### **EQUER**

(http://www.cenerg.ensmp.fr/english/logiciel/indexequer.html)

Developed by the Center for Energy and Processes in Paris, the life cycle simulation tool EQUER is based on a building model structured in objects, this structure being compatible with the thermal simulation tool COMFIE. The functional unit considered is the whole building operated over a given duration. [51] Impacts due to the activities of occupants (e.g., home-to-work transportation, domestic waste production, and water consumption) may be taken into account according to the purpose of the study: this possibility is useful, e.g., when comparing various building sites with different home-to-work distances, waste collection system, water network efficiency, etc.

#### **LCAid**<sup>TM</sup>

(http://buildlca.rmit.edu.au/CaseStud/Buxton/BuxtonPS LCAid use.html)

LCAid™ is computer software developed by DPWS Environmental Services with computer programming by Dr. Andrew Marsh of the University of Western Australia Department of Architectural Science. [49] It is a user-friendly decision-making tool for evaluating the environmental performance and impacts of designs and options over the whole life cycle of a building, object, or system. It is well integrated with other environmental software—it can work on a 3D model created in software such as ECOTECT or Autocad. ECOTECT has been developed to interface with LCAid™ using building geometry as a bridge to incorporate LCA data into the design tool. It is possible to read other sets of LCA data from SimaPro or other LCA models. [49]

#### **Eco-Quantum**

(http://www.ivam.uva.nl/index.php?id=373&L=1)

Developed by IVAM, Eco-Quantum is an LCA tool to analyze residential projects. Eco-Quantum's VO Tool is for use during the provisional design phase. [49] First a type of building is selected. Then the materials to be used are specified. Clients and local planning authorities can use Eco-Quantum as a policy tool to define the environmental specifications for a house-building program. And the built-in VO Tool for provisional design provides architects with a clear picture of their building's sustainability from early in the design phase, thus helping them to improve its environmental performance while it's still on the drawing board. [50]

# LCA in Sustainable Architecture (LISA)

(http://www.lisa.au.com/)

LISA is a streamlined LCA decision support tool for construction. It includes construction and operation impacts. The tool was developed keeping in mind the specific needs of architects and other industry professionals who require a simplified LCA tool to assist in green design. Bill of materials and quantities, work schedules, fuel consumption by construction equipment, and utilization schedules are required as input. Output is produced in both graphical and tabular format showing the environmental impact of each stage in terms of: resource energy use in GJ, greenhouse gas emissions in metric tons of equivalent CO<sub>2</sub>, SPM, NMVOC, water, NO<sub>x</sub>, and SO<sub>x</sub>.

#### **Envest**

(http://envestv2.bre.co.uk/account.jsp)

Developed by BRE, the Web-based tool Envest has been designed to simplify the process of designing environmentally friendly buildings. It allows both environmental and financial tradeoffs to be made explicit in the design process, allowing the client to optimize the concept of best value according to their own priorities. [51] It has been developed for use during the early design stage. It allows large design companies to store and share information in a controlled way, enabling in-house benchmarking and design comparison. Two versions of the tools are available: Envest 2 estimator and Envest 2 calculator.

#### **LCAit**

This tool was developed by CIT Ekologic, a division of Chalmers. It is a complex tool where emissions, wastes, and resources generated by a process are specified in the Process Card. The primary product of

a process (i.e., resources which flow between processes) cannot be defined until links have been established between two or more processes.

#### **PEMS**

#### (http://www.pira.com/ClientServices/USEMIS.htm)

Pira Environmental Management System (PEMS) is a tool for experts. Ample descriptive fields allow the user to offer narrative information for all process blocks and the system as a whole. Data developed by the user, however, are difficult to input into the database format, and archiving systems for reuse is tedious.

#### **TEAM™**

# (https://www.ecobilan.com/uk\_team.php)

This LCA tool is developed from EcoBalance/Ecobilan. TEAM™ is one of the most powerful and flexible of the tools. Selecting and defining inputs and outputs within the lowest process/unit level is quite simple using the tool bar; flows may be defined by values or variables and equations. TEAM™ allows the user to build and use a large database and model any system representing the operations associated with products, processes, and activities.

#### **Umberto**

#### (http://www.umberto.de/en/)

Umberto was developed by a European consortium, among which are mostly German and Swiss institutions and companies (e.g the German Institute for Environmental Informatics and Institute for Energy and Environmental Research). Umberto is a software tool for material and energy flow calculation and analysis based on graphical modeling of process systems. Based on the concept of material flow networks, the powerful calculation algorithm of Umberto allows one to determine all material and energy flows in the system under study.

#### SBi LCA tool

#### (http://www.en.sbi.dk/)

Developed at the Danish Building Research Institute (SBi), this LCA tool consists of a database and an inventory tool for the calculation of the potential environmental effects for buildings and building elements. It differs from most other LCA tools currently available by the method it uses to handle uncertainty.

#### **Boustead**

#### (http://www.boustead-consulting.co.uk/products.htm)

Developed by Dr. Ian Boustead, this model is best known for its extensive database especially for the building industry. It includes extensive data modules for energy carriers, fuels production, and transportation. Individual process, segment, and complete product data are included for common process operation segments and commodity materials manufacturing subsystems.

#### **SimaPro**

#### (<a href="http://www.pre.nl/default.htm">http://www.pre.nl/default.htm</a>)

Developed by PRe consultants, this software is product design orientated. SimaPro is a professional LCA software tool. Complex products with complex life cycles are easily compared and analyzed. The inventory databases and the impact assessment methods can be edited and expanded without limitation. The ability to trace the origin of any result makes SimaPro unique. It is one of the most widely used LCA tool. Three versions of SimaPro are available, depending on the kind of analysis one intends to conduct.

#### GaBi

#### (http://www.gabi-software.com/)

Developed at the <a href="IKP University of Stuttgart">IKP University of Stuttgart</a> in cooperation with PE Product Engineering GmbH and distributed by PE Product Engineering GmbH, GaBi is a generic LCA tool applicable to any industrial product or process. It is very popular in the automobile industry. The GaBi 4 software is one of the leading expert systems for balancing complex and data-intensive process networks. Other than LCA, GaBi has the capabilities to assist in greenhouse gas accounting, life cycle engineering, design for environment, substance flow analysis, strategic risk management, and total cost accounting. [52] GaBi enables the user to develop the product system for analysis. [49] Since the product system is user-defined and not fixed, as in other building product and whole-building LCA tools, it is presumed that there are no tool assumptions.

# Related Tools - Pharos, Green Footsteps, and Eco-Scorecard

#### **Pharos Framework**

# (http://www.pharosproject.net/framework/index/)

The Pharos framework is under development by the Healthy Building Network, University of Tennessee and Cascadia. It aims at providing a 360° view of green material attributes, putting those claims in context and testing them against verifiable data and community consensus of ideal goals. [53] The framework provides results in three impact categories:

- Health and Pollution
- Environment and Resources
- Social and Community.

Pharos is an online-tool providing impacts for three building product classes:

- MDF-Particle Board –Wheatboard
- Resilient Flooring
- Batt Insulation



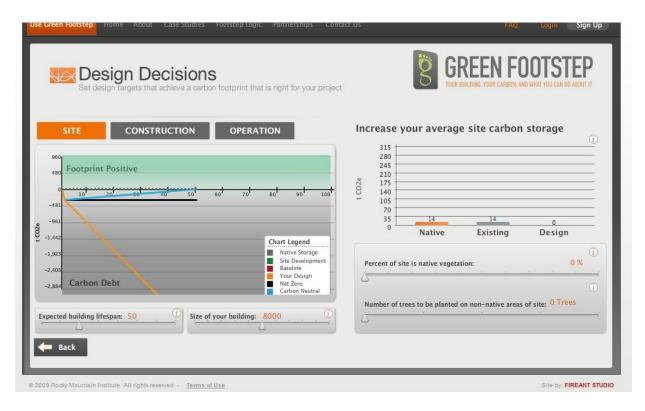
A snapshot of Pharos online tool showing impacts for Cork Rubber Flooring. [53] Values in red and yellow boxes represent impact category score out of 10. The higher the score, the more environment-friendly the product is.

A range of product options are available for each product class. The tool provides impact values for different brands of a specific product class. A snapshot of the tool is presented above. Each product is scored under several impact categories on a scale of 10. The tool does not carry out an LCA analysis. Rather, the LCA results for several products are embedded in the tool.

# Green Footstep (http://greenfootstep.org/)

Developed by the Rocky Mountain Institute, Green Footstep is an assessment tool for quantifying and reducing greenhouse gas emissions from site development, construction, and operation of a project. It is capable of assessing both residential and commercial new and retrofit building construction projects. It is recommended to be used during pre-design stages and then periodically throughout design. The target audiences for the tool are building designers, owners, and other stakeholders. The tool helps in setting a project's carbon goals and identifying design principles to meet the goals.

The inputs required for the tool are project location, site characteristics, and building characteristics. The project location helps identify the CO<sub>2</sub> emissions per kilowatt hour for that region.



A snapshot of the Green Footstep tool showing analysis results for an 8,000-square-foot multi-family residential building with an expected building lifespan of 50 years. The figure shows carbon emissions for the proposed building design compared to a net-zero and carbon neutral building design. The user can slide the bar for building size and lifespan to see the change in values of Carbon Debt.

# ecoScorecard (http://ecoscorecard.com/)

ecoScorecard allows manufacturers to publish their green products with corresponding environmental characteristics on a Web-based catalog so clients may quickly search by name, contribution, or another attribute. Then, ecoScorecard goes further, enabling the user to evaluate a product, or group of products, against major environmental rating systems and generate the documentation necessary for inclusion in the certification process. ecoScorecard allows the user to determine the score value from the use of the specific products for various green rating systems, such as LEED, CHPS, and Green Globes. The value of ecoScorecard in LCA analysis is to adapt the data to provide products data for the LCA analysis. [54]

# BIM and LCA - LCADesign™ Tool

Effective tools can potentially reduce the time consumed in conducting an LCA. Building Information Modeling (BIM) is seen as one such tool that can aid in the LCA process, as it provides the opportunity for quantity take-offs. An integration of BIM and LCA tools seems to be an ideal setup to streamline the process of LCA. One such ongoing effort is the LCADesign™ tool being developed by Cooperative Research Center for Construction Innovation in Australia. LCADesign™ is fully automated from the 3D CAD drawing of a building to enable the calculation of environmental impacts resulting from the choice of materials to be reflected in design assessment. [55] The automated take-offs provide quantities of all-building components created from an extensive list of materials such as concrete, metals, timber, glass,

plastics, etc. This design information is combined with a life cycle inventory of construction materials to estimate key internationally recognized environmental indicators such as Eco-indicator 99.[55] The input required for the tool is a 3D drawing for a building. LCADesign™ reads the information contained in the IFC format of the 3D drawing file. The Australian Life Cycle Inventory (LCI) database is linked to the tool. The LCADesign™ tool can be used to assess design at the preliminary as well as detailed stages. Thus, it can be predicted that the availability of this tool and other similar tools in the market will surely change the way LCA is perceived by the architects.

# **Chapter Summary**

In this chapter, we discussed:

- ✓ Configuration of an LCA tool
- ✓ LCA tools can be classified
  - Based on different levels of LCA application: Product, building assembly and wholebuilding LCA tools
  - Based on user skills: Tools for LCA practitioners and tools for general users
  - o Based on region: Tools containing LCI data for a specific country or region
  - Based on its application to a design stage
  - o Based on life-cycle stages that can be evaluated using the tool
- ✓ US LCI Database by NREL
- ✓ US LCIA Method TRACI by EPA
- ✓ Three related tools were described
  - Pharos by University of Tennessee
  - o Green Footsteps by Rocky Mountain Institute
  - Eco-Scorecard by the ecoScorecard Company
- ✓ LCA and BIM LCADesign Tool
- ✓ Following LCA tools were discussed (see table below)

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
ATHENA® Impact Estimator	<ul> <li>Whole         Building         Analysis         Tool</li> <li>Building         Assembly         Analysis         Tool</li> <li>Tool for         General         Users</li> </ul>	<ul> <li>Material         Extraction and         Manufacturing</li> <li>Related Transport</li> <li>On-site         Construction         (energy use +         related         emissions)</li> <li>Operation         (energy only)</li> <li>Maintenance and         Replacement</li> <li>Demolition and         Transport to         Landfill</li> </ul>	Industrial, Institutional, Commercial, Residential for both New Construction and Major Renovation	<ul> <li>Acidification         Potential</li> <li>Global Warming         Potential</li> <li>Human Health         Respiratory         Effects Potential</li> <li>Ozone Depletion         Potential</li> <li>Smog Potential</li> <li>Aquatic         Eutrophication         Potential</li> <li>Total Fossil         Energy</li> </ul>	http://w ww.ath enasmi. org/tool s/impac tEstimat or/
ATHENA® EcoCalculator	<ul> <li>Building         Assembly         Analysis         Tool</li> <li>Tool for         General         Users</li> </ul>	<ul> <li>Material         Extraction and         Manufacturing</li> <li>Related Transport</li> <li>On-site         Construction of         Assemblies</li> <li>Maintenance and         Replacement</li> <li>Demolition and         Transport to         Landfill</li> </ul>	Industrial, Institutional, Commercial, Residential for New Construction, Retrofits and Major Renovation	<ul> <li>Global Warming Potential</li> <li>Embodied Primary Energy</li> <li>Pollution to Air</li> <li>Pollution to Water</li> <li>Weighted Resource Use</li> </ul>	http://w ww.ath enasmi. org/tool s/ecoCal culator/i ndex.ht ml
BEES®	<ul><li>Building</li><li>Product</li><li>LCA Tool</li></ul>	<ul><li>Material</li><li>Extraction and</li><li>Manufacturing</li></ul>	Not applicable	<ul><li>Acidification</li><li>Potential</li><li>Global Warming</li></ul>	http://w ww.bfrl. nist.gov /oae/so

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
EIO-LCA	<ul> <li>Tool for General Users</li> <li>Also a Life Cycle Cost Analysis Tool</li> <li>Embodied Energy Tool</li> </ul>	<ul> <li>Transportation</li> <li>Installation</li> <li>Maintenance</li> <li>Recycling and Waste Management</li> <li>Management</li> </ul> Transportation <ul> <li>(Use phase and end of life impacts not directly included)</li> </ul>	Residential, Commercial, Institutional, Industrial, Highway and Bridge Construction, Water and Sewer Pipeline Construction, Maintenance and Repair	Potential  - Eutrophication Fossil Fuel Depletion Indoor Air Quality  - Habitat Alteration  - Water Intake  - Criteria Air Pollutants  - Human Health  - Smog Formation Potential  - Ozone Depletion Potential  - Ecological Toxicity  Not Applicable	http://w ww.eiol ca.net/i ndex.ht ml
EQUER	<ul><li>Whole</li><li>Building</li><li>Analysis</li><li>Tool</li></ul>	<ul> <li>Material</li> <li>Extraction and manufacturing</li> <li>Construction</li> <li>Operation</li> </ul>	Industrial, Institutional, Commercial, Residential for both New Construction and Major	<ul><li>Exhaust of abiotic resources</li><li>Primary energy consumption</li><li>Water</li></ul>	http://w ww.cen erg.ens mp.fr/e nglish/l ogiciel/i ndexeq

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
		(energy +water + domestic waste + occupant transportation) and Maintenance  - Demolition and Waste Management	Renovation	comsumption  - Acidification  - Eutrophication  - Global warming  - Non-radioactive waste  - Radioactive waste  - Odors  - Aquatic ecotoxicity  - Human toxicity  - Photochemical smog	uer.htm
LCAid™	<ul> <li>Whole         Building         Analysis         Tool</li> <li>Building         Assembly         Analysis         Tool</li> <li>Material         Analysis         Tool</li> <li>Tool for         General         Users</li> </ul>	<ul> <li>Materials</li> <li>Construction</li> <li>Operations (Energy +Water + domestic waste) and maintenance</li> <li>Demolition and waste management</li> </ul>	All types	<ul> <li>Life Cycle         Greenhouse gas         emissions</li> <li>Life Cycle         embodied energy</li> <li>Ozone depletion</li> <li>Nutriphication</li> <li>Heavy metals</li> <li>Acidification</li> <li>Summer/Winter         smog</li> <li>Carcinogenesis</li> </ul>	http://b uildlca.r mit.edu. au/Case Stud/Bu xton/Bu xtonPS LCAid u se.html

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
Eco-Quantum	<ul> <li>Whole Building Analysis</li> <li>VO Tool: Tool for General Users</li> </ul>	<ul> <li>Materials</li> <li>Construction</li> <li>Operations (energy) and Maintenance</li> <li>Demolition and waste management</li> </ul>	-	<ul> <li>Solid Wastes</li> <li>Water consumption</li> <li>Primary fuels</li> <li>(Eco-Point method)</li> <li>Greenhouse effect</li> <li>Eco toxicity</li> <li>Human Toxicity and more</li> </ul>	http:// www.iv am.uva .nl/inde x.php?i d=373 &L=1
LISA	<ul> <li>Whole Building Analysis Tool</li> <li>Tool for General Users</li> </ul>	<ul> <li>Materials</li> <li>Site Activities</li> <li>Construction</li> <li>Operations (energy) and Maintenance</li> <li>Demolition and Waste Management</li> </ul>	Multi-storey offices, High rise, Wide span warehouse and Road and rail bridges	<ul> <li>Resource energy use</li> <li>Greenhouse gas emissions</li> <li>Suspended particulate matter</li> <li>Non-methane VOC</li> <li>Water consumption</li> <li>NO<sub>x</sub></li> <li>So<sub>x</sub></li> </ul>	http://w ww.lisa. au.com/
Envest	<ul><li>Whole</li><li>Building</li><li>Analysis Tool</li></ul>	<ul><li>Materials</li><li>Construction</li><li>Operations</li></ul>	-	<ul><li>Climate change</li><li>Fossil fuel depletion</li></ul>	http://e nvestv2. bre.co.u k/accou

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
	<ul> <li>Tool for General Users</li> <li>Also a Life Cycle Cost Analysis Tool</li> </ul>	(energy) and Maintenance  - Demolition and Waste Management		<ul> <li>Ozone depletion</li> <li>Freight transport</li> <li>Human toxicity to air</li> <li>Human toxicity to Water</li> <li>Waste disposal</li> <li>Water extraction</li> <li>Acid deposition</li> <li>Ecotoxicity</li> <li>Eutrophication</li> <li>Summer smog</li> <li>Minerals extraction</li> </ul>	<u>nt.jsp</u>
LCAit	<ul><li>Product</li></ul>	Flexible to include or exclude any life-cycle stage	Not applicable	Can be customized to produce LCIA results	http://w ww.eine t.net/re view/96 2195- 588110/ LCAiT.ht m
PEMS	<ul><li>Product</li></ul>	Flexible to include or exclude any life-cycle stage	Not applicable	(Two impact assessment calculation methods: problem-oriented and media-oriented, critical volume assessment methods.)	-
TEAM	<ul><li>Product</li><li>Analysis Tool</li></ul>	Flexible to include or exclude any life-	Not applicable	-	https:// www.ec

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
	<ul><li>Tool for LCA</li><li>Practitioners</li></ul>	cycle stage			obilan.c om/uk team03. php
Umberto	<ul><li>Product     Analysis Tool</li><li>Tool for LCA     Practitioners</li></ul>	Flexible to include or exclude any life-cycle stage	Not applicable	(Evaluates material and energy flow)	http://w ww.um berto.d e/en/
SBi LCA	<ul><li>Product     Analysis Tool</li><li>Tool for LCA     Practitioners</li></ul>	-	Not applicable	(LCA database and inventory tool)	-
Boustead	<ul><li>Product</li></ul>	Cradle-to-Grave	Not applicable	(for life cycle inventory calculations)	http://w ww.bou stead- consulti ng.co.uk /produc ts.htm
SimaPro	<ul> <li>Product         <ul> <li>Analysis Tool</li> </ul> </li> <li>Tool for LCA         <ul> <li>Practitioners</li> </ul> </li> </ul>	Cradle-to-Grave	Complex products with complex life cycles	<ul> <li>Climate change</li> <li>Carcinogens</li> <li>Respiratory organics</li> <li>Respiratory inorganics</li> <li>Radiation</li> <li>Ozone layer</li> <li>Ecotoxicity</li> <li>Acidification / eutrophication</li> <li>Land Use</li> </ul>	http://w ww.pre. nl/defau lt.htm

Tool	LCA Tool Type	Life Cycle Stages Included	Acceptable Building Type	Impact Categories	Web- Link
				<ul><li>Minerals</li></ul>	
				<ul><li>Fossil fuels</li></ul>	
GaBi	<ul><li>Product</li><li>Analysis Tool</li><li>Tool for LCA</li></ul>	Cradle-to-Grave	Any industrial product or process	-	http://w ww.gabi = softwar
	Practitioners				e.com/

# 3 STATE OF PRACTICE

This section presents the views of architects on the use of LCA methodology and examples of use of LCA in practice. Seven architects were interviewed to understand the present state of integration of LCA in practice. A review of eight building LCA case studies was completed that were either fictitious buildings as part of an academic research exercise or typical design and construction projects to understand a building's environmental footprint.

Real design and construction projects are:

- Case Study 1: New Jersey Meadowlands Commission (NJMC) Center for Environmental and Scientific Education Building, New Jersey, US
- Case Study 2: Stadium Australia, New South Wales, Australia
- Case Study 3: Emeryville Resourceful Building, California, US
- Case Study 4: Alicia Moreau De Justo School, Mendoza, Argentina

The research studies or 'fictitious' projects are:

- Case study 5: Three Variants of a House, Switzerland
- Case Study 6: Commercial Office, Thailand
- Case Study 7: Two Variants of a House, US
- Case Study 8: Office Building, US

This set of case studies was selected for review because each of them presents a unique scenario of use of LCA in buildings and reveals practical issues associated with conducting LCA. The section also briefly describes two LCA-related studies. One explores the possibility of including land-use impact in assessing a building's total environmental impact. The other presents a case of evaluating a building's retrofit to understand its benefit against constructing a new building. The aim of this section is to understand:

- 1. Why a particular study was conducted and the motives behind the study
- 2. What specific aspect(s) of the building project were evaluated and a goal definition for each project
- 3. During which project stage LCA was introduced in the project (only in case of real projects)
- 4. How the study was scoped
- 5. Which stages of building life-cycle are included in the study
- 6. How the data were collected
- 7. What assumptions were made for data not available
- 8. What LCA tools, LCI database, and LCIA method were used in each specific case
- 9. What team members were involved in the LCA process.

# LCA from the Architect's Perspective

To understand LCA from an architect's perspective, researchers interviewed architects from seven architecture firms, which ranged from small to large. Some of these firms focused on sustainable practices only.

Large firms seemed more inclined to sustainable practices as compared to small firms. Integration of LCA in the design process also showed a similar trend. Apparently, this was primarily due to the fact that LCA is a time and money intensive exercise. Large firms were able to afford it while small were not. Moreover, most of these firms, which had used LCA in their projects, had hired an LCA expert to carry out the LCA study. This could be because of one of the two reasons (1) architects are not completely aware of simplified whole-building LCA tools or (2) architects do not have faith in these simplified wholebuilding LCA tools. One of the major obstacles that prevented the use of LCA in practice is the overwhelming information that architects obtain from the LCA experts. Since an LCA may result in environmental impact scores spread over different categories, it becomes difficult for the architects to rate which category is more important than the other. Another obstacle is the lack of incentives at present for the use of LCA. When asked about the kind of incentives that would instigate the use of LCA in practice, a range of responses were received. Some believed that monetary incentives in terms of tax benefits and subsidies on the purchase of green products would help, whereas others believed that if a range of projects using LCA were showcased and case studies compiled, it would be great incentive for other firms to adopt the LCA methodology. In terms of benefits of LCA, one interesting response suggested that since LCA is not a common practice at present, it could give an architecture firm an edge over the others and increase the market value of the firm. Responses regarding possible applications of LCA ranged from selecting a building product to selecting consultants and product vendors. A firm employing LCA in a project would prefer consultants and vendors who have an understanding of the LCA methodology.

Thus, it can be concluded that although LCA at present is not an essential component of most of the architecture practices, a general understanding of the methodology is critical for architects to understand the process and results of LCA.

# Target audience for LCA - Who will benefit?

The target audiences in the building industry for LCA are mostly architects, product manufacturers, and sustainability consultants. General contractors can also take the responsibility of conducting an LCA study for the project in some situations. Other stakeholders, such as owners, building occupants, and other consultants, are indirectly affected by the use of LCA in practice.

# **Real Projects**

Case studies 1-4 were reviewed in detail to understand and demonstrate the implementation of LCA in building practice.

# Case Study 1: NJMC Center for Environmental and Scientific Education, NJ, US

This case study was reviewed because it represents one of the few documented examples of an application of whole-building LCA in practice in the US. The primary source of information for this review was the report published by the Rutgers' Center for Green Building.[12]

#### **Project Overview**



Exterior view of the NJMC building [56]

The building is supported by wooden beams and columns along with concrete masonry units. The exterior wall is constructed out of 2 x 6 wood stud systems with glass fiber insulation and gypsum board. The structure has a cast-in-place concrete floor slab and two-pitched roofs with north-facing clerestory windows. A variety of flooring materials, such as linoleum, carpet, and terrazzo are featured in the project. The windows use high-performance glazing, and exterior doors are aluminum-clad wood and glass.[12]

<b>Building Type</b>	Educational Facility
Construction	Commenced operation in April
Duration	2008
Area	9,590 SF
Purpose	Built as an addition to NJMC's
	existing educational facilities
Building	3 Classrooms, a
Program	Classroom/Laboratory, a Wet
	Chemistry Laboratory,
	Administrative Offices, along with
	an Observatory
<b>Project Cost</b>	-
Architect	Fredric A. Rosen
Contractor	-
LCA Expert	Rutgers Center for Green Building
LCA Tool	SimaPro 7.1
<b>LCI Database</b>	EcoInvent 2.0 for US conditions
LCIA method	BEES® and IMPACT 2002+
Energy	Software
Calculation	
Phase in	Construction Phase[56]
which LCA	
was	
introduced	
<b>Project Team</b>	LCA Expert, General Contractor,
Members	Product Vendor/Manufacturer,
involved in	Architect, Owner, Energy Modeler
involved in LCA	Architect, Owner, Energy Modeler

#### Environmental Features [57]

- 165-unit rooftop solar panel array
- Ceiling solar tubes
- Recycled building materials
- Recyclable and locally manufactured standing-seam metal roof
- Energy-efficient heating, lighting ,and water system

# Why was an LCA study conducted?

The NJMC has been charged since its inception with the tasks of balancing economic development and environmental preservation throughout the Meadowlands area. [12] In continuation of this mission, NJMC decided to build its new Center for Environmental and Scientific Education to LEED standards. To better understand the financial and environmental implications of this project over its life cycle, Rutgers Center for Green Building was assigned the task of conducting the Life Cycle Cost (LCC) and Life Cycle Assessment (LCA).

# *Incorporating LCA[12]*

#### Step 1: Goal and Scope Definition

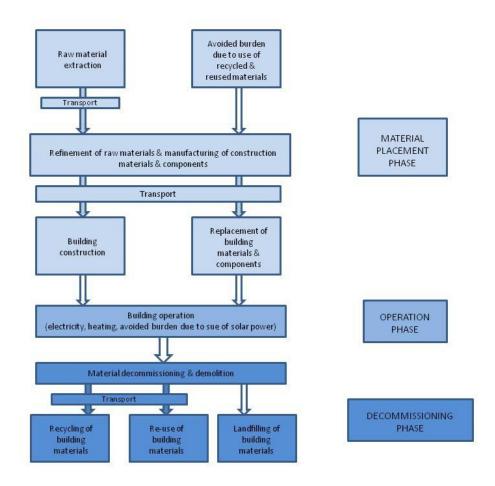
Goal: The goal of the study was to evaluate and compare the life-cycle environmental impacts of the new NJMC center with the data from the literature; that is, to compare the environmental impact of building materials and systems used in the NJMC center with typical buildings. The impact categories evaluated were ozone depletion, acidification, and eutrophication potential with special emphasis on primary energy consumption and global warming.

Scope: The observatory building, which is physically separated from the classroom building, was excluded from the scope of the study because its area is only 5.5 percent of the total project area and is responsible for little energy use. Other components excluded were: bathroom supplies, furniture, laboratory equipment, site work outside the building footprint, landscaping and utilities outside the building, and any impacts resulting from planning and designing the building.

Functional Unit: Impacts have been calculated on a per square foot basis.

Building Lifespan: A 50-year lifespan was estimated by the architect. The study also explores the variation on the impacts for a 75-year lifespan.

System Boundary: The life cycle of the project was divided into three phases: Material Placement, Operation, and Decommissioning. Figure 8 illustrates processes involved in each phase and thus defines the boundary of the system to be studied.



System boundary for LCA study of NJMC[12]

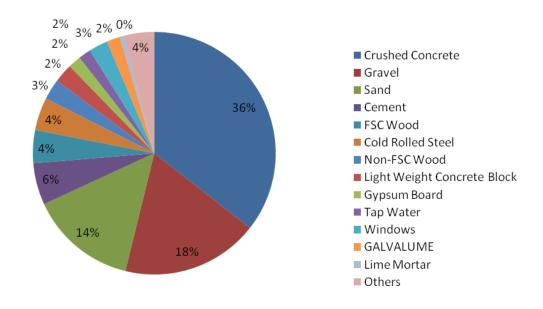
#### Step 2: Inventory Analysis

An inventory of inputs and outputs was prepared using the EcoInvent 2.0 database (US conditions) for the majority of the inventory data sets. Other databases used include Franklin US LCI, the USA Input Output Database 98, IDEMAT 2001, and Industry 2.0.

Data Collection and Assumptions: The data collected for the material placement phase were based on contract documents, construction cost estimates, invoices, product submittals, material data safety sheets, personal communications with the architect and the owner, and inquiries of manufacturers and trade organizations. [12] For the inventory, the composition of the New Jersey (NJ) electricity grid was used for materials produced there. For materials produced in other states, inputs based on US-average electricity were used. Material losses during manufacturing and construction were added to the inventory. A 5 percent loss was assumed in the absence of information about losses. Information on replacement frequencies was estimated by the architect where information from published sources was missing. Transportation of raw materials to refinement and manufacturing is included within the LCI database Ecolnvent 2.0.[12] Transportation from the manufacturing facility to the construction site was added. Energy consumed during the construction phase due to the use of power tools, lighting, and heavy equipment was also added. Allowances were made for using recycled materials or components.

The operations phase only accounted for impacts due to heating, cooling, and ventilating the building; lighting; and water heating. To accomplish this, DesignBuilder software was used to model the energy consumption for this phase. Adjustments for solar photovoltaic panels were made in this calculation. The calculation for energy consumed for demolition of the NJMC center was based on a published research. It was assumed to be 16.5 MJ/ft² (4.58 kWh/ft²). Some building materials and components from demolition will be recycled and reused, and the rest will be disposed of in a landfill. [12] Current practices of the local recycling industry were considered, and calculations were completed assuming recycling of as many building products or assemblies as possible. It was also assumed that the building's environmental impact is not decreased if a building material or component is recycled or reused in the decommissioning phase. This implies that the credits for recycling or reuse are not accounted in the inventory calculation. Transportation to local recycling facilities was taken into account. Given the scope and boundaries of this LCA, it could be best described as a cradle-to-grave, process-based LCA.

Inventory Results: Once the data were collected, the material flows were then modeled using SimaPro 7.1 software. This software uses EcoInvent 2.0 and other inventory databases for calculations. The building has an initial mass of 2,052 tons, out of which crushed concrete accounted for 761.0 tons (37.1 percent), gravel and sand together accounted for 696.7 tons (34 percent), and cement accounted for 118.0 tons (5.7 percent). Wood certified by the Forest Stewardship Council (FSC)[58] accounted for 94.4 tons (4.6 percent). The addition of materials for renovations and replacements account for 4 percent of the life cycle building mass and raises the total mass to 2,140 tons. Following are the major contributors during renovations and replacements: cold rolled steel, windows, GALVALUME, insulation, HVAC, photovoltaic panels, doors, and paint. The mass distribution for the life cycle of the project is presented in figure 9.



Life cycle mass distribution for NJMC building

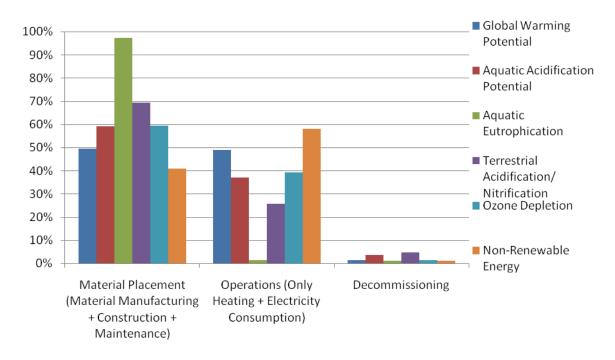
Note that the calculation of building materials and their contribution by weight is a useful way to visualize the materials and their potential impacts. It may also be useful to normalize material quantities in terms of the volume of material used, and by the relative cost of the materials used, as this provides a more complete picture of materials used in the project, and their potential environmental impact.

#### Step 3: Impact Assessment

The impact categories evaluated for the study were: primary energy consumption, global warming potential, ozone depletion, acidification, and eutrophication potential. Two different environmental impact methods supply the emission factors used in this study to convert the inventory data to environmental impacts: Building for Environmental and Economic Sustainability (BEES®) and IMPACT 2002+.[12] Two impact methods were used to test the robustness of the results. The results for primary energy, global warming, and acidification potential yielded the same results for both impact methods, whereas ozone depletion and eutrophication potential results differed. The difference in the results for ozone depletion and eutrophication potential was mainly because the two methods account for different chemicals in calculating the impacts.

# **Step 4: Results and Interpretations**

Results: The overall environmental impact on primary energy consumption, global warming potential, and acidification potential for NJMC is less than a conventional educational building (based on studies by Scheuer et al [2003], Kole and Kernan [1996], and EIA [2003]). NJMC has a higher impact than a conventional building in the material placement phase, which is offset by a much lower impact during the operation phase. The impacts for NJMC in the material placement phase is higher than its peer group due to the quantity of materials used in the foundation, photovoltaic cells, concrete foundation caps and floor slab, roof decking, and standing seam metal roof. The decommissioning phase is relatively less important than the materials placement and operations phase, as it makes a significantly lower contribution to the impacts. [12] Figure 10 shows how each impact is distributed among different lifecycle phases of the NJMC building. The Y-axis presents the contribution of each life-cycle stage towards each impact category calculated as a percentage of overall impact.



Distribution of impacts among different life cycle phases based on IMPACT 2002+ method [12]

Total primary energy consumption after reduction for the use of photovoltaic panels is 8.9 x 10<sup>3</sup> megawatt-hours. The global warming potential (GWP) for the material placement phase was slightly higher than is conventional. Total GWP was equal to 1,660 tons of carbon dioxide equivalent according to IMPACT 2002+. Aquatic acidification potential was equal to 10.2 tons of sulfur dioxide equivalent, and terrestrial acidification potential was 33.5 tons of sulfur dioxide equivalents, according to IMPACT 2002+. Total acidification potential (AP) is equal to 528 of hydrogen-ion ton moles equivalent in BEES® 4.0. The results for ozone depletion (OD) potential and eutrophication were not consistent from the two LCIA methods. Total ozone depletion for NJMC was 0.47 lb of CFC-11 equivalent based on IMPACT 2002+ and 0.23 lb of CFC-11 equivalent based on BEES® 4.0. This is because the IMPACT 2002+ method accounts for more compounds leading to ozone depletion.

#### Interpretations:

- Linoleum, which is often considered a green material because it is manufactured from renewable feedstock, carries a large eutrophication burden because of the way it is produced.
- The life-cycle ozone depletion potential of NJMC is minimal.
- The life-cycle primary energy consumption of the NJMC is much less dominated by the operations phase than in conventional buildings, due to the energy efficiency of the NJMC and the solar panels.
- The LCA also highlighted how building material choices may inadvertently shift impacts across impact categories and/or geographies.
- As predicted building lifespan increases, the primary impacts shift from the construction phase to the operations phase.

## Benefits of the NJMC LCA

The design team at the NJMC made the following conclusions regarding their LCA study.

- LCA can act as a valuable tool for quantifying the benefits of a green building.
- The results of the study can be used to guide future policy making regarding the construction of green buildings throughout the Meadowlands area.
- LCA can prove useful to the U.S. Green Building Council's ongoing evaluation and revision of the LEED Standards.
- This study highlights the importance of design choices in determining environmental impacts during materials placement, operation, and decommissioning of buildings. It shows that choices imposing higher impacts during the materials placement phase can yield dramatically lower impacts during operation.

#### Lessons Learned

- Materials generally regarded as low-impact can have a large environmental footprint. LCA helps in selecting materials on a case-to-case basis rather than going with popular choices.
- The estimated life of a building can be a useful criteria in deciding which life-cycle stage needs more attention: manufacturing and construction or operation and maintenance.

### Case Study 2: Stadium Australia / ANZ Stadium, New South Wales, Australia

This case study was reviewed as it presents one of the most early and successful examples of using whole-building LCA to review design options during the schematic design phase and review impacts of each life-cycle stage during the construction phase. Two LCA studies were conducted for the project, one at the project tender stage and the other during construction. The primary source of information for this review was the study conducted by RMIT[59] and a paper by Andrew Myer and Chet Chaffee.[60]

# Project Overview[59]



View of Stadium Australia

The stadium is a steel and concrete structure with a 30,000 m² elliptic shaped roof, supported by two 14 m deep tapering steel trusses anchored in concrete thrust blocks and covered with translucent polycarbonate sheeting with four levels of opacity to filter the sun. The original capacity of the stadium was reduced to 80,000 after the conclusion of the 2000 Olympic Games. The project management scheme adopted for the project was Build-Own-Operate-Transfer back to the Government (BOOT).

<b>Building Type</b>	Stadium		
Construction	1996-1999 (new construction),		
Duration	2001-2003 (reconfiguration)		
Capacity	110,000 (Olympic), 80,000 (post		
	Olympic)		
Purpose	Built as part of the 2000 Summer		
	Olympic Park development plan		
Building	7 floor levels + 1 basement		
Program	Houses restaurants, lounges,		
	private boxes, two large banquet		
	halls and public areas along with		
	stadium seating		
<b>Project Cost</b>	\$690 million		
Architect	Bligh Lobb Sports Architects		
Contractor	Multiplex Constructions		
LCA Expert	ERM Mitchell McCotter,		
	Department of Public Works and		
	Services (DPWS)		
LCA Tool	LCA tool by NSW Department of		
	Public Works and Services based		
	around the Boustead 3 model		
LCI Database	Boustead 3 + data collected from		
	other sources		
LCIA method	-		
Energy	Estimated by Rudds Pty Ltd		
Calculation			
Phase in	Preliminary design phase,		
which LCA	Construction phase		
was	,		
introduced			
Project Team	Developer, Environmental		
members	Consultant, Structural Engineer,		
involved in	Architect, Quantity Surveyor,		
LCA	Services Engineer, LCA Expert,		
LCA	Contractor		
	Contractor		

#### **Environmental Features**

- Passive ventilation
- Daylighting through specially constructed light voids
- Harvested rainwater used for irrigation
- Recycled water used for flushing toilets
- Water saving devices provided throughout the stadium
- Minimal use of PVC and other building materials with high environmental impacts as identified by the LCA methodology
- Environmentally friendly gas fired co-generators serve as a backup to the main supply of electricity

## Why was an LCA study conducted?

LCA was used for Stadium Australia because the Planning Policy for Olympic games projects No 38 (SEPP 38) refers to compliance with Ecologically Sustainable Development (ESD) and the Environmental Guidelines for the Summer Olympic Games. [59] These required that the project consider the environmental impact during the life of the project; that is, the impact of the manufacture, use, and disposal of materials. Multiplex Construction used LCA to quantify Stadium Australia's environmental performance.

# Incorporating LCA: Preliminary LCA

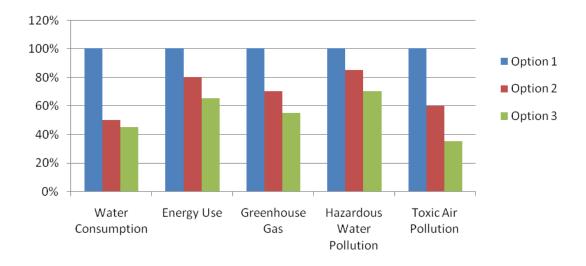
The first LCA study was conducted to evaluate three design options and select the most environmentally friendly one. It was implemented as a broad-brush approach, appropriate to the level of detail available at the pre-design stage. [60]

Option 1: Base Case – design based on normal building practice, with little environmental innovation

Option 2: Improved design including reduced-impact components and available technologies

**Option 3**: Enhanced environmental case that included cutting-edge technologies expected to come onto the market within the development program.

As part of the first LCA, energy profiles, water use, and material quantities were estimated. Inventory calculations were completed using the Boustead model. An eco-profile was developed for each design option, but results were not broken down for investigation of individual components. The results of the LCA study[60] are presented in figure 12.



Environmental burdens for three design options for Stadium Australia

It can be observed that option 2 achieved significant reduction in all the categories. Option 3 goes a step ahead in reducing toxic air pollution to 35 percent of the base case. Using a mixture of Life Cycle Assessment and cost/benefit analysis, option 2, improved design case, was chosen. This use of LCA can be considered parallel the current use of energy modeling within the LEED rating system. In the LEED rating system, a "base" building, meeting current energy code requirements, is modeled. A set of design improvements, aiming to make the building more energy efficient, are then chosen, and additional energy models are created to demonstrate the improvements in energy use as compared to the "base" building. This points to one future option for use of LCA in building design, and one that is parallel to a well-understood process in U.S. building practice.

### Incorporating LCA: Detailed LCA

The project also included a detailed LCA, completed during the construction phase of the building.

#### Step 1: Goal and Scope Definition

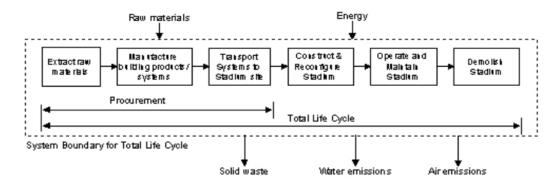
Goal: The goal of the detailed LCA was to quantify raw material use, energy use, emissions to air and water, and solid wastes.

Scope: The scope of the study was limited to reporting the quantities of environmental inputs (raw material and energy) and outputs (air and water emissions and solid waste). No life-cycle impact assessment was carried out. Only the major building systems were included in calculations. Furnishings and fit-out were not included.

Functional Unit: The functional unit was the provision of a stadium for 50 years. The functional unit was further split into four life-cycle stages. For example, the functional unit for the procurement stage is extraction, manufacturing, and transportation of materials to the site.

#### Building Lifespan: 50 years

System Boundary: Stages included for evaluation are procurement (raw material extraction, manufacture, and transport) of the building systems, construction and reconfiguration, operation and maintenance, and demolition. Figure 13 presents the system boundary for the study. It can be seen that recycling activities are not included in the system boundary.



System boundary for LCA study of Stadium Australia[59]

## Step 2: Inventory Analysis

Data regarding building systems and operational processes for all the four stages of the life cycle were collected. This included estimated annual energy and water consumption and material quantities.

Data Collection and Assumptions: This was accomplished using a quantitative questionnaire completed by the contractor, which was then verified and supplemented using computer databases of previous studies, published literature, previous energy or environmental audits, and direct contact with the product manufacturer or designer. Building product suppliers were contacted for a description of their manufacturing process and associated raw materials, energy use, water use, and waste products; thus, product-specific data were used. Data were also collected from other studies, especially for the operational phase. Operational data were collected from invoices for electricity, water, diesel, gasoline, natural gas, and monthly reports on energy use. Input data was sourced from suppliers and was product and site specific where possible. Where site specific data were not available, generic LCA data for similar products and processes were used. The results were aggregated for the whole life cycle of the stadium. Once all the data were collected from all the sources, they were fed into the Boustead model using a DPWS (Department of Public Works and Services) tool. The Boustead model calculated the emissions and waste produced at different stages.

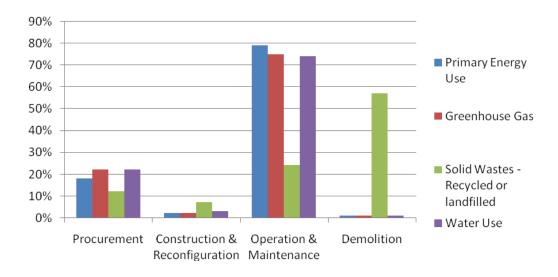
Inventory Results: The result from inventory analysis is discussed in Step 4, as it forms the basis of interpretations. (This is because impact assessment was not carried out for the study.)

### Step 3: Impact Assessment

Impact Assessment was not carried out for this case study. This was due to the confidentiality of the data collected and the lack of a suitable Australian impact methodology to calculate the impacts accurately.[59]

### **Step 4: Results and Interpretations**

Results: The results indicated that the operation and maintenance phase was the largest contributor to energy and water consumption and greenhouse gas emissions. The total energy consumption during the operation stage was maximum (18,000 giga joule/year) for cooling and air-conditioning activity. The results of the LCA are presented in figure 14.



Distribution of environmental burdens over different life-cycle stages of Stadium Australia (percentage of total environmental burden by life-cycle stage)

Figure 14 presents the contribution of each life-cycle phase towards a specific environmental burden (material and energy use and emissions and solid waste produced). The results are indicated as a percentage of that total environmental burden. Annual solid waste produced during operation was relatively higher than other building types because a stadium life cycle involves waste produced by spectators, which was estimated to be 2,000 metric tons per year in this case. The total primary energy consumption was estimated to be 7,600 tera joule (TJ), total greenhouse gas emissions was predicted to be 625,000 metric tons CO<sub>2</sub> equivalent, solid wastes was 675,000 metric tons, and water use was 3,025,000 metric tons. When compared to a conventional stadium, the annual primary energy consumption of Stadium Australia is 30 percent less, and greenhouse gas emissions is 37 percent reduced, owing to energy efficiency measures and the use of natural gas co-generation. Total water consumption was reduced by 14 percent as compared to a conventional stadium. Moreover, the potable water consumption was reduced by 77 percent due to the use of recycled water and water collected on site.

Interpretation: The operation and maintenance phase can be considered the most crucial stage, as its energy use, greenhouse gas emissions, and water use are high relative to the other stages. Measures should be taken to reduce the cooling and air-conditioning load for the project, since that is the largest contributor to primary energy use and greenhouse gas emissions.

### Benefits of Stadium Australia LCA

Following is a list of benefits of the preliminary and the detailed LCA on Stadium Australia.

- The preliminary LCA was helpful in reviewing design options and choosing the best option taking into account both cost and environmental impacts.
- In general, results of early-stage LCA can aid in decision and policy making.
- Detailed LCA can help in preparing environmental management plans and programs for the operation of a facility.
- The detailed LCA helped Multiplex Construction to quantify the environmental performance of the stadium.
- The detailed LCA helped to set a benchmark for the environmental performance of future stadiums.
- The preliminary LCA ensured that the regulatory and planning requirements were met.
- The preliminary LCA assisted in choosing building materials and in design issues such as waste and energy efficient design.
- Multiplex Construction can use the lessons learned from the LCA in other large-scale public buildings.
- Multiplex Construction and building product manufacturers, suppliers, and subcontractors have gained a better understanding of their interaction with the environment in terms of raw materials, energy use, and emissions to air, water, and land.

#### **Problems Encountered**

The following problems were highlighted by the Stadium Australia design and construction team:

- As mentioned earlier, data were collected through questionnaire completed by the contractors; data collection was difficult because subcontractors were asked to participate in building the inventory, which was not an easy task for them; using international data was also a problem, as different countries had different fuel mixes for energy
- Confidentiality of the data
- Lack of a suitable Australian impact methodology—because of the lack of an established impact methodology in Australia, the detailed LCA used quantities of emissions instead of quantifying environmental impacts
- Lack of data on impacts for Australia.

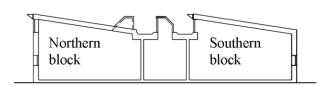
#### Lessons Learned

- Conducting LCA at the early design stage and then at an intermediate stage of construction can be a helpful strategy to make sure that a project's environmental goals are met.
- State or local codes can affect the application of LCA in a project.

## Case Study 3: Moreau School, Mendoza, Argentina

This case study presents an example in which a simplified whole-building LCA was used to compare a few simple energy-conserving technologies with traditional building technologies. This can be thought of as the sort of LCA that would be completed when the goal is to understand the environmental implications of product substitution; that is, the replacement of traditional construction means and methods with systems that purport to provide better energy performance. This type of case study can also be used to calculate an environmental payback estimate on the amount of time it takes to ameliorate the incremental environmental damage used caused by using better performing materials that may have a larger initial environmental footprint. The primary source of information for this review was a journal paper by Arena and de Rosa.[4]

## Project Overview



Section through the classroom block of Moreau School [4]

The building structure composed of metal sloping roofs and horizontal concrete roofs, both insulated with expanded polystyrene. It is assumed that the external walls for the traditional case were composed of a single brick layer. For the energy-conserving case, a double brick layer with thermal insulation in between was considered. The aim of the project was to obtain maximum thermal and visual comfort with minimum fossil energy consumption, using local available technologies, maximizing the use of local specialized labor, and reducing global cost without affecting the building's durability and quality.

<b>Building Type</b>	School
Construction	-
Duration	
Area	-
Purpose	-
Building	3 blocks, Only the classroom block
Program	considered for the study
<b>Project Cost</b>	-
Architect	Human Environment and Housing
	Laboratory, R and D
Owner	School Board of Mendoza
LCA Expert	A.P. Arena, C. de Rosa
LCA Tool	-
LCI Database	SBID Database from the Danish
	Research Institute
LCIA method	SBID Database
Energy	LANL Method
Calculation	
Phase in	Post-construction
which LCA	
was	
introduced	
Project Team	-
Members	
involved in	
LCA	

#### **Environmental Features**

- Solar gain from north facing clerestory windows
- Cross ventilation in the northern rooms assisted by wind catchers
- Fixed external overhang
- Conservative double glazed windows with rubber gasketting
- Double layer brick wall with insulation in between
- Internal diffuser devices to avoid the direct radiation incident on the work surface
- Hybrid ground cooling system for summer conditioning of northern rooms

# Why was an LCA study conducted?

The LCA study was taken up to meet the project goal of obtaining maximum visual and thermal comfort with minimum fossil energy consumption. The design of the building was commissioned by the School Board of Mendoza and given to the Human Environment and Housing Laboratory of the National Scientific and Technological Research Council of Argentina.[4]

# **Incorporating LCA**

### Step 1: Goal and Scope Definition

Goal: The goal of the LCA was to perform a comparison of traditional and energy-conserving technologies applied in school buildings of Andean arid regions in Mendoza, Argentina, using LCA as a tool.

Scope: The scope of the study was limited to comparing one classroom built with energy-conserving technologies with a functionally identical classroom built following traditional technologies. Only locally available technologies were taken into consideration in for the energy-conserving case. The study only included external environmental aspects; no indoor or human effects were studied. The environmental impacts covered in the study are:

- Global Warming
- Acid Rain
- Photochemical Smog
- Resource Consumption
- Eutrophication
- Toxicity

The study is focused on the vertical components of the building envelope: exterior walls and windows. Only the energy-conserving technologies designed for the heating energy consumption are considered. Thus the chosen assemblies for comparison are:

- 1. Efficient external wall versus traditional external wall
- 2. Double-glazing windows with rubber gasket versus traditional single-glazing windows (without gaskets)

Functional unit: The functional unit for this case was defined as "the environmental impacts of the implementation of a given technology in the school building together with all the additional materials required, including the reduction of heat losses over its operative lifetime."

Building Lifespan: 50 years

System Boundary: No secondary effects, such as the production processes for components or infrastructure, were included except for energy flows. Secondary effects include impacts due to the production of manufacturing machinery and parts, construction of roads, etc. The building demolition phase was not included.

### Step 2: Inventory Analysis

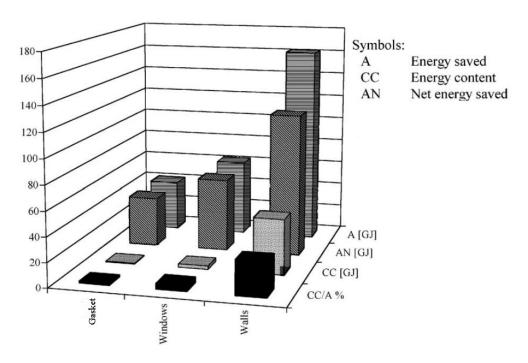
Data Collection and Assumptions: Only the differences between the studied technologies were taken into account. Thus, in the energy-conserving case, only those materials that were used in addition to the traditional case have been included, whereas in the traditional case, only the extra natural gas consumed over the building life cycle in addition to the conservative case has been considered. It is unclear how the impacts due to construction of these assemblies were calculated for this study.

It was also assumed that the decision of building the school in its location was a given, and thus outside of the LCA boundary. When emissions data for the Mendoza region were not available, foreign values were used, but taking into account the local energy mix. Average data have been used except when the supplier was known. The structural frames for double and single glazed windows were considered to be the same. The differences in production energy consumption in the compared windows are due only to the energy content of the additional glazing, which has been calculated to be 19.92 mega joule/kg, and the energy content of the gaskets, which has been taken as 77.5MJ/kg, based on a published study. Table 5 shows the annual and life-cycle energy savings of the energy-conserving case. Specific savings in the table implies savings per unit floor area.

Table 4 - Annual and life-cycle energy savings of the conservative case [4]

Annual energy savings		
Savings during use phase	5307.5	MJ/year
Specific savings during phase use	49.8	MJ/year m <sup>2</sup> floor
Bottled gas	2.5	45 kg bottles/year
Natural gas	136.3	m <sup>3</sup> /year
Kerosene	164.7	1 l/year
Global energy savings (50 years life	rtime)	
Savings during use phase	265374.5	MJ
Specific savings during use phase	4980.7	$MJ/m^2$ floor
Bottled gas	125.8	45 kg bottles
Natural gas	6812.8	$m^3$
Kerosene	8236.3	1 1

It was found that using insulated external walls results in 60 percent of the total energy savings; double glazing amounts for 24 percent and the remaining 16 percent is saved by the reduction in infiltrations due to gaskets. Figure 16 shows the contribution of each conservative measure towards energy savings.



Contribution of energy-conserving measures towards energy savings [4] (energy savings presented in giga joule)

## Step 3: Impact Assessment

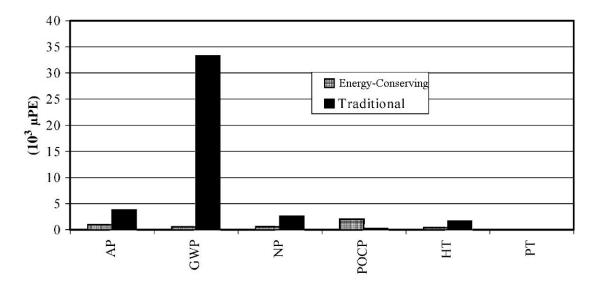
The SBID model was used for impact assessment. Global warming potential (GWP), acid rain, photosmog, resource consumption, eutrophication, and toxicity were the impacts evaluated.

### **Step 4: Results and Interpretations**

Results: A normalization phase was carried out. The concept of "person equivalent" (PE) was used for normalization. This normalization method relates the amount of emissions resulting from a studied system with the mean value corresponding to a region, apportioned to each person of the population of that region. An environmental profile was then established for each case. As seen from the figure, the GWP for the traditional case was approximately  $33 \times 10^3 \, \mu$ PE whereas for the energy-conserving case is less than  $1 \times 10^3 \, \mu$ PE. Although impact values for all other categories are low for the traditional case, it is still higher than the energy-conserving case values.

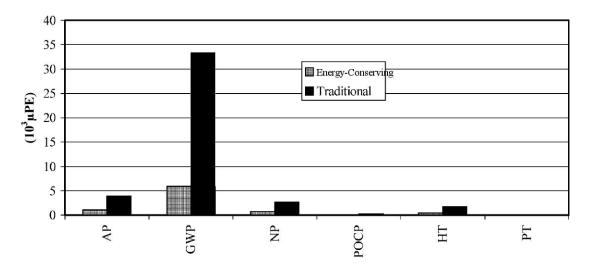
It was concluded that even though the insulated walls save the largest amount of energy, they require also the largest amount of additional energy for their construction. However, the net energy saved by the walls is still the main contribution to the total savings. On the contrary, the gasket for infiltration control produces a small saving compared with the other strategies. But the ratio of embodied energy content to energy saved is lowest for the gasket. This implies that the gasket saves more energy during

the operational phase than it consumes during the manufacturing. Figure 17 presents normalized environmental impacts for both the conservative and traditional cases.



Normalized environmental impacts for energy-conserving and traditional case [4]

It can also be observed that almost every environmental aspect considered is improved in the energy-conserving case, except for the photochemical ozone creation potential. As mentioned earlier, the energy-conserving case used two brick layers as compared to the one used in the traditional case. Use of uncontrolled wood combustion as the heat source for the brick baking was found to be the main cause for the higher POCP in the energy-conserving case. Assuming that wood was replaced with natural gas, the results change partially, as shown in figure 18.



Normalized environmental impacts for the two cases, assuming use of natural gas for brick baking [4]

It is evident from the above figures that although the GWP increases for the energy-conserving case by replacing wood with natural gas for brick manufacturing, all of the environmental effects are now improved as compared with the traditional case.

## Benefits of Moreau School LCA

- LCA helped in assessing the energy and environmental effects of applying energy-conserving strategies in school buildings in arid Andean regions of Argentina.
- The study revealed that in certain situations the use of a non-renewable fuel can lower the environmental impact of a product or system (natural gas versus wood).
- The LCA method helped in evaluating the environmental impacts produced by each design alternative as well as the materials or processes responsible for those impacts.

### **Problems Encountered**

Lack of local inventory data and high costs for conducting LCA were viewed as the main problems.

#### Lessons Learned

- LCA can help identify the cause of high environmental impact due to a particular assembly. For
  example, in this case study, uncontrolled wood combustion during brick-baking was the main
  cause of a higher POCP impact due to an energy-conserving wall assembly.
- The better defined and scoped an LCA study is, the more useful and meaningful the results shall be.

# Case Study 4: Emeryville Resourceful Building, California, USA

This case study was included because it presents a case of assembly-level LCA where choices among different assembly options were made based on LCA during the design development stage. The primary source of information for this review was the report received from Siegel and Strain Architects.[22]

# **Project Overview**



View of Emeryville Resourceful Building

This was an infill housing project built on a 5,500-square-foot empty lot in an otherwise developed neighborhood of older homes and apartments. The project had physical and financial constraints. The design was already approved by the city's Planning Commission before it was decided to add green features. The overall massing and placement of the building was tightly constrained by the size and proportion of the site.

<b>Building Type</b>	Residential
Construction	
Duration	
Area	One 3-bedroom house 1600 SF in
	size
Purpose	Provide high quality, green
	affordable housing for the city of
	Emeryville
Building	Three-unit project consisting of a
Program	two-story duplex and a two-story
	single family house built with five
	parking spaces
Project Cost	-
Owner	Emeryville Redevelopment
	Agency. Additional funding from
	Alameda County Resource
	Reduction and Recycling Board
	and the Alameda County Waste
	Management
Architect	Siegel and Strain Architects
LCA Expert	Boustead Engineering
LCA Tool	Boustead
LCI Database	Boustead
LCIA method	-
Energy	-
Calculation	
Phase in	Design Development Phase
which LCA	
was	
introduced	
Project team	
Froject team	Mechanical Engineer, Structural
members	Mechanical Engineer, Structural Engineer, General Contractor, LCA
-	_

# Three major project constraints:

- The selected environmental features had to support the affordable housing goals.
- The environmental measures selected needed to provide tangible benefits to the occupants by lowering maintenance and operating costs and providing a healthier place to live.

 The project had to be built using conventional means (the materials and technologies) as state law required that the construction contract would be awarded to the low bidder—probably a contractor without any specialized training or knowledge of green building.

Thus, the goal of the project was to find simple, cost-effective ways to reduce environmental impacts, using mostly conventional means of construction while maximizing benefits to the future occupants.

# **Methodology**

Standard building assemblies for walls, roof, and floors were first created to define the baseline. Following that, alternate assemblies were created by selecting materials and designing on the basis of prior experience in affordable housing and knowledge of environmentally sound building practices. Seven to eight alternates were designed for each assembly type. These options were reduced to two to three for each assembly to stay within the research budget and other constraints discussed earlier. Figure 20 illustrates the shortlisted assemblies for each assembly type.

rte			

	Standard	Wall #2
Framing	2 x 6 @ 16" 0C	Engineered Wood, Optimized Framing
Mud Sills	Pressure Treated Wood	Plastic Lumber
Headers	Wood	Engineered Wood
Insulation	R-19 Fiberglass Batt	R-19 Encapsulated
Sheathing	1/2" OSB	5/8″ OSB
Cladding	Hardboard	Cement Fiber
Building Paper	15#	15# + Tyvek
Interior Sheathing	1/2" Gypsum Board	1/2" Fiber Reinforced Gypsum Board

# **Roof Assembly**

	Standard		Roof #2	Roof #3
Framing	Solid Wood 2 x 10		Cert. Prefab. Truss	Engineered Wood
Insulation	R30 Fiberglass Batt		R30 Cellulose	R30 Encapsulated Fiberglass
Sheathing	1/2" OSB		1/2" OSB	1/2" OSB
Cladding	Asphalt Shingle		Steel	Alum. Shingle
Felt	30#	30#	30#	30#

#### 1st Floor Assembly

	Standard 1	Standard 2	
Slab	Concrete	Concrete	
Insulation	none	none	
Covering	Carpet / Pad	Sheet Vinyl	
Adhesive	Standard	Standard	

2nd Floor Assembly

	Standard 1	Standard 2	
Joists Sub Floor	Wood @ 16" 0C 3/4" 0SB	Wood @ 16" 0C 3/4" 0SB	
Ceiling	1/2" Gypsum Board	1/2" Gypsum Board	

Various options of assemblies considered for each assembly type[22]

Proposed assemblies that were not within the project budget were eliminated. A life cycle cost (LCC) analysis was also conducted along with life cycle assessment (LCA) to weigh the trade-offs across the life-cycle environmental and financial impacts.

### **Environmental Features**

- An emphasis on durable long-lasting building materials
- Using materials efficiently, with the so-called "optimum value engineering" framing techniques by NAHB[61] and engineered wood products
- Use of recycled or recyclable materials and techniques for reducing construction waste
- Use of materials from well managed sources—all framing and finish lumber was certified and procured from a local supplier
- Improved IAQ by careful selection of materials and HVAC systems

#### Why was an LCA study conducted?

The original aim of the project was constructing high quality affordable housing for first-time buyers. Grants to fund green demonstration projects by the Alameda County Recycling Board and the Alameda County Waste Management Authority steered the project to employ resource-efficient building techniques and other green features. Thus, LCA was brought into the picture to make decisions based on a quantitative environmental analysis.

#### **Incorporating LCA**

#### Step 1: Goal and Scope Definition

Goal: The goal of conducting LCA was to measure the environmental impacts of green construction systems and assemblies and compare them to standard construction systems and assemblies.

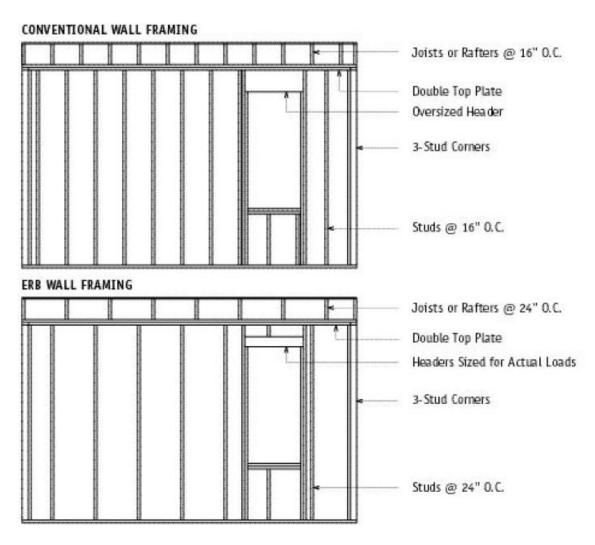
Scope: Only exterior wall assemblies in one typical project unit, a three-bedroom, 2-1/2 bath unit measuring 1,600 sq. ft. that includes a one-car garage was studied. A cradle-to-gate life cycle assessment also described as an eco-profile was used.

Functional Unit: A total exterior assembly required for the three-bedroom house with a 50-year life.

Building Lifespan: 50 years

System Boundary: The study considered all the upstream processes—resource extraction, manufacturing process, and transportation to the job sit—in an assembly's life cycle. Downstream

processes like building construction, operation, and demolition stages were not included in the study, but maintenance and replacement activities for the assemblies were included. Figure 21 shows the two wall assemblies that were evaluated using LCA. Figure 22 shows the upfront cost of these two. Figure 23 presents the added cost during the life of the two assemblies.



Two options for the exterior wall assembly [22]

**Exterior Wall Assembly** 

Standard	\$ / Sq. Ft.	\$ / Sq. Ft.
2 x 6 @ 16 0C	\$3.30	
R-19 Fiberglass Batts	\$0.40	
1/2" OSB	\$1.10	
MDF Siding	\$4.50	
Standard Exterior Paint	\$0.55	
15# Building Paper	\$0.15	
1/2" Gypsum Board	\$1.15	
Standard Interior Paint	\$0.55	
Standard Cautking	\$0.05	
\$ / Sq. Ft. of Wall	\$11.75	\$12.38
Total Sq. Ft. Wall	6,365	

Upfront cost of the two assemblies[22]

50-Year Cost Savings — Siding

Hardboard Siding			
Cost/Sq. Ft. Installed Cost Warranty Replacement Cost at 25 Years Replacement Cost at 50 Years	\$4.50 \$28,642 25 Years \$28,642 \$57,284		
		Savings Over 50 Years	

Added cost during the life cycle of the two assemblies[22]

The maintenance phase for the exterior wall assembly will include replacement of the siding for both the options.

### Step 2: Inventory Analysis

The material take-offs developed by the cost estimator was converted by Boustead from units of area and volume (board feet and cubic yards) to units of weight and then weights were used to calculate inputs to the system.

Data Collection and Assumptions: Information about materials and components came from technical literature, industry databases, the Boustead database, and manufacturers' specifications. Assemblies were compared on the basis of inputs and outputs obtained from the inventory analysis.

Inventory Results: The result from inventory analysis is discussed in Step 4, as it forms the basis of interpretations since Step 3 was not carried out for the study.

## Step 3: Impact Assessment

It is assumed that no impact assessment was performed in this case, as the report contains no information on impact assessment.

# **Step 4: Results and Interpretations**

Results: The ERB wall assembly generates less solid waste than the standard assembly. The standard wall assembly includes wood fiber siding with a 25-year warranty, while the ERB wall assembly includes fiber cement siding with a 50-year warranty. If the wood fiber siding were replaced after 25 years, an additional 5,750 lbs. of solid waste would be sent to the landfill. Table 7 presents the energy and material consumption values obtained as part of the inventory results for the standard and selected wall assembly. Figure 24, 25, and 26 show the emission values calculated from the inventory analysis.

Table 7 - Inventory results for energy and material consumption for exterior wall assembly

	Standard Wall Assembly	Selected Wall Assembly	Savings	Percentage Savings
Gross Energy	4,550 therms	2,900 therms	1,650 therms	36 percent
Requirements	,	,	,	·
Gross	18.5 Metric Tons	11.5 Metric Tons	7.0 Metric	38 percent
Material			Tons	
Consumption				

Air Emission	Standard	ERB	Environmental Impact
Dust	55 lbs.		
CO <sub>2</sub> Equivalents Emitted	13.2 tons		Global Warming
CO <sub>2</sub> Equivalents Sequestered	26.4 tons		
CO	56 lbs.		Human Toxicity
SO <sub>X</sub>	170 lbs.		Acidification (Acid Rain), Human Toxicity
NO <sub>X</sub>	192 lbs.		Acidification (Acid Rain), Human Toxicity, Nitrification
Hydrocarbons	26 lbs.		Human Toxicity, Photochemical Smog
Methane	143 lbs.		Global Warming (11 times more damaging than CO <sub>2</sub> )
H <sub>2</sub> S	Olbs.		Human Toxicity
HCL	2 lbs.		Human Toxicity

Emissions to air for the two wall assembly options [22]

, ,	~ ` '
Standard (lb.)	ERB (lb.)
12	
2	
0	
5	
0	
0	
572	
0	
0	
0	
4	
1	
0	
0	
	12 2 0 5 0 0 572 0 0 0 4 1

Emissions to water for the two wall assembly options[22]

Waste Type	Standard (lb.)	ERB (lb.)
Mineral	1,042	
Mixed Industrial	100*	
Slag / Ash	219	
Inert Chemical	0	
Regulated Chemical	0	
Metals	0	
Paper & Board	0	
Plastics	0	
Total	1,361	

<sup>\*</sup> Potential contributions to municipal solid waste stream.

Gross solid waste generated by the two wall assembly options[22]

Interpretations: It can be observed from the table that the  $CO_2$  equivalents sequestered is more than the  $CO_2$  equivalents emitted. This suggests that no  $CO_2$  is released as a result of the manufacture, packaging, and distribution of the building materials selected for the project. This is due to the fact that large amounts of  $CO_2$  are sequestered in the wood used in the selected assembly. The lumber in the house was not analyzed at the end of its life, when the wood might be disposed of and the  $CO_2$  released back into the atmosphere. Therefore, the net overall value for  $CO_2$  production is reported as negative. Also, it can be observed that significant savings are reported in energy requirements and material consumption for the selected assembly. It should be noted that results reported here are only based on a cradle-togate analysis and thus do not take into account the effect that these assemblies might have on the operation and demolition phases of the projects.

Similar analysis was done for other building assemblies to compare standard assemblies with selected ones to validate the selection made by the design team. Some of the project's accomplishments include:

- Reduction of operating energy by approximately 33 percent
- Reduction by 23 percent of those emissions from operating energy that contribute to global warming
- Reduction by 16 percent of those emissions from operating energy that contribute to acid rain
- Reduction in the amount of fuel used for materials production by 50 percent
- Reduction of wood used for framing by 19 percent
- Finally, the ERB cost no more than conventional affordable housing.

# Benefits of ERB LCA

The project team from the ERB cites the following benefits of using LCA as part of their design process.

- Information about the contribution of various life-cycle phases in a building-component's life
  was useful as it provided measurable environmental inputs and outputs.
- This study established a method for designing and evaluating environmentally sound, energy efficient affordable housing using LCA.
- LCA provided measurable environmental impacts and, coupled with LCC, costs of green construction systems and assemblies as compared to standard construction systems and assemblies.
- In general, LCA results can be used to design a project with reduced environmental impacts, operating costs, and maintenance costs, demonstrating that green can also be affordable.
- It can aid developers, agencies, and architects in designing environmentally sound, costeffective, affordable housing.
- The results will encourage others to take on similar studies. As a result, a body of work will
  emerge, ultimately bringing more environmentally sound building practices to the design and
  construction industries.

#### **Problems Encountered**

 There was difficulty in accounting water emissions because its effects are local rather than global, unlike, for example, certain air emissions.

#### Lessons Learned

- Any design that deviates from established conventions demands more vigilance, either through increased site supervision or increased submittal requirements, to verify that the specifications are being followed.
- The owner must be willing to accept delays in schedule if non-conforming work is to be rejected.
- The contractor should be adequately compensated for time spent on tasks normally outside the scope of work.

## **Research Case Studies**

Four research case studies have been briefly reviewed in this section. Research case studies are completed for a number of different reasons, for example: (1) to understand the overall impact of given construction systems (steel versus concrete versus wood); (2) to characterize the environmental impact of the design and construction phases of the building industry, in comparison to the operational phase, which can be easily captured through energy modeling; (3) to track the changes in environmental impact as a given building goes from standard construction, to a "green" building, all the way to a so-called "net zero" building; or (4) to demonstrate the LCA methodology and highlight the weakness of building LCA, and thus drive the development of improved LCA tools for the building industry.

The goal for the review of research case studies, as opposed to case studies from actual practice, was to understand how impacts vary from office buildings to residential buildings and from one country to another across the same building type. Another motivation was to obtain baseline values for each impact category, as these studies represent standard typical examples of a building type. Table 8 presents consolidated information about four research LCA case studies that are elaborated in the next section.

Table 8 - Overview of case studies 5-8

	Case Study 5	Case Study 6	Case Study 7	Case Study 8
<b>Building Type</b>	Individual Family House	Individual Family House	Office Building	Office Building
Building Location	Geneva Lake, Switzerland	Various locations,	Bangkok, Thailand	Minnesota, US
Project Area	226 m <sup>2</sup> (2,431.7 SF)	US 228 m <sup>2</sup>	60,000 m <sup>2</sup>	4,400 m <sup>2</sup>
	of heated area	(2,453.28 SF)	(645,600 SF)	(47,344 SF)
Type of LCA carried out	LCA conducted to evaluate the impact of energy consumption through all life-cycle stages on the different impact categories	Process-based LCA used to evaluate the impacts; this study includes normalization and weighting	Hybrid LCA model that uses both process and input- output life cycle inventory methods	Hybrid LCA model that utilizes both process and input- output life cycle inventory methods
Goal	To compare three variants of a house with the same architectural aspect but different insulation types and thicknesses, different energy production systems, and use of different renewable energies.	To compare the environmental impacts of a concrete masonry house to those of a wood frame house	To estimate the environmental impacts of a typical commercial office building in Thailand	To find the relative contribution of each building life-cycle phase to the total energy and environmental effects over a 50-year lifetime
Scope	- Material losses included - Environmental impacts due to infrastructures or their fabrication neglected - Water supply, gas, and electricity network not accounted	- System boundary excludes capital goods, human labor, impacts caused by people and waste treatment after disposal	- Potential of on-site renewable energy was ruled out - Indoor air quality issues neglected - Water consumption and water effluents excluded	- The study was limited to an LCI analysis, and no impact assessment was carried out
Functional Unit	Per square meter of floor area	A single family house	60,000 m2 gross floor area of building	Provision of 4,400 m <sup>2</sup> office for 50 years
Assumed Lifespan	Not given	100 years	50 years	50 years
Assumptions	Interior comfort between the alternatives assumed to be constant	Occupant behavior and other performance characteristics assumed to be same for all houses	All the materials and transportation components assumed to be manufactured in Thailand	

	Case Study 5	Case Study 6	Case Study 7	Case Study 8
Life Cycle	Manufacturing,	Manufacturing,	Material	Material
Stages	Transport,	Construction,	Production,	Production,
Included	Maintenance,	Maintenance and	Construction,	Construction, Use,
	Deconstruction,	Occupancy,	Occupation,	Maintenance,
	elimination of	Demolition,	Maintenance,	End-of-Life
	<b>Building Material</b>	Disposal	Demolition and	
			Disposal	
LCI Database	ESU	LCI of PCC and	Thailand	Carnegie-Mellon
		various databases	Government	EIO-LCA
		embedded in	Database	
		SimaPro		
Other Data	Previously published	-DHW and other	Priced bills of	R.S. Means,
Sources	studies + averages	energy use load	quantities, technical	industry average
	from building	profile taken from	specifications,	
	industry	ASHRAE Standard.	materials from	
			building contractor	
Impact	Global Warming,	Results for 11	Global Warming,	Not quantified
Categories	Acidification,	categories were	Acidification, Photo-	
	Photochemical	evaluated for each	oxidant formation	
	Oxidation and Non-	LCIA method.		
	renewable Energy			
Energy	Lesosai (to calculate	<b>HVAC</b> sizing for	Based on analysis of	Estimated based
Calculation	yearly energy	Concrete Homes	data on mechanical	on data for
	demand), Polysun (to	using the DOE-2.1E	and electrical	electricity and
	calculate energy	simulation engine	equipment design	natural gas use for
	produced by solar		specifications and	typical office
	collectors), PVsyst		anticipated usage	building by EIA
	(electricity		pattern of the	
	production by PV		building	
	panels)			
<b>LCIA Method</b>	ESU	Eco-indicator 99,	Conversion factor	Not quantified
		EDIP/UMIP 97, EPS	by DEDE of Thailand	
		2000 embedded in	used	
		SimaPro		

 $W/m^2K$ )

vacuum (U=0.10 W/m<sup>2</sup>K)

### Case Study 5: Three Variants of a Family House in Switzerland

The primary source of information for this study was a journal paper by Citherlet and Defaux. [62]. Three variants of a house are compared in this. The variants are described in table 9.

	Exterior Façade Insulation	Roof Insulation	Floor Insulation	Window Specs	Heating	DHW	Lighting and other appliances
Variant	12cm	14cm	6cm	Low-e	223	23	80 MJ/m <sup>2</sup>
1	mineral	mineral	polystyrene	Double	$MJ/m^2$	$MJ/m^2$	
	wool	wool	(U=0.48	Glazing			
	(U=0.25	(U=0.28	W/m²K)	(U=1.7			
	W/m²K)	W/m <sup>2</sup> K)		W/m²K)			
Variant	16cm	20cm	12cm	Low-e	145	23	80 MJ/m <sup>2</sup>
2	mineral	mineral	polystyrene	Double	$MJ/m^2$	MJ/m <sup>2</sup>	
	wool	wool	(U=0.27	Glazing			
	(U=0.20	(U=0.20	W/m²K)	(U=1.7			
	W/m <sup>2</sup> K)	W/m <sup>2</sup> K)		W/m <sup>2</sup> K)			
Variant	20cm	14cm	3mm vacuum	Low-e	67 MJ/m <sup>2</sup>	(energy	50MJ/m <sup>2</sup>
3	mineral	mineral	insulation	Triple	produced	from solar	
	wool	wool	(U=0.10	Glazing	collector a	and PV	
	(U=0.16	+3mm	W/m <sup>2</sup> K)	(U=0.7	deducted	)	

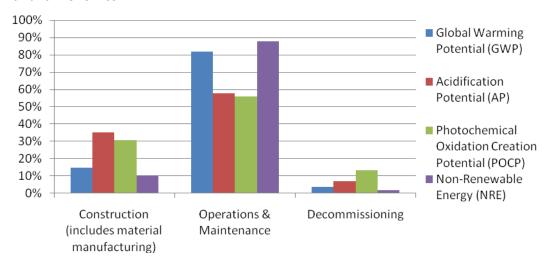
Table 8 - Description of the three variants for a family house in Switzerland

The first variant corresponds to the building standard in force in Switzerland and uses a gas condensation boiler for heating. The second alternative is designed to the requirements of a green building quality control label for houses with low energy consumption and employs a heat pump with vertical ground-source probes. The third case is a low energy consumption building that uses a 4kW heat pump, 20 m² of solar-thermal collector, and 20 m² of photovoltaic panels. High-efficiency lighting and an optimized operating schedule are used to control electricity consumption. To analyze the effect of the electricity production origins, the environmental impact results were calculated with two types of energy mix: the Swiss mix (60 percent hydro power + 40 percent nuclear) and the UCTE (Union for the Co-ordination of Transmission of Electricity) mix.

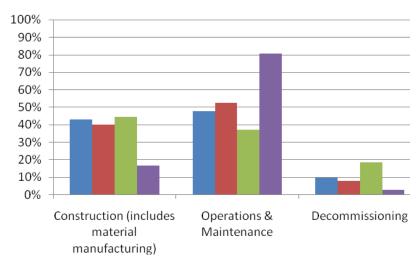
 $W/m^2K$ )

Results for Swiss mix: There was a significant reduction of the non-renewable energy demand over the life cycle from Variant 1 (approx. 575 MJ/m² per year) to Variant 3 (200 MJ/m² per year). This was due to the use of heat pumps and solar energy in Variant 3. Global warming potential dropped by 62 percent from Variant 1 to Variant 2 but remained almost same for variants 2 and 3. This was mainly due to the reduction in emissions caused by the gas boiler used in Variant 1. It is interesting to note here that GWP only slightly changed from Variant 2 to 3. The reason for this trend was assumed to be the fuel mix considered in this case, which was composed of 60 percent hydro power.

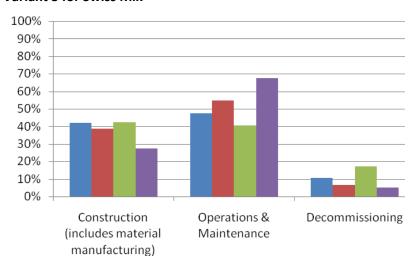
### Variant 1 for Swiss mix



## Variant 2 for Swiss Mix



#### Variant 3 for Swiss Mix



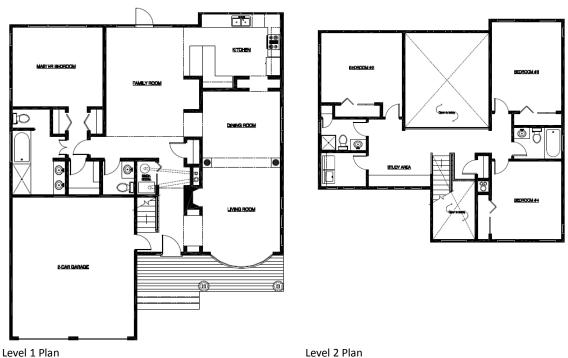
The acidification potential only varied slightly for the three variants, as construction, maintenance, and replacement stages were the largest contributors to acidification, and this impact was similar for all the variants. The photochemical oxidation potential was relatively large for Variant 1. Values for variants 2 and 3 were almost equal and were 29 percent less as compared to Variant 1.

**Results for UCTE mix**: The non-renewable energy and global warming potential impacts show similar distribution for the three variants. The impact is maximum for Variant 1 and reduces to one-third of the value for Variant 3. Acidification potential results deviate from the trend. Variants 1 and 2 are almost equal whereas Variant 3 is only 50 percent of the impact caused by variants 1 or 2. Photochemical oxidation follows the expected trend and reduces as we go from Variant 1 to 3.

Conclusion: As observed in both cases of energy mix, the contribution of the construction, repair and maintenance, and elimination (deconstruction) phases remain the same for the three variants across all the impact categories. The difference in impacts was mainly due to energy consumption in the operations phase. It was also seen that most of the impacts were less for Variant 3 as compared to variants 1 and 2. Thus, the share of impacts due to the construction, repair and maintenance, and elimination phases increases in case of Variant 3, which is a low energy consuming option. It was concluded that it becomes important in the case of a residential-scale building to be concerned about the indirect (impacts due to construction, maintenance, replacement, and demolition) impact when the final (total) energy demand is lower than about 150 MJ/m2/y for Swiss mix electricity production and lower than about 50MJ/m2/y for UCTE mix. When the energy demand is higher than these values, it is reasonable to emphasize the reduction of direct impacts (energy consumption during operation) first.

### Case Study 6: Two variants of a Single Family house in US

The main source of information for this review was a journal paper by Marceau and VanGeem. [16] This case study compares two variants of a single family house, one with a wood framed exterior wall and the other with a concrete masonry unit (CMU) wall. Both the variants have the same layout, which is presented in figure 27. The house was modeled in five cities representing a range of U.S. climates: Lake Charles, La.; Tucson; St. Louis; Denver; and Minneapolis.



Floor plans for a single family house in US[16]

The house is a two-story structure with four bedrooms and a two-car garage. Figure 27 shows the plans for levels one and two of the house. Electricity is used for lighting, cooling, and other plug loads while natural gas is used for heating and domestic hot water. The houses are designed to meet the requirements of the 2006 International Energy Conservation Code (IECC) in all locations. Figure 28 presents a tabulation of the U-value for different assemblies.

Climate zone	City	Fenestration	Ceiling	Wood frame wall	Mass wall (CMU)
2	Lake Charles, Tucson	0.57	0.032	0.086	0.12
4 except Marine	St. Louis	0.37	0.026	0.086	0.081
5 and Marine 4	Denver	0.35	0.026	0.058	0.081
6	Minneapolis	0.35	0.021	0.058	0.075

\*U-factor in Btu/(h·ft².ºF). U-factors include an interior air film of 0.68 h·ft².ºF/Btu. There is no U-factor equivale

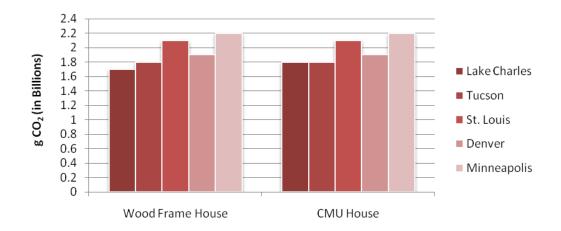
U-value for different assemblies in a family house[16]

Windows are aluminum framed with thermal breaks and double panes. Roofs and ceilings are wood-frame construction with medium-colored asphalt shingles. The exterior walls of the wood-frame houses consist of medium-colored aluminum siding, 12-mm (½-in.) wood sheathing, RSI-2.3 (R-13) fiberglass-batt insulation between 2×4 wood studs 400 mm (16 in.) on center, and 12-mm (½-in) painted gypsum board. The CMU walls consist of partially grouted normal-weight CMUs, interior wood furring spaced 400 mm (16 in.) on center, gypsum wallboard on the inside surface, and stucco on the outside surface. No insulation was provided for the CMU walls, as concrete itself acts as a thermal mass. Interior walls and floors are wood-framed. All the houses are slab-on-grade construction.

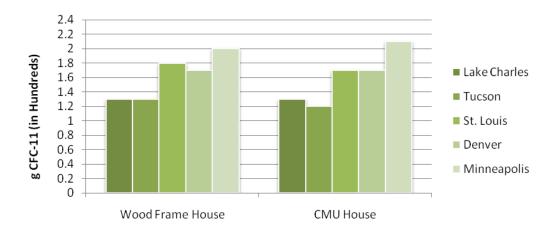
In each of the five climates, the CMU houses have similar household energy use as the wood frame houses (the difference is within 1 to 6 percent, depending on climate) as calculated by DOE-2.1E hourly simulation tool. Energy for construction has been assumed to be 900-2700 MJ based on the use of a hydraulic excavator for the foundations. Transportation energy for bringing the materials to the house at the start of the life cycle and removing it from the house at the end-of-life has been added.

**Results:** The impacts in each category are approximately the same on average for the wood and CMU houses. The CMU house performs better than the wood frame house in Tucson and St. Louis. The wood frame house performs better than the CMU house in Lake Charles and Minneapolis. Five methods for normalization and weighting were employed to get a single score. In each of the five methods, the CMU house has a lower score than the wood frame house in almost all impact categories in Tucson and St. Louis. The CMU house has a higher score than the wood frame house in almost all impact categories in Lake Charles and Minneapolis. In Denver, the scores are approximately equal.

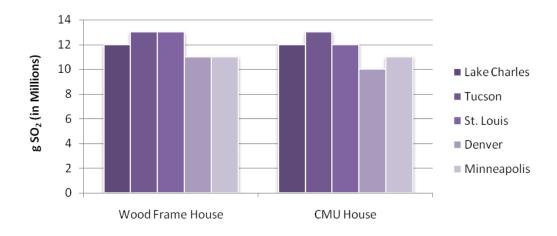
#### GLOBAL WARMING POTENTIAL ACCORDING TO EDIP/UMIP 97 LCIA METHOD



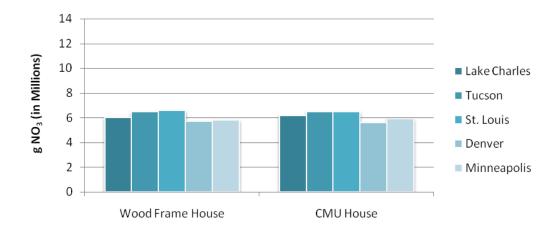
# OZONE DEPLETION POTENTIAL ACCORDING TO EDIP/UMIP 97 LCIA METHOD



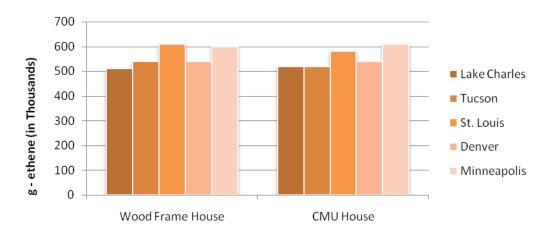
# **ACIDIFICATION POTENTIAL ACCORDING TO EDIP/UMIP 97 LCIA METHOD**



# **EUTROPHICATION POTENTIAL ACCORDING TO EDIP/UMIP 97 LCIA METHOD**



### PHOTOCHEMICAL SMOG POTENTIAL ACCORDING TO EDIP/UMIP 97 LCIA METHOD

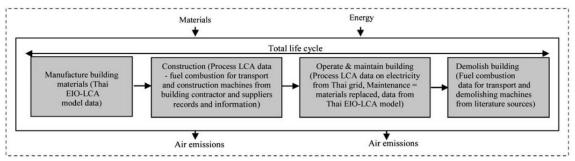


Conclusion: Most of the environmental load is from the household use of natural gas and electricity during the life of the houses. The household use of electricity and natural gas represents 97 percent of the environmental impacts of the CMU houses and 97 percent of the environmental impacts of the wood frame houses. In all locations, cement-based materials represent a small fraction of the total environmental impacts. The most significant impact categories are fossil fuel depletion and respiratory inorganics. Most of the LCIA methods produced similar results. Less than 0.5 percent of the life cycle energy use is embodied in the concrete portion of the house. The most significant environmental impacts are not from construction materials but from the production of electricity and natural gas and the use of electricity and natural gas in the houses by the occupants. When considering only the construction materials, most of the environmental impacts are from aluminum siding, ceramic tiles, paint, roof shingles, cement-based materials, steel, and cast iron.

# Case Study 7: Office Building in Thailand

A complete life-cycle assessment has been carried out for a typical office building in Thailand. The primary source of information for this study was a paper by Kofoworola and Gheewala. [63] This building has the structural and envelope systems as well as building use patterns typical of any commercial building in Thailand and operates completely on electricity. Thus, the results of the single case study are representative of commercial office buildings in Thailand. The building materials and structural system for this case study is similar to case study 8 (office building in US) and hence they can be compared with each other.

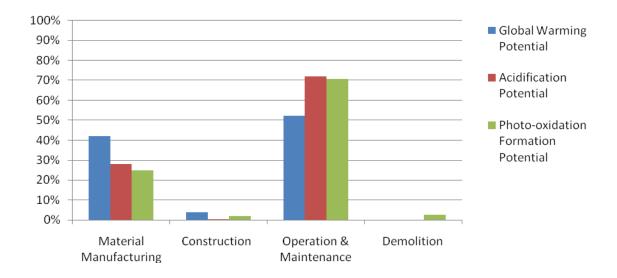
The building has a reinforced concrete structure with its façade constructed out of brick and curtain wall. It has a cast-in-place concrete floor and roof. Electricity is obtained from the national grid, which has an energy mix of 76 percent natural gas, lignite coal 17 percent, diesel 3 percent, hydro 3 percent, fuel oil and others 1 percent. A hybrid LCA model was used for the analysis. Figure 29 presents the system boundary for this case and indicates how IO-LCA and process-based LCA were used for different life-cycle stages.



System boundary for office building evaluated in the case study[63]

IO-LCA was used to account for only the production of building materials. The construction, operation, maintenance, and demolition phases in this study were accounted for separately by process-based LCA. The IO-LCA model used in this case was developed by Kofoworola and Gheewala. [63] Conversion factors for the various energy types (i.e., coal, natural gas, etc.) available in the energy input-output table were obtained from the Department of Alternative Energy Development and Efficiency (DEDE) of the Ministry of Energy, Thailand.

**Results:** In terms of materials, steel and concrete dominated the environmental impacts due to manufacturing phase. Steel accounted for 17 percent of the GWP, 42 percent of the photo-oxidant formation, and 38 percent of the acidification potential for manufacturing phase, whereas concrete accounted for 64 percent of the GWP, 30 percent of the photo-oxidant formation, and 42 percent of the acidification potential (AP) for the same phase. This dominance was due to the use of large quantities of steel and concrete in the building. The Global warming potential (GWP) was split between the operation stage (52 percent) and the manufacturing stage (42 percent). The construction stage contributed 4 percent, whereas maintenance and demolition stages accounted for 0.1 percent of the total GWP.



The greatest contributor to the acidification potential was the operations stage (71 percent). This was due to large amounts of  $SO_x$  and  $NO_x$  emissions from grid electricity. The manufacturing stage contributed 27.9 percent to this impact. The construction, maintenance, and demolition phases each contributed about 0.4 percent, 0.8 percent, and 0.2 percent, respectively, to the total acidification potential. The operation stage constituted approximately 66 percent of the total photochemical ozone creation, which arose primarily from the use of electricity. The contribution to this impact category from the manufacturing stage is 25 percent.

Conclusion: The results of the impact assessment of the office building reveals that lighting, air conditioning, office equipment, and other office appliances in the operational phase produced 40 percent or more of the overall impact in any given category. Opportunities for mitigating the impact due to this stage were explored, and it was revealed that  $1.14 \times 10^6$  kWh/year of electric energy (electricity generated from power plants) would be saved if the set-point of room temperature is changed from 24°C to 26°C. Also switching off the lighting, office equipment, and air-conditioning during the one-hour lunchtime could save approximately  $1.8 \times 10^6$  kWh/year. These two strategies have the potential to achieve estimated reductions of 10.2 percent GWP, 5.3 percent AP, and 0.21 percent photo-oxidant formation potential per year, respectively, in emissions from the power generation sector.

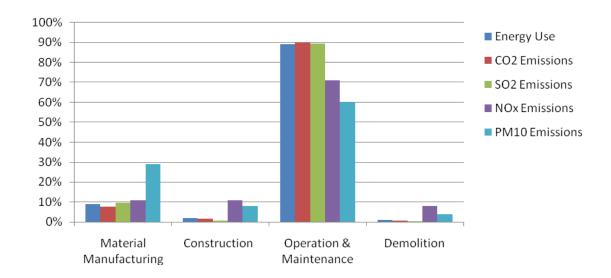
### **Case Study 8: Office Building in the US**

This study presents the life-cycle assessment of an office building in the US. The primary source of this study was a paper by Junnila et al.[11] The study is only targeted to measure the impact due to life-cycle energy use. In this study, the focus is on inventory analysis for quantifying resource inputs and emissions and wastes, and interpretation for identifying environmental hot spots.

The office building under consideration is a steel-reinforced concrete beam-and-column structure. The exterior envelope consists of an aluminum curtain wall. A hybrid LCA approach has been followed in this case. Process-based emissions data were used for all life-cycle phases, except for the materials manufacturing phase and the material and electricity components of the other phases for which IO-LCA was used.

The effects of construction due to materials transportation and construction equipment has been calculated on estimation of transport distances, diesel consumption of truck, and average hours of equipment operation. Annual electricity use has been estimated as 184 kWh/m² and natural gas use as 17.5 m³/m². Annual lighting energy is assumed to be 56 kWh/m². Emissions from electricity and lighting are calculated using CMU EIO-LCA.[46] Emissions from natural gas have been estimated using EPA data. The service life of each element was estimated based on experience and expert opinion. The end-of-life equipment and transportation data have been estimated by using average trip distances and R.S. Means.

**Results:** The use phase dominates all categories but PM10 (particulate matter measuring 10 micrometers in size). For the material manufacture stage, steel products are the largest contributor to energy use and emissions, followed by concrete, glass, insulation, and copper. Steel products account for 43 percent of the energy use, 42 percent of the  $CO_2$  emissions, 40 percent of the  $SO_2$  emissions, 31 percent of the  $NO_x$  emissions, and 27 percent of the PM10 emissions. Equipment use dominates the construction stage. Use phase is dominated by electricity use. Materials repair and replacement are responsible for the majority of the energy use and emissions in the maintenance phase. The end-of-life phase has maximum emissions from demolition equipment. In fact, it is slightly more than the energy use and emissions from equipment used in the construction stage. In terms of energy use, the manufacturing stage accounts for 9 percent, construction accounts for 2 percent, use accounts for 83 percent, maintenance for 6 percent, and end-of-life for 1 percent. The distribution of  $CO_2$  and  $SO_2$  emissions over different life-cycle stages follows the trend of energy use. The  $NO_x$  emission is 11 percent for the manufacturing stage, 11 percent for construction stage, 64 percent for use, 7 percent for maintenance, and 8 percent for end-of-life stage. For PM10, the manufacturing stage contributes 29 percent, construction 8 percent, use 37 percent, maintenance 23 percent, and end-of-life 4 percent.



**Conclusion:** It can be observed in general that the maintenance phase has a larger environmental footprint than the construction phase. End-of-life treatment is only somewhat relevant for overall  $NO_x$  and PM10 emissions. The relevance of the materials, construction, maintenance, and end-of-life phases relative to the use of buildings is expected to increase considerably as functional obsolescence of office buildings becomes more rapid, and complete reconstruction and reconfiguration become more frequent.

## **Useful Observations from Case Studies**

#### Case Study 1-4

Case studies 1-4 can help in designing a road map for an LCA process. These provide guidance about scoping an LCA study, sources of data collection, and a basis for making assumptions, which are most critical issues in an LCA process. Following can be inferred from the case studies:

- 1. Except for Stadium Australia, all other case studies were done on small projects, the largest being 9,590 square feet. One possible reason for this was that it was easier to handle the complexity of LCA on small-scale projects.
- Although none of the studies were conducted solely by architects, architects played an
  important role by providing input and making assumptions for unavailable data based on their
  experience. Moreover, it was apparent that the architects concerned with the project
  understood the basic underlying principles of LCA, as they were able to understand and use the
  results of LCA study.
- 3. LCA experts were consulted in each study, and generic LCA tools were used, not whole-building LCA.
- 4. All projects were funded by some governmental agency.
- Although well-respected LCI databases like Boustead and EcoInvent were used for these studies, they partly relied on other sources for inventory data. One reason for the unavailability of required information in the database could be that each project used unconventional

- assemblies or systems due to environmental concerns. It can be concluded that collection of inventory data from other sources is an inevitable part of any LCA study and, therefore, this activity should be kept in mind while choosing materials for building.
- 6. Case studies 1 (NJMC) and 3 (School in Argentina) conducted Life Cycle Impact Assessment (LCIA), whereas case studies 2 and 4 derived interpretations from the results of inventory analysis. Here it should be noted that case studies 1 and 3 were conducted during or after the construction stage, while 2 and 4 were completed during schematics design phase. There could be possible links between these similar trends.

## Case Study 5-6

Case studies 5 and 6 are representative of standard building practices in the residential sector in Switzerland and the US respectively. Thus, these can be used to establish benchmarks for future studies for various environmental impacts. Both case studies quantified results for three common environmental impact categories: Global Warming Potential (GWP), Acidification Potential (AP), and Photochemical Smog (POCP). These case studies are comparable, as both are similar in their scope and system boundary. The values for the GWP category are tabulated in Table 10 for each variant evaluated in these two case studies. Tables 11 and 12 present the values for AP and POCP for the two houses.

Table 10 - Comparison of GWP values for a house in Switzerland and the US

	Variants	House Area (m²)	GWP (kgCO <sub>2</sub> equivalent)	GWP per unit area (kg-CO <sub>2</sub> equi./ m <sup>2)</sup>	Assumed Life-span (years)	GWP per unit area per year (kg CO <sub>2</sub> equi./ m <sup>2</sup> /Y)
1	Swiss House - Variant 1- Swiss	266	-	-	-	27.0
2	Swiss House - Variant 2 - Swiss	266	-	-	-	10.5
3	Swiss House - Variant 3 - Swiss	266	-	-	-	9.5
4	Swiss House - Variant 1- UCTE	266	-	-	-	40.0
5	Swiss House - Variant 2 - UCTE	266	-	-	-	24.5
6	Swiss House - Variant 3 - UCTE	266	-	-	-	13
7	US Wood House – Lake Charles	228	1,700,000	7,456.14	100	74.5
8	US Wood House – Tucson	228	1,800,000	7,894.73	100	78.9
9	US Wood House – St. Louis	228	2,100,000	9,210.52	100	92.1
10	US Wood House – Denver	228	1,900,000	8,333.33	100	83.3
11	US CMU House – Minneapolis	228	2,200,000	9,649.12	100	96.4
12	US CMU House – Lake Charles	228	1,800,000	7,894.73	100	78.9
13	US CMU House – Tucson	228	1,800,000	7,894.73	100	78.9
14	US CMU House – St. Louis	228	2,100,000	9,210.52	100	92.1
15	US CMU House – Denver	228	1,900,000	8,333.33	100	83.3
16	US CMU House – Minneapolis	228	2,200,000	9,649.12	100	96.4

From table 10, it can be observed that GWP emissions for a house in Switzerland are less than half as compared to a house in the US. In case of Switzerland, the GWP can range from 40 kg- $CO_2$  equi./m²/Y for a standard house to 9.5 kg- $CO_2$  equi./m²/Y for an energy efficient house, whereas for the US it ranges from 96 kg- $CO_2$  equi./m²/Y to 74 kg- $CO_2$  equi./m²/Y. The main reason for this variation can be difference in climatic conditions and electricity mix used in the two countries.

Table 11 - Comparison of AP values for a house in Switzerland and in US

	Variants	House	AP	AP per unit area	Assumed	AP per unit area
		Area	$(g-SO_x)$	(g-SO <sub>x</sub>	Life-span	per year (g-SO <sub>x</sub>
		(m <sup>2</sup> )	equivalent)	equivalent/ m <sup>2)</sup>	(years)	equi./ m²/Y)
1	Swiss House - Variant 1- Swiss	266	-	-	-	71
2	Swiss House - Variant 2 - Swiss	266	-	-	-	64
3	Swiss House - Variant 3 - Swiss	266	-	-	-	62
4	Swiss House - Variant 1- UCTE	266	-	-	-	160
5	Swiss House - Variant 2 - UCTE	266	-	-	-	175
6	Swiss House - Variant 3 - UCTE	266	-	-	-	87
7	US Wood House – Lake Charles	228	12,000,000	52,631.5	100	526.3
8	US Wood House – Tucson	228	13,000,000	57,017.5	100	570.1
9	US Wood House – St. Louis	228	13,000,000	57,017.5	100	570.1
10	US Wood House – Denver	228	11,000,000	48,245.6	100	482.4
11	US Wood House – Minneapolis	228	11,000,000	48,245.6	100	482.4
12	US CMU House – Lake Charles	228	12,000,000	52,631.5	100	526.3
13	US CMU House – Tucson	228	13,000,000	57,017.5	100	570.1
14	US CMU House – St. Louis	228	12,000,000	52,631.5	100	526.3
15	US CMU House – Denver	228	10,000,000	43,859.6	100	438.6
16	US CMU House – Minneapolis	228	11,000,000	48,245.6	100	482.4

Table 11 shows the values for the Acidification Potential category for each variant of the two case studies reviewed. This impact shows trends similar to GWP. For variants of Swiss House, the value of AP varies from 62 to 175 g-SO $_x$  equi./  $m^2$ /Y. In case of US House variants, it is much higher and ranges from 438.6 to 570.1 g-SO $_x$  equi./  $m^2$ /Y.

Table 12 - Comparison of POCP values for a house in Switzerland and in US

	Variants	House Area (m²)	POCP (g-C <sub>2</sub> H <sub>4</sub> equivalent)	POCP per unit area (g-C <sub>2</sub> H <sub>4</sub> equivalent / m <sup>2)</sup>	Assumed Life-span (years)	POCP per unit area per year (g-C <sub>2</sub> H <sub>4</sub> equi./ m <sup>2</sup> /Y)
1	Swiss House - Variant 1- Swiss	266	-	-	-	37.5
2	Swiss House - Variant 2 - Swiss	266	-	-	-	27.0
3	Swiss House - Variant 3 - Swiss	266	-	1	1	26.0
4	Swiss House - Variant 1- UCTE	266	-	1	1	55.0
5	Swiss House - Variant 2 - UCTE	266	-	1	1	46.0
6	Swiss House - Variant 3 - UCTE	266	-	-	-	31.0
7	US Wood House – Lake Charles	228	510,000	2,236.8	100	22.3
8	US Wood House – Tucson	228	540,000	2,368.2	100	23.6
9	US Wood House – St. Louis	228	610,000	2,675.4	100	26.7
10	US Wood House – Denver	228	540,000	2,368.2	100	23.6
11	US Wood House – Minneapolis	228	600,000	2,631.5	100	26.3
12	US CMU House – Lake Charles	228	520,000	2,280.7	100	22.8
13	US CMU House – Tucson	228	520,000	2,280.7	100	22.8
14	US CMU House – St. Louis	228	580,000	2,543.8	100	25.4
15	US CMU House – Denver	228	540,000	2,368.2	100	23.6
16	US CMU House – Minn.	228	610,000	2,675.4	100	26.7

Table 12 shows the Photochemical Smog Potential (POCP) for case studies 5 (Swiss House) and 6 (US House). This impact is slightly higher for the Swiss House unlike other impacts. POCP ranges from 26 to 55 g- $C_2H_4$  equi./  $m^2$ /Y for Swiss House whereas it falls between 22.6 and 26.7 g- $C_2H_4$  equi./  $m^2$ /Y for US House. In the case of US House, it can also be observed that POCP is higher in the cities of St. Louis and Minneapolis for both wood and CMU house.

#### Case Study 7-8

The results for the two office buildings LCA studies reviewed cannot be compared, as the Thailand study results are in the form of impact indicators while the US study ended at the inventory analysis stage. Nevertheless, some common inferences can be drawn from the two studies.

- The operational phase was the most dominant stage in all the impact categories for the Thai study and in all inventory analysis results in case of US Office Building.
- Both office buildings had a steel-reinforced concrete frame structure. It was observed that steel
  and concrete were responsible for the major impacts and emissions during the manufacturing
  stage in both cases.

#### **Related Case Studies**

The eight case studies described earlier in this chapter presented cases where LCA was either used during building design and construction to evaluate design and product options or after building construction to evaluate its footprint. Related case studies have been included in this chapter to present cases where LCA was applied in unconventional scenarios. Both the case studies in this section are fictitious and were conducted for the purpose of research.

#### LCEA of Land Use in Ireland

This case study describes a narrow-scoped LCA of land use. Accounting for land use impact is a future promise of LCA and difficult to establish. This case study presents a snapshot of the present state of research of land use LCA and is hence relevant to review.

A study conducted by Aidan Duffy[64] presents the Life Cycle Energy Analysis (LCEA) of residential development in four zones of the Dublin area. These zones are located at increasing distances from the city center. Zone 1 is the city center spread over 3.0 km radius around the city. Zone 2 is the suburb area located between the city and 9.0 km radius around the city. Zone 3 is the exurbs located between the suburbs and 30 km radius around the city. Zone 4 comprises commuter towns located more than 40 km away from the city center. The study compared the life cycle energy consumption of residential developments in these four zones. The life of the building stock was assumed to be 100 years and construction, operation, transportation, maintenance, and demolition stages were considered in the LCEA. The results were calculated in terms of CO<sub>2</sub> equivalent emissions. It was found that operating emissions from dwellings in the commuter town and extra-urban zones were almost twice those in the city center, both due to larger dwelling sizes and the predominance of detached and semi-detached dwellings (with large amounts of exposed walls) in the former and the prevalence of smaller apartments in the latter. [64] Despite their smaller size, the per capita construction CO<sub>2</sub> emissions of apartments were approximately one third greater than for low-rise dwellings due to the greater energy intensity of the structure. However, this difference was more than compensated for by the significantly lower operational emissions referred to above. [64]

The case study shows that location of a building can influence its program, structure, and choice of construction materials. The impacts caused due to these differences were captured by utilizing the LCEA method. Although the case study did not evaluate other impacts, such as habitat destruction or eutrophication potential, it did propose a method of accounting for site selection in evaluating a project's environmental footprint. Such studies can help locate projects in a particular low-impact zone, but they do not account for impacts due to a specific site option. Methods that account for impacts due to a specific site choice are not well developed and call for the attention of life cycle assessment experts.

#### **LCA of Retrofitting Buildings**

This case study describes the application of LCA in evaluating the impacts due to retrofit of an existing building. Since existing building retrofit and renovation is very critical to the green building movement, it is relevant to examine how LCA can help in this situation.

Retrofitting existing buildings to improve their energy efficiency or to accommodate a new building program is quite common. Decisions between renovating an existing building or constructing a new one are based on several criteria. The LCA method can help make decisions on environmental grounds. Two scenarios emerge in this context for the application of LCA:

- Evaluating the environmental impact due to retrofits to improve energy efficiency
- Comparing renovation of an existing building to construction of a new building for a specific building program.

In case 1, where LCA is used to evaluate the environmental impact of a retrofit to improve energy efficiency, the materials added and discarded during the renovation process should be considered. Two variants should be developed to assess the environmental impacts over all life-cycle stages, one considering the life of the building without renovation and other considering the building performance after renovation. Variant one would assume no improvement in the building's energy efficiency. Variant two would account for the improved energy efficiency but would also account for the added impacts due to the addition of new and disposal of the old materials and systems. Thus, these two variants can be compared and a decision made to select the lower impact option. A study evaluating the impacts of discarded fluorescent lamps and HCFC from air-conditioners during a retrofit was conducted by Techato et al [65] that suggested that such retrofits should be evaluated for their environmental impacts, as they may result in harmful emissions to the environment due to disposal of old material and systems.

In case 2, which compares renovation of an existing building to construction of a new building, two variants need to be developed. Variant one would only account for impacts due to renovation of the existing building (which would include the addition of new materials and disposal of old) and its operation and demolition impacts from that point. Variant two would account for all the impacts due to various stages of a new building. Thus, by comparing the impacts for both the variants, a decision can be made. ATHENA® Institute conducted similar study[66] evaluating the embodied effects of existing buildings.

# **Chapter Summary**

In this chapter, we discussed:

- ✓ Four real projects that used LCA
  - Case Study 1: NJMC Center for Environmental and Scientific Education
  - Case Study 2: Stadium Australia / ANZ Stadium
  - Case Study 3: Moreau School
  - Case Study 4: Emeryville Resourceful Building
- ✓ Four research projects that explored the use of LCA

- o Case Study 5: Three variants of a family house in Switzerland
- o Case Study 6: Two variants of a single family house in the US
- o Case Study 7: Office Building in Thailand
- Case Study 8: Office Building in the US

#### ✓ Useful observations from case studies

- Case Study 1-4: Most of these projects were small in scale, were funded by federal or local agencies, LCA was conducted by LCA practitioners using generic LCA tools, and assumptions were made in all these LCA studies.
- Case Study 5-6: The GWP and AP values for US house are greater than the Swiss house, whereas the POCP value for US house was slightly less than the house in Switzerland.
- Case Study 7-8: In both office buildings, the operational stage was the most dominant life-cycle stage in terms of overall environmental impact. Steel and concrete were the main contributors to the impact due to the manufacturing stage.

#### ✓ Related Case Studies

- LCEA of land use in Ireland: A method of accounting for site selection in evaluating a project's environmental footprint has been discussed.
- LCA of retrofitting buildings: It demonstrates a case where LCA was used to aid in decision making between renovating an existing building or constructing a new one.



# CONDUCTING AN LCA – EXAMPLE

This section presents an LCA study of a small institutional project, the Big Nerd Ranch, using the ATHENA® Impact Estimator tool, and was conducted for the purpose of this paper. The study demonstrates how LCA can be conducted in the early design phase by architects using simplified LCA tools.

### **Project Overview**

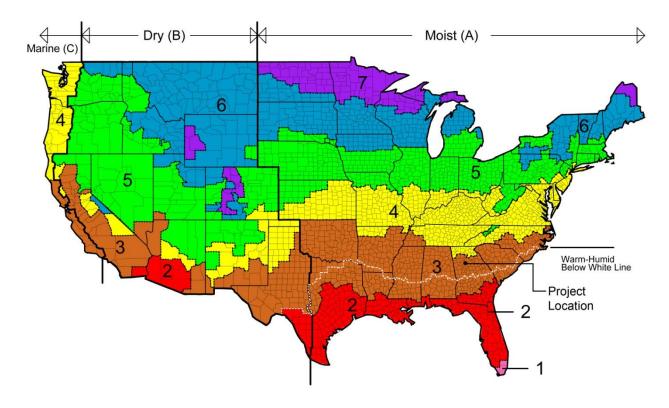
The Big Nerd Ranch (BNR) is a proposed training facility for software professionals located in Fairburn, Ga., approximately 20 miles from Atlanta. The project is in the Construction Documents stage at present. The facility will comprise three building blocks (a training center and two residential blocks for trainees) spread over a contoured site measuring 6.7 acres. For the purpose of this study, an LCA was only conducted for the training center, also referred to as Building A.

The training center is an 8,230 sf building comprising two floors. The ground floor consists of a dining area, kitchen, gymnasium, and restrooms. The first floor consists of a classroom, recreation space, office, and store. The structure is primarily of wood-frame construction. The floor plans of the building can be found in Appendix A. Building assemblies used in the training center have been described in Table 13.

Table 13 -	Description of Building Assemblies for the BNR Training Center

Assembly Type	Description
Foundation	Cast-in-place concrete retaining walls
Floors	Light frame wood truss with ¾" plywood base finish. Carpet, rubber, cork tile, and ceramic tiles have been used for the floor finishes
Exterior Walls	2" x 6" wood stud wall with brick cladding + plywood sheathing + R- 19 batt insulation + 5/8" gypsum board + latex based paint
Interior Walls	2" x 6" wood stud wall with 5/8" gypsum board + latex based paint
Roof	Standing seam metal roof with prefabricated wood scissor truss + plywood roof decking + R-30 batt insulation
Doors	Hollow core metal doors, solid core wood doors, and French doors
Windows	Aluminum-clad wood window frame with double low-e glazing

The project resides in DOE Climate Region 3 (see figure below). The swing climate of the Piedmont region of Georgia is challenging for ecologically driven design, as the region has significant cooling and heating seasons with a humid summer.



DOE Climate Map showing BNR project location

# **Environmental Design Features [67]**

- Gray Water Capture in Cisterns and Site Features
- On-site Detention Ponds for Water Storage
- Geothermal Kit
- Reflective Metal Roofs
- LEED Standards on Enclosure and Insulation
- Low Energy/Resource Consumption Appliances and Appurtenances
- Use of Local Material SYP, Cypress, Brick
- Site Conservation and Watershed Protection
- Indigenous Plant Materials and Water-conserving Landscaping Plan

It should be noted here that ATHENA® Impact Estimator does not account for impacts due to land use, so environmental design features like site conservation and a water-conserving landscaping plan do not offset the project's footprint. This is a weakness in the tool's capabilities and is expected to be addressed by whole-building LCA tool developers. For the sake of this exercise, only the impacts caused due to the life-cycle of the training center are being evaluated, which excludes any land use impact.

# **Conducting an LCA Using the ATHENA® Impact Estimator**

As mentioned earlier in chapter 2, ATHENA® Impact Estimator is a tool for general users that can be used for whole-building LCA analysis. It is appropriate to be employed during the schematic design stage when basic building plans and sections are available, and preliminary material assignment is

accomplished. Thus, it has been used in this study to get a snapshot of the environmental footprint of the training center (Building A) for BNR.

#### **Goal and Scope Definition**

Goal: The goal of the study is to evaluate the overall environmental impact of Building A, which would help in identifying the life-cycle stages and assemblies causing maximum impact. The study is focused on determining the inventory analysis results in terms of energy use, resource use and emissions, and impact assessment results available in terms of impact categories.

Scope: The scope of the LCA is limited to assessing global warming potential, acidification potential, and ozone depletion potential. These categories have been chosen because they are common to other case studies reviewed in this guide. Having common categories facilitates easy comparison and benchmarking of the LCA results of this study.

Functional Unit: Provision of the training center for 60 years. For comparison purposes, the results have also been normalized on a per-square-foot-per-year basis.

Building Lifespan: A 60-year life has been estimated by the structural engineer, based on type of structure (wood frame), finishes, and climatic conditions. Most building LCAs select a service life of between 50 and 100 years, but the basis for making this selection has yet to be standardized. In general, for a building to realize a service life of 100 years, a comprehensive program of annual maintenance and a significant replacement program for building systems (enclosure system, interior finishes, mechanical systems) must be in place. If a high service life is projected, then the energy and material flows associated with maintenance and system replacement should be included in the LCA.

System Boundary: The user is not required to define the system boundary for the LCA, as this information is embedded inside the ATHENA tool.

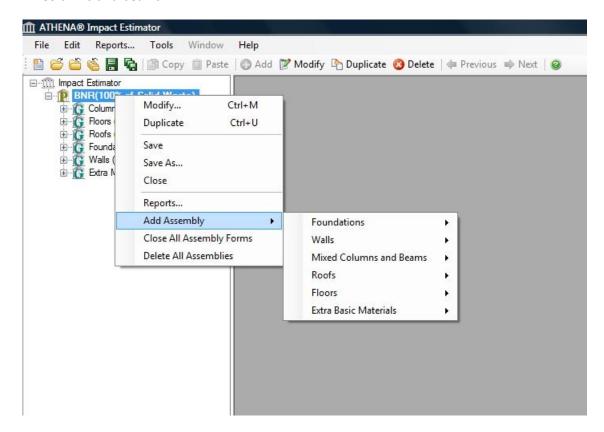
Tools Used: ATHENA® Impact Estimator for LCA analysis, eQUEST for energy calculation, and MS-Excel for tabulating the quantities. ATHENA® Impact Estimator was selected since the intention of this exercise was to demonstrate how LCA can be conducted in the early design phase by architects using simplified LCA tools. As mentioned in the tools chapter, ATHENA® Impact Estimator is a simplified tool and requires input that is easily available during the early design phase. eQUEST was chosen since it is one of the most widely accepted energy simulation software packages in the building simulation community. MS-Excel was used, as it aids in easy tabulation of assembly dimensions and because ATHENA® Impact Estimator results are directly exportable to MS-Excel.

#### **Required Inputs**

Basic information regarding the training center area, location, and expected life were entered in the ATHENA® tool to set up the project. The user is only required to specify the building assembly configuration and area to calculate the inventory analysis results. The inventory analysis process is predesigned within the ATHENA® model with standard assumptions. For example, ATHENA® Impact Estimator assumes that all off-shore products are treated as though they were manufactured in North America. Also, replacement materials are considered to be the same as those used in original

construction. Moreover, if service life of a replacement material or component exceeds the remaining assumed life of the building, the difference is credited. These assumptions are stated in detail in Chapter 2. The following building assembly types can be configured within the ATHENA® tool.

- Foundations
- Walls
- Floors
- Roof (including roof structure)
- Columns and beams

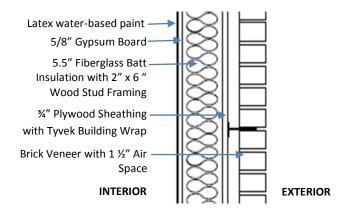


Snapshot of the Add Assembly option available in ATHENA® Impact Estimator. A number of options are available to be configured under each assembly type. For example, under Foundations, concrete footing and concrete slab on-grade can be configured.

A table of assembly dimensions was prepared for each assembly type for easy input of data. These dimensions were obtained from the architectural drawings. An example of such tabulation for wall assembly has been presented in Table 14. Windows and doors are considered a part of the wall assembly and thus need to be specified with the wall assembly. Calculation for window areas has been presented in Table 15.

Table 14 - Wall Dimensions

	Name	length (ft.)	height (ft.)
1	Wall-Wood-Exterior-GF	216.7	11.3
2	Wall-conc-Exterior-GF	89.7	11.3
3	Wall-conc-Exterior-GF-w/clad	31.2	11.3
4	Wall-Wood-Double-Exterior-GF	63.0	11.3
5	GF Interior Wall	270.8	11.3
6	FF-Ext-Wall	307.8	10
7	FF-Int-Wall	130.1	10

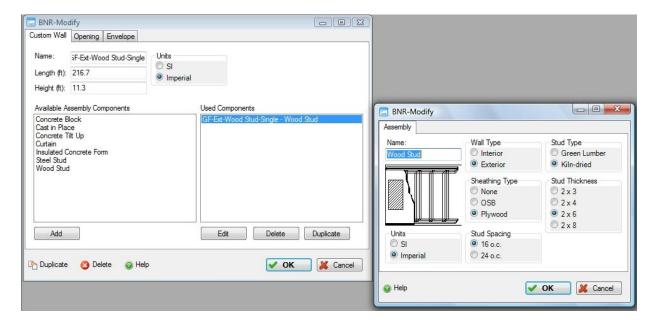


Exterior Wall Detail for Building A. Such detail sections from the architectural drawings can be used to extract information for configuring an assembly in ATHENA® Impact Estimator

The size of the door is fixed within ATHENA, which the user cannot change. Thus, the user is only required to specify the number of doors and the material. Most of the information required by ATHENA can be easily obtained from the architectural drawings prepared at the Schematic Design or Development stage.

Table 15 - Window Areas

WINDOWS: Wood Stud Wall-Ext-GF							
Window Label	Number	Size	Area				
А	3	32.40	97.20				
В	1	94.20	94.20				
С	1	64.80	64.80				
D	2	16.20	32.40				
E	2	18.00	36.00				
F	2	8.91	17.82				
G	1	23.76	23.76				
Total	12		366.18				
WINDOWS: Woo	d Stud Wa	II-Ext-FF					
Window Label	Number	Size	Area				
А	10	32.40	324.00				
С	3	64.80	194.40				
D	1	16.20	16.20				
G	1	23.76	23.76				
Н	1	48.60	48.60				
H	1	48.60 11.88	48.60 11.88				



Wall assembly configuration window in ATHENA® Impact Estimator. Wall type, openings detail, and envelope specifications are entered through this window. Other assembly types like floor and roof were configured in a similar manner.

The figure above shows the method of configuration of an exterior wall in ATHENA® Impact Estimator. In a similar manner, other assemblies can also be configured.

Although the operational energy input is optional in ATHENA, it was considered essential in this study. Inclusion of operational energy facilitates the comparison of embodied and operational energy during a building's life cycle. The energy calculation was done using eQUEST hourly energy-simulation software. Default plug-loads in eQUEST were used in the model. The annual energy consumption for Building A was estimated to be  $132.74 \times 10^3$  kWh. Its energy intensity thus equals 17.68 kWh/sf, which makes Building A 27 percent more energy-efficient than a standard educational facility. [1] This is due to the use of high-performance building systems.

#### **Output**

Both inventory analysis as well as impact assessment results can be obtained from the Impact Estimator. Results can be viewed in the form of tables and graphs. Since the goal of the study is to identify life cycle stages and assemblies causing maximum impact, the following reports were generated in ATHENA® Impact Estimator.

- Graphs for Absolute Values by Life-Cycle Stages
- Tables for Absolute Values by Assembly Group
- Table for Summary Measures by Life-Cycle Stages
- Graphs for Summary Measures by Assembly Types
- Comparison Graphs BNR and R2000 House Design

These reports can be easily generated in ATHENA® Impact Estimator by clicking on the Reports tab on the menu bar. This opens a window with several options for creating reports. The time consumed in generating each report (stated above) varied from one to two minutes for our exercise.

#### **Inventory Analysis Results**

ATHENA® Impact Estimator presents inventory analysis results in terms of absolute values. The term absolute values here implies that the results are raw and comprise a long list of highly speciated flows from and to nature in the form of energy and raw materials as well as emissions to air, water, and land. No factorization or consolidation of the inventory results has been carried out, thus the results are referred to as absolute values. Results can be obtained for the following environmental burdens, either according to life-cycle stages or assembly groups.

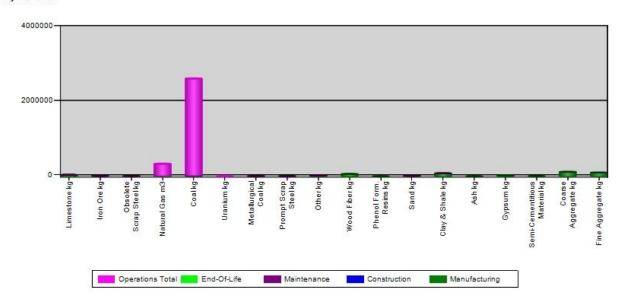
- Energy use
- Resource use
- Emissions to air
- Emissions to water
- Emissions to land

If a decision is to be made on the basis of inventory analysis results, then the results for all the five burdens should be consistent. If the results are inconsistent, the user has to choose the environmental burden that is most relevant for a specific project.

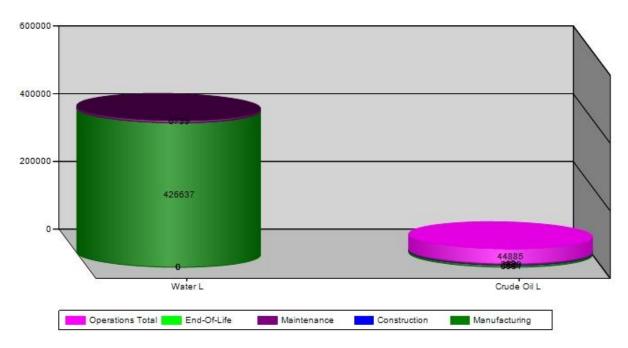
# By Life-Cycle Stages

# Resource Use Absolute Value Chart By Life Cycle Stages

Project BNR



Graph representing resource use during different life-cycle stages



Graph representing water and crude-oil use during different life-cycle stages

It can be observed from graphs that coal  $(2.62 \times 10^6 \text{ kg})$  and natural gas  $(3.34 \times 10^5 \text{ m}^3)$  are the most used resources during the training center's life cycle. The operations stage is primarily responsible for this use. Other significant resources used are water  $(4.33 \times 10^5 \text{ L})$ , coarse aggregate  $(1.16 \times 10^5 \text{ kg})$ , fine aggregate  $(9.64 \times 10^4 \text{ kg})$ , and clay and shale  $(7.64 \times 10^4 \text{ kg})$  owning to their use in the manufacturing stage. The precise values for each of the resources have been obtained from the table generated in addition to the graphs. It should be noted here that ATHENA Impact Estimator only accounts for the energy consumption during the operations stage and does not include water consumption. Inclusion of water consumption in operations may have added more burdens to the operations stage, as water consumption contributes to the resource-use burden. Increase in water use results in depletion of water resources, thus harming the environment.

Energy consumption is also dominated by the operations stage with coal, nuclear, and natural gas as the major contributors. Maximum emissions to air, water, and land are caused due to the operations stage. Carbon dioxide, sulfur dioxide, methane, and particulate matter contribute significantly to air emissions, whereas emissions to water are primarily dissolved solids ( $4.94 \times 10^{10}$  mg), chloride ( $4.06 \times 10^{10}$  mg), and sodium ion ( $1.13 \times 10^{10}$  mg). Land emissions are mainly composed of other solid waste ( $6.12 \times 10^{5}$  kg) and concrete solid waste ( $2.20 \times 10^{4}$  kg). Graphs for all these inventory analysis results can be found in Appendix A. Thus, it can be inferred that the operations stage is the most dominant life-cycle stage in the inventory analysis results.

#### By Assembly Groups

Viewing inventory analysis results according to assembly groups helps in identifying assemblies consuming maximum energy and causing greatest emissions. The table below presents the energy consumption by various assembly groups as well as their total energy consumption for the BNR training center.

Material ID	Walls		Columns a Beams	nd	Roofs		Floors		Extra Bas Materials		Total
Electricity kWh	92149.50	74%	2124.62	2%	22067.13	18%	4916.21	4%	343.39	0%	124847.13
Hydro MJ	263454.04	96%	794.08	0%	7427.18	3%	1445.54	1%	73.33	0%	273973.21
Coal MJ	225238.96	48%	12469.09	3%	135521.10	29%	31515.78	7%	3329.90	1%	473566.12
Diesel MJ	94483.27	52%	5844.31	3%	31937.75	18%	8246.23	5%	2014.51	1%	181444.64
Feedstock MJ	201304.08	50%	33534.64	8%	143650.15	36%	10882.11	3%	24.41	0%	400768.41
Gasoline MJ	788.08	65%	3.38	0%	288.35	24%	118.12	10%	4.59	0%	1209.57
Heavy Fuel Oil MJ	45297.05	59%	868.77	1%	12276.83	16%	576.83	1%	1248.31	2%	76501.71
LPG MJ	2355.99	68%	21.04	1%	661.28	19%	192.68	6%	122.99	4%	3448.26
Natural Gas MJ	491197.31	68%	22329.75	3%	146482.73	20%	13754.44	2%	11476.93	2%	717439.72
Nuclear MJ	42970.97	46%	3117.96	3%	33173.26	36%	7712.04	8%	618.51	1%	93376.89
Wood MJ	73215.46	46%	0.00	0%	49926.01	31%	34801.92	22%	1735.76	1%	159679.16

Table 16 - Inventory Analysis Results for Energy Consumption by Assembly Groups

It can be observed from table 16 that walls account for more than 50 percent of the total energy use. Roofs are the second largest consumer of energy in terms of their manufacturing, construction, maintenance, and end-of-life activities. Having identified these "hot spots," alternative assemblies can be tested for walls and roofs to choose the option with the lowest energy consumption.

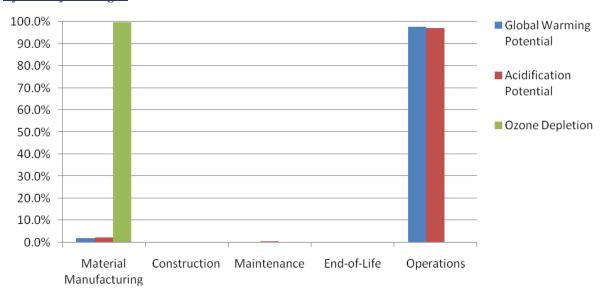
In terms of resource use, foundations consume 73 percent of the total coarse aggregate, whereas the walls and roof together consume 67 percent of the total water used in the life-cycle of the training center (excluding water consumed during operations). Wall assemblies are responsible for most of the emissions to air, water, and land: emissions of carbon dioxide, sulfur dioxide, methane, and particulate matters in the case of air; concrete and other solid waste in emissions to land; and chloride, sodium, and dissolved salts in emissions to water.

The results for inventory analysis are consistent. By life-cycle stages, the operation stage emerges to be the most dominant, and by assembly group, wall assemblies have been found to cause the maximum emissions and resource use in the BNR case study. The next step would typically be to identify alternatives that can potentially reduce the environmental burden caused during the operations stage and as a result of wall assemblies. Following the identification of alternatives, another LCA run would be carried out using these alternatives to make a more informed decision. The application of the results has been described in detail in the "Interpretation' section.

#### Impact Assessment

ATHENA® Impact Estimator presents impact assessment results in terms of a "summary measures" format. Three summary measures, Global Warming Potential (GWP), Acidification Potential (AP), and Ozone Depletion Potential (ODP) have been evaluated in this study.

#### **By Life-Cycle Stages**



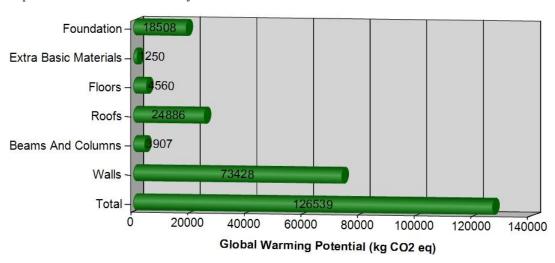
Graphs presenting impact assessment results for the BNR Training Center. The Y-axis represents the percent of total impact for a given impact category

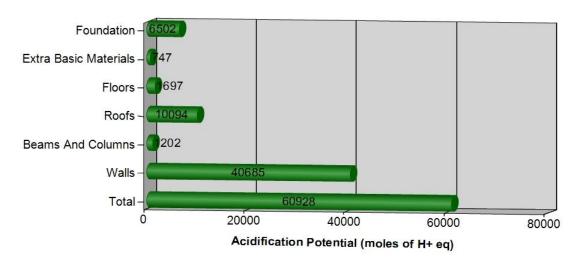
Table 17 - Impact Assessment Results by Life-Cycle Stages

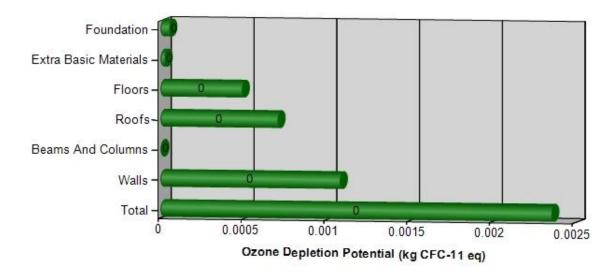
	Manufacturing	Construction	Maintenance	End - Of - Life	Operating Energy	Total Effects
Global Warming Potential (kg CO2 eq)	110,039.125	4,384.134	12,083.338	32.894	5,728,727.491	5,855,266.982
Acidification Potential (moles of H+ eq)	51,595.488	1,874.543	7,447.988	9.571	2,050,415.216	2,111,342.806
Ozone Depletion Potential (g CFC-11 eq)	2.353	0.000	0.006	0.000	0.003	2.362

The impact assessment result by life-cycle stages shows that the operations stage dominates GWP and AP, whereas ODP is most significant in the manufacturing stage.

#### **Impact Assessment Results by Assemblies**







The graphs further illustrate that the wall assemblies have the highest impact on all of the three evaluated impact categories. It should be noted here that the findings from the impact assessment align with those of the inventory analysis.

### Interpretation

The results from inventory analysis and impact assessment either compared one life-cycle stage with the other or one assembly to another. This helped in identifying the hot-spots within the training center's life-cycle. To understand the overall performance of the training center, it is essential to compare it with a benchmark. Since widely accepted and standardized benchmarks for this type of analysis have not been published, past case studies' results will be used to rate the performance of BNR. The following case studies will be used for comparison.

- R2000 House Design Toronto (Sample Projects from ATHENA® Impact Estimator Tool)
- NJMC Center for Environmental and Scientific Education (Chapter 3 Case Study 1 of this guide)
- Wood Frame House Tucson (Chapter 3 Case Study 6 of this guide)

Table 18 - Comparison of Impact Assessment Results of BNR Building A with Other Case Studies

	GWP	AP	ODP
	(kg CO <sub>2 equiv.</sub> per sf per	(Moles of H+ eqiv. per	(g CFC-11 per sf per
	year)	sf per year)	year)
<b>BNR Training Center</b>	11.85	4.27	4.76 x 10 <sup>-6</sup>
R2000 House Design	3.08	1.31	3.20 x 10 <sup>-6</sup>
NJMC Building	3.12	-	444.21 x 10 <sup>-6</sup>
Wood Frame House –	7.33	-	529.90 x 10 <sup>-6</sup>
Tucson			

Table presents impact assessment results normalized on per-square-foot-per-year basis.

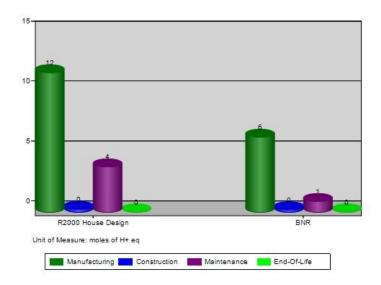
#### **Global Warming Potential (GWP)**

The GWP value for the BNR Training Center is the highest compared to other case studies. Since GWP can be considered a function of energy use, this variation in GWP value could be due to differences in he embodied energy of the buildings, energy consumption during building operations, and the fuel mix used to produce energy in these four cases.

The results for energy use for the BNR Training Center and Wood Frame House can be compared since both the projects are located in climate zone 3. The annual energy use intensity for Tucson House is 15.8 kWh/sf whereas for the BNR Training Center it is 17.68 kWh/sf. Thus, the variation in the GWP results for these two cases could be attributed to the difference in their energy use intensity. Since the data for energy fuel mix was not available for Tucson, the variation in results could not be tested considering different fuel mix.

#### **Acidification Potential**

The AP value for BNR cannot be compared with that of NJMC and wood frame house in Tucson, as the results have been expressed in different units. When compared to R2000 House, the AP value for BNR is higher.

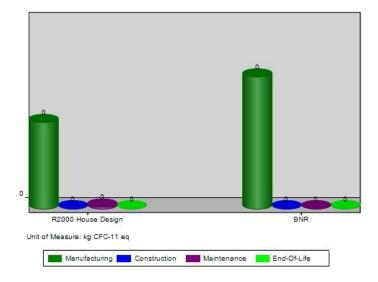


Comparison of Acidification Potential by Life-Cycle Stages (per sf)

The figure above shows that the AP value for BNR is lower than R2000 House in manufacturing, construction, maintenance, and end-of-life stages. This implies that the overall high value of AP for BNR is due to the operations stage.

#### **Ozone Depletion Potential (ODP)**

The values for ODP vary by a large margin across different case studies. It can be observed that the values for BNR and R2000 House fall under a close range. Thus, a probable cause of the varied results for the four cases could difference in calculation methods used to account for ODP.



Comparison of Ozone Depletion Potential by Life-Cycle Stages (per sf)

The ODP value for BNR is more than for R2000 House. The figure above indicates that the ODP value for BNR is reasonably more than R2000 House in manufacturing stage. Thus, the difference in the overall value for ODP can primarily be attributed to the manufacturing stage.

# **Chapter Summary**

In this chapter, we demonstrated an example of conducting LCA using simplified LCA tools. The key points discussed were:

- ✓ Details of building assemblies of the Big Nerd Ranch Training Center
- ✓ Environmental features of the project
- ✓ Conducting an LCA using ATHENA Impact Estimator, which involves the following aspects
  - o Required input: Building area, location, estimated life-span, and assembly details
  - Output: Inventory analysis and impact assessment results available in the form of graphs and tables
- ✓ Environmental burdens assessed under Life Cycle Inventory (LCI) analysis:
  - Energy use
  - o Resource use
  - o Emissions to air
  - Emissions to water
  - Emissions to land
- ✓ Inventory analysis results: By life-cycle stages, the operation stage was most dominant, and by assembly group, wall assemblies caused the maximum emissions and resource use
- ✓ Impact categories evaluated under Life Cycle Impact Assessment (LCIA):
  - Global Warming Potential (GWP)
  - Acidification Potential (AP)

- Ozone Depletion Potential (ODP)
- $\checkmark$  LCIA results: The operations stage and wall assemblies have the highest impact
- ✓ LCIA results of BNR compared with LCIA results of three other LCA studies
  - o R2000 House Design
  - o NJMC Building
  - o Wood Frame House Tucson



# GUIDELINES TO INTEGRATE LCA IN BUILDING DESIGN AND

#### **EVALUATION**

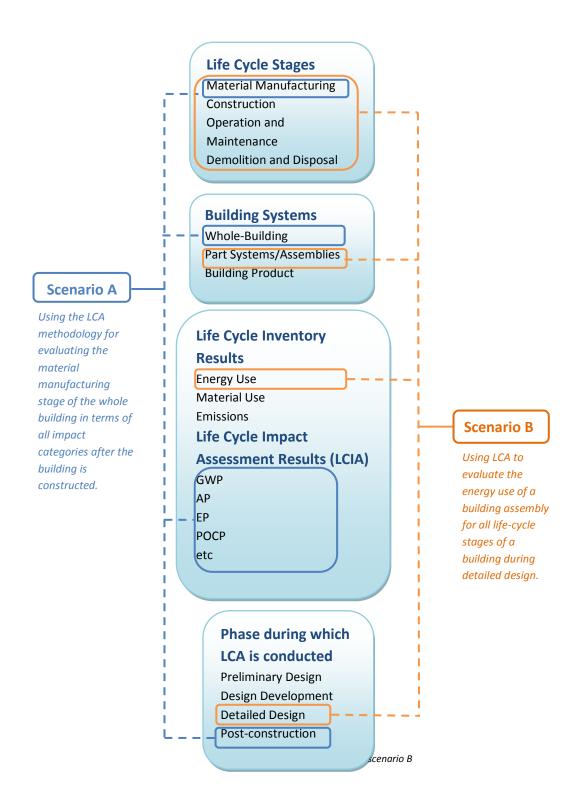
This chapter presents guidelines to integrate LCA in building design and evaluation for a number of scenarios. Prior to presenting the guidelines, various scenarios of application of LCA in buildings are discussed in the early part of this chapter. The rest of the chapter describes key issues and decisions to be addressed in each step of LCA. The intent of the chapter is to answer the question: Where and how can LCA be used in buildings?

### **Exploring the Scenarios of Use of LCA**

Based on the literature reviewed for this paper, it was concluded that any building-related LCA analysis is defined by four variables:

- Life-cycle stages to be included in analysis
- Building systems to be studied
- Type of expected results from either Life Cycle Inventory (LCI) Analysis or Life Cycle Impact Assessment (LCIA)
- Project phase at which LCA analysis is carried out.

Each variable can have several possible values. Figure 32 presents possible values for each variable. Various combinations of these variables can lead to different scenarios of use for LCA. Two combinations of these variables presented in figure 32 result in two possible scenarios of use. Scenario A uses LCA methodology for evaluating the material manufacturing stage of the whole-building in terms of all impact categories after the building is constructed. Another possible combination is represented by Scenario B which uses LCA to evaluate the impact on energy use of a building assembly for all stages of building life-cycle during the detailed-design stage.



If one value is picked from each of the four variable categories at a time, 84 possible scenarios emerge for calculation. Because the opportunities for using LCA are so numerous, describing each possible scenario is beyond the scope of this guide. Instead, this discussion will focus on seven of the most common scenarios building LCA:

- Scenario 1 Life Cycle Impact Assessment (LCIA) of Whole-Building for All Life-Cycle Stages to
   Optimize a Building Design during Preliminary Design Stage
  - <u>Variables:</u> All life-cycle stages Whole building All categories in LCIA results LCA included during preliminary design stage
- Scenario 2 LCIA of Whole-Building for All Life-Cycle Stages to Evaluate a Building Design during
   Detailed Design Stage
  - <u>Variables:</u> All life-cycle stages Whole building All categories in LCIA results LCA included during detailed design stage
- Scenario 3 Evaluating a Building's Environmental Footprint after Construction to Establish
  Baselines for Future Studies
  - <u>Variables:</u> All life-cycle stages Whole building All categories in LCIA results LCA included during post-construction stage
- Scenario 4 Evaluating the Impact of One Assembly over the Life-Cycle of Building to Help in Selection of Assembly
  - <u>Variables:</u> All life-cycle stages One building assembly All categories in LCIA results –
     LCA included during design development stage
- Scenario 5 Evaluating a Specific Impact for the Whole Building
  - <u>Variables:</u> All life-cycle stages Whole building Global Warming Potential LCA included during preliminary design or design development stage
- Scenario 6 Evaluating the Impact of Using a Product during Maintenance Stage of a Building Life-Cycle
  - <u>Variables:</u> Operation and maintenance stage Product All categories in LCIA results –
     LCA included during post-construction stage
- Scenario 7 Calculating the Environmental Payback of a Green Technology
  - <u>Variables:</u> All life-cycle stages Green Technology (assembly) All categories in LCIA results – LCA included during design development or detail design stage

These scenarios have been described in detail in the next section.

## **Guidelines to Integrate LCA in Building Design and Evaluation**

Integration of LCA in building design and evaluation calls for a step-by-step process. The following process can be adopted to using LCA in buildings.



 ${\it Process to utilize LCA in building design and evaluation}$ 

#### **Step 1: Defining the Project's Sustainability Targets**

Sustainability targets for a green building project are usually defined during the early stages. These targets could range from achieving 20 percent energy efficiency during building operation to reducing 50 percent carbon emissions caused due to a building's life cycle. In this first step, it is important to define

objective sustainability targets to be met by the project. Objective targets help in evaluating the success of a project.

#### **Step 2: Conduct an LCA or Not?**

In this step, the vital decision of whether to conduct an LCA should be taken. This decision shall depend on the project's sustainability targets and time and resource constraints. For example, a project's target could be to achieve 50 percent reduction in its carbon emissions during building operation. In this case, conducting an LCA is not required. An energy simulation coupled with an energy-to-emissions converter can help quantify the achievement of targets. However, if a project aims to achieve 50 percent reduction in its carbon footprint over the entire life cycle, use of LCA becomes almost imperative. If a decision to conduct an LCA is taken here, steps 3 to 8 can be followed to help quantify the achievement of project targets.

#### **Step 3: Defining the Goals and Scope of an LCA Study**

The goal and scope of the LCA study can be defined by the four variables described earlier in this chapter:

- Life-cycle stages to be included in the analysis
- Building systems to be studied
- The type of expected results from either Life Cycle Inventory (LCI) Analysis or Life Cycle Impact Assessment (LCIA)
- The project phase at which LCA analysis is carried out.

For example, the goal of an LCA study can be evaluating the material manufacturing stage of the whole building in terms of all impact categories after the building is constructed. In this case, the scope of the LCA is limited to the material manufacturing stage and, therefore, systems and flows in other life-cycle stages need not be accounted. Other key issues that need consideration in this step are:

#### **Defining system boundary**

This is usually presented in the form of a flow chart that clearly depicts the systems and flows included in the LCA study. If the four variables are clearly defined, sketching this diagram is easy. Previous case studies can be referenced in drawing a system boundary diagram.

#### Functional unit

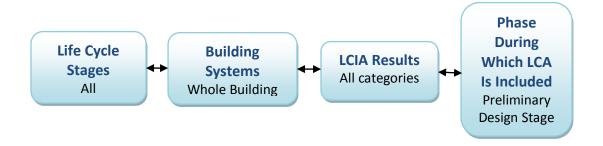
The decision for a functional unit must be taken with care. Based on the case studies reviewed, it can be concluded that in the case of whole-building LCA, an apt functional unit is the provision of a building for the expected lifespan of a building—for example, provision of a school for 50 years. In case of a comparison of assemblies, the suggested functional unit is the unit area of assembly for the lifespan of building. An example would be comparing two exterior wall assemblies for a 50-year lifespan. Product comparison should be completely dependent on functional equivalence. For example, two options for floor covering, carpet, and ceramic tiles cannot be compared on a per-square-foot basis because maintenance and replacement impacts for carpet are much higher than for ceramic tiles. An appropriate functional unit for this should be "the provision of floor covering for 50 years."

#### **Step 4: Choosing an LCA Tool**

The choice of an LCA tool depends on the goal and scope definition of an LCA study. Goal and scope definition outlines a scenario for the use of LCA. The seven most common scenarios of LCA application have been briefly explained in the following section along with guidelines to choosing the right tool to suit the scenario.

# Scenario 1 – Life Cycle Impact Assessment (LCIA) of Whole-Building for All Life-Cycle Stages to Optimize a Building Design during Preliminary Design Stage

Evaluating several alternatives during the schematic design stage is a common step in every building design process. The goal during this stage is to select the most environmentally friendly option from among those available. The LCA analysis conducted to achieve this goal can be defined by the four variables as shown in Figure 33.



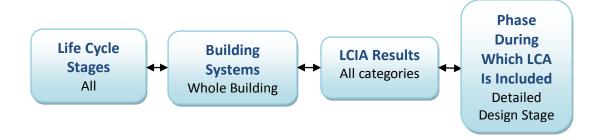
Scenario 1 defined by four variable values

**Tool selection**: These four variables also set the criteria for selecting LCA tools to be employed in the study. The study is to be conducted during the preliminary stage, when information available about the project and building assembly detail is minimal. Thus, a tool must be selected that takes approximate information. Also, it is required to analyze the whole building, thus a whole-building LCA tool is needed. Moreover, Life Cycle Impact Assessment (LCIA) results are expected as outputs. Thus the tool needs to have an LCIA method embedded in it. Finally, the goal of the study is to assess all the life-cycle stages of a building. Thus, a tool that considers all the life cycle stages needs to be selected. Considering all the criteria, a tool like Envest would be most suitable for this scenario.

**Example:** Case study 2, Stadium Australia [59] included in this document is an example of such a scenario. In this case study, three options for stadium design were evaluated during the preliminary design stage.

# <u>Scenario 2 – LCIA of Whole-Building for All Life-Cycle Stages to Evaluate a Building Design during Detailed Design Stage</u>

At the detail design stage, the design team might be interested to know how precisely their proposed design is performing better than the baseline cases. Thus, analysis conducted to achieve this goal can be defined by the variables presented in Figure 34.



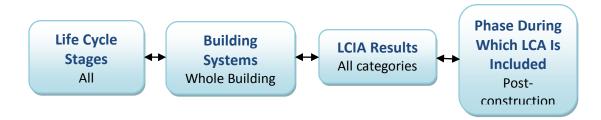
Scenario 2 defined by four variable values

**Tool selection:** The only difference between scenarios 1 and 2 is the stage at which the LCA study is taken up. This changes the quality of information that is available regarding a project. Thus, a more precise quantification can now be carried out. This leads to the choice of a tool capable of taking details for assemblies and systems. ATHENA® Impact Estimator can be a suitable tool for this scenario.

**Example:** Case study 1, New Jersey Meadowland Commission's Center for Environmental and Scientific Education, is an example of such a scenario. In this case, SimaPro was used to study the impact of the building during the detailed design stage and to compare it with published baselines.

# <u>Scenario 3 - Evaluating a Building's Environmental Footprint after Construction to Establish</u> Baselines for Future Studies

The goal of conducting an LCA in this case is to establish baselines for future studies. Thus, LCA is carried out after the construction stage, which eliminates a number of assumptions that are made for the material manufacturing and construction stages and helps arrive at more accurate results. This scenario is defined by the four variable values presented in Figure 35.



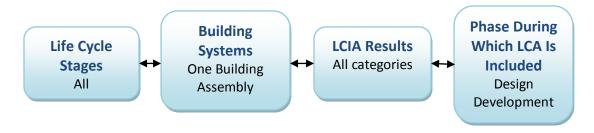
Scenario 3 defined by four variable values

**Tool selection:** The only difference between scenarios 3 and 2 is the stage at which LCA was conducted. Since case-specific data about energy and material use during transportation and construction are available and a high level of accuracy is required in the results, use of an LCA practitioner tool is suggested here, for example, SimaPro.

**Example:** Research Case Study 7, Variants of a House in US can be considered to be an example of this scenario. Although it was not a real project, and so assumptions were made for some activities, it presented a case where detailed LCA was conducted on a representative building type.

# <u>Scenario 4 – Evaluating the Impact of One Assembly over the Life-Cycle of Building to Help in Selection of Assembly</u>

During design development stage, choices among competing assemblies are made. This goal can be fulfilled by defining the LCA study by the variable values presented in Figure 36.

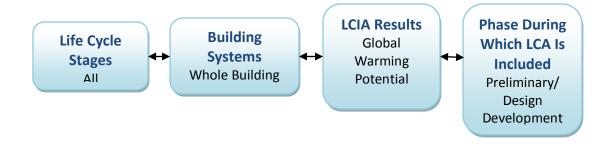


Scenario 4 defined by four variable values

**Tool selection:** The values of two variables have changed if this scenario is compared to scenario 3. This scenario focuses on evaluating only one assembly for its impact during the building life cycle. Thus, an assembly LCA tool can be used that accounts for all the life-cycle stages and shows results for different impact categories. ATHENA® EcoCalculator could be used for this, but note that it does not account for the building operation phase. Thus, impact due to the operation phase needs to be added externally if ATHENA® EcoCalculator is used. A tool that fulfills all the needs of this scenario could be ATHENA® Impact Estimator.

#### Scenario 5 - Evaluating a Specific Impact for the Whole Building

The goal of an LCA study could be to quantify and mitigate only a specific impact, such as Global Warming Potential (GWP) for the whole building. This scenario is defined by the variables shown in Figure 37.



Scenario 5 defined by four variable values

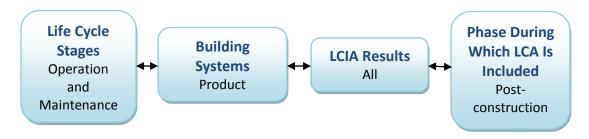
**Tool selection:** Since the impact category to be evaluated is specified, a tool that presents results in this category should be selected. The goal of this study should be to recognize the level of accuracy expected in results. Taking this factor into account and the stage at which LCA is conducted, a choice can be made between a simplified LCA tool and a detailed LCA tool. In the case of a simplified tool, EcoCalculator can

be used as it gives results for GWP. Operational energy should be externally accounted for in this case. Otherwise, a tool like EQUER could also be used.

**Example:** To apply for carbon credits, a building's life cycle would be evaluated for only global warming potential (GWP).

# <u>Scenario 6 – Evaluating the Impact of Using a Product during Maintenance Stage of a Building Life-Cycle</u>

An LCA study could also be conducted to help design the housekeeping program of a facility. The choice of products for this could significantly affect a building's life cycle impact, as maintenance is a recurring activity. This scenario can be defined by the four variables presented in Figure 38.



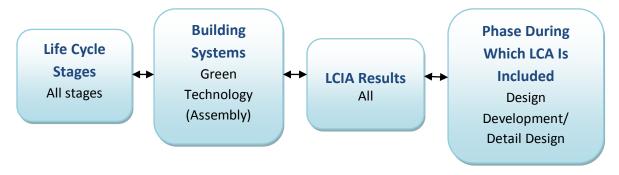
Scenario 6 represented by four variable values

**Tool selection:** Since the goal is to study only the impact of a building product on the maintenance stage, a product LCA tool should be used that shows impact distribution among different stages of life. It should be confirmed that the specific tool takes into account the maintenance stage. BEES® could be used in this case if the specific product is available in BEES® product list. Otherwise, a detailed LCA tool could be used to evaluate a more accurate result for the environmental impact of the product.

Example: Evaluating the impact of using a cleaning product during maintenance phase for an airport.

#### Scenario 7 – Calculating the Environmental Payback of a Green Technology

Green buildings use high-performance systems and assemblies to make the building more energy-efficient during the operations phase. Examples of such systems and assemblies can be high-performance window assembly, high insulation walls, or solar technologies like photovoltaic panels. The goal of such a study is to weigh the environmental impacts of a green technology during different phases of design and evaluate how impacts in other life cycle phases can be mitigated by energy saved or produced during the operations phase. Variables characterizing scenario 7 are presented in Figure 39.



Scenario 7 defined by four variable values

**Tool selection:** Since a green technology needs to be evaluated, the tool options available are very limited, as the inventory data for these innovative technologies have not been incorporated in the database. A detailed LCA tool is required to model the life of the green technology. Negative values of impacts due to energy saved or produced because of the use of technology should be plotted against added impacts during the production and maintenance stage to obtain the environmental payback of a technology. Tools like GaBi, Boustead, and SimaPro might be able to model such an LCA study.

**Example**: Case study 3 presents an example where an attempt was made to calculate the environmental payback for using high-performance glazing in a school in Argentina.

#### Other Criteria to Consider While Selecting Tools

The previous sections make suggestions for choosing a suitable tool based on a scenario of use of LCA. Other than these suggestions, a list of tool aspects should also be considered in too selection:

- Availability of information about building materials and assemblies
- Availability of building energy analysis results
- Time constraint
- User Skills
- Accuracy of required output.

The user must be aware of the features to be considered while selecting a tool suited to specific requirements. Table 22 presents a recommended list of features that each tool should be judged against. To aid in a better understanding of this process, two popular whole-building LCA tools have been selected for comparison: ATHENA® IE and LCAid™.

Table 13 - Comparison of features of ATHENA®-IE and LCAid™ tool

1			LCAid™			
	LCA Tool Type	Whole Building LCA Tool	Whole Building LCA Tool			
2	Acceptable Building	Industrial, Institutional, Commercial,	All Types			
	Туре	Residential				
3	Acceptable Building	New Construction and Major	New Construction and Existing			
	Phase	Renovation	Buildings			
4	Target Users	Architects, engineers, designers,	Architects, engineers, students,			
		environmental consultants	LCA practitioners and evaluators			
5	Required User Skills	Moderate	Moderate			
6	LCI Data	ATHENA® database based on	DPWS database based specific to			
		Canadian and North American	Australia. Can import data from			
		Region	other databases like Boustead			
			(UK), SimaPro(NL)			
7	Available Building	1,200 Assemblies	400+ Building Materials			
	Material/Assembly					
	Combinations					
8	Units	SI and Imperial	-			
9	Life Cycle Stages	Material Extraction and	Material Extraction and			
		Manufacturing	Manufacturing			
		2. Related Transport	2. Related Transport			
		3. On-site Construction	3. On-site Construction			
		4. Operation (energy only) and	4. Operation (energy and water)			
		Maintenance	and Maintenance			
		5. Demolition and Disposal	5. Demolition and Disposal			
10	Impact Categories	1. Embodied primary energy use	<ol> <li>Life Cycle embodied energy</li> </ol>			
		2. Acidification Potential	2. Acidification Potential			
		3. Global Warming Potential	3. Life Cycle Green House Gas			
		4. Human Health Respiratory	Emissions			
		Effects Potential	4. Carcinogenesis			
		5. Ozone Depletion Potential	5. Ozone depletion			
		6. Smog Potential	6. Summer/Winter smog			
		7. Aquatic Eutrophication	7. Eutrophication			
		Potential	8. Heavy metals			
		8. Weighted Resource Use	9. Solid Wastes			
			10. Water consumption			
			11. Primary fuels			
11	Input Method	Manual Entry	Material Quantities can be			
_	• • • • • • • • • • • • • • • • • • • •	,	imported from 3D Models:			

			CAD(.dwf), ECOTECT (.eco/.zon). All other, manual entry
	Features	ATHENA® IE	LCAid™
12	Input	<ol> <li>Location</li> <li>Building Type</li> <li>Building Life</li> <li>Building Material and Assembly Details</li> <li>Operational Energy (optional)</li> </ol>	<ol> <li>Climate Zone</li> <li>Building Type</li> <li>Building Material and Assembly Details</li> <li>Operational Energy (optional)</li> <li>Waste Management</li> <li>Water Management</li> <li>Water Use (optional)</li> <li>Indoor Air Quality (optional)</li> <li>Biodiversity (optional)</li> </ol>
13	Output Format	Summary Tables/ Graphs	Graphs
14	Output	<ul> <li>Can be categorized by assembly groups and life-cycle stages</li> <li>Operating vs Embodied impact results</li> <li>Five design options can be compared at a time</li> <li>Bill of quantities</li> </ul>	<ul> <li>Comparison of a design to a benchmarked building</li> <li>Environmental impacts for a design at each stage of the buildings life cycle</li> <li>Five design options can be compared at a time</li> <li>Waste generation</li> <li>Water consumed</li> <li>Energy consumption(if linked to Australian Energy Thermal Engine)</li> </ul>
15	Interoperability	Tables can be directly exported to	Can import .dxf, .eco and .zon files
1.0	Additional Features	MS-excel or PDF  Creation of Bill of Quantities	for material quantity input
16	Strengths	High quality, regionally sensitive databases and user-friendly interface provide both detailed and aggregated results and superior assembly and complete design comparison capability.	Life Cycle Costing Modules  LCA highlights the strengths and weaknesses of a building in terms of energy and water use, and waste generation
18	Weaknesses	Limited to structural materials and assemblies. Right now the ATHENA® model only contains databases for wood, steel, and concrete products used in structural applications	Output format is not flexible. Limited building material/assembly options.
19	Latest Available	ATHENA® Impact Estimator for	-
20	Version	Building Version 4.0	
20	Cost	\$750	-

#### **Step 5: Life Cycle Inventory (LCI) Analysis**

Once the goal of the study is fixed and an appropriate tool has been selected, there are some key issues that require consideration during the next two steps that will be critical both when a detailed LCA study is conducted using a detailed LCA tool and in the case of simplified tools that have the entire LCA process preset within.

Inventory analysis is the most critical part of an LCA. Most of the whole-building LCA tools only require inputs in form of building area, assembly detail, and material take-offs. There are a lot of assumptions that are embedded in the calculation of inventory flows. In detailed LCA tools, many other decisions need to be taken by the user during this stage, which will involve data collection. It is also essential to understand the role of different team members in the project. Some of the key issues are:

#### **Ouantity take-offs**

Quantity take-offs are required as input in some LCA tools. The contractor is responsible for providing this. Tools like RS Means can be used for this, as well. And if a BIM model is used during design, a bill of quantities can be generated from that.

#### LCI data for building materials

Simplified LCA tools ask for building location information. This helps the tool to extract inventory data appropriate for that region. As mentioned in earlier chapters, an array of country-specific databases is available. Most of the databases have industry average data. It was observed in the case studies, however, that these databases are not robust enough to include most of the building products. Thus, it is unlikely in any detailed whole-building study to avoid collecting data from other sources. Product manufacturers, trade organizations, final invoices, and product submittals from previous projects can help one collect or estimate required data.

#### Fuel mix for electricity generation

Different regions of the world have different fuel mix. Fuel mix can be described as a distribution of the share of each renewable and non-renewable source of energy generation as a ratio of the overall electricity generation for a region. For example, according to the US DOE Energy Information Administration, the fuel mix for electricity in Atlanta is 70 percent coal, 15 percent natural gas, 14 percent nuclear, and 1 percent hydroelectric. Check that a tool considers the difference in fuel mix for electricity generation when buildings in two different regions are compared.

#### **Estimation and calculation**

The LCA tool being used may or may not have default values for certain activities during building life cycle. Therefore, a number of estimations need to be made to complete the LCA. In other instances, an LCA tool might prompt for values calculated using other software. For example, an LCA tool can have an option to feed in the annual energy and water consumption values calculated externally. Thus, other tools might be required in conjunction with an LCA tool to complete an LCA study. The figure below briefly describes ways of estimating and calculating these values.

## Estimating Material Losses

•Material losses usually occur during material transportation and construction stage. Estimation for these losses can be made from material data safety sheets, final invoices and bills from product vendors if an LCA study is being conducted post construction. For studies during design phases, estimations should be based on prior experience. In case study 1, a 5% loss was assumed in absence of any information.

## **Transportation**

•Transportation includes transportation of material to the site during construction, transportation of new materials during repair and replacement, transportation of disposed material to landfill or recycling plant after repair and replacement and end of life. Project specific estimates can be made by taking into consideration the amount of material disposed, the distance to the landfill or vendor or recycling plant and the fuel efficiency of the vehicle used for transportation.

# Estimating replacement frequencies

 Information can be obtained based on product warranty or architect's estimates.

## Calculating energy consumption during construction

• Energy consumption due to equipment use can be calculated by considering the hours of equipment operation and fuel efficiency of equipment. Electricity consumed for site lighting during construction can be estimated from the electricity bill.

# Basis for operational energy calculation

•External energy simulation software is required in case of tools like ATHENA® Impact Estimator which provide option for entering the annual energy consumption. Some tools like EQUER and LCAid™ link with a simulation engine to produce energy estimates. If these options are ruled out, energy intensity data for a building type and region can be obtained from surveys like CBECS.

# Incorporating recycling and reuse

•It can be inferred from the case studies that impacts due to this stage are not well defined as most case studies to not include this stage completely in impact calculations. From reviewing state of research, it was found that two methods of accounting for recycling and reuse activity are applicable in case of buildings, one is bonus method and the other is stock method. Bonus method takes into account 50% reduced impact due to disposal at the end of life and the remaining 50% is included during material manufacturing stage. Stock method allocates the total credit of recycling during material manufacturing stage.

## Estimating energy consumed during demolition

•Energy consumed during demolition can be calculated by considering the hours of equipment usage and fuel efficiency of the equipment.

Methods of estimating and calculating missing data for inventory analysis

#### <u>Inclusion of photovoltaic panels or other green technologies</u>

LCA data should be obtained from the manufacturer. This issue has been explained in Scenario 7 of the previous section.

#### Whether to conduct LCIA?

LCI analysis results can assist in decision making when one design option seems to perform better in all categories than the other. If, however, there is no such distinction evident in the LCI results, one can go a step further and use an impact assessment model to understand impacts in various environmental categories. In this case, the user can either decide which impact matters the most to make a decision or use the category weights defined by the BEES® stakeholder panel or EPA scientific advisory board to get the overall environmental performance of the product.

#### **Step 6: Life Cycle Impact Assessment (LCIA)**

If the inventory results are not conclusive, impact assessment is taken up. Decisions that need to be taken are the method to be employed for calculation and whether the required output for result should be a single value or multiple values.

#### Method to be used

Various methods of impact assessment have been proposed by different scientific organizations and groups. For example, TRACI is a method proposed by US EPA. An assessment method that produces results for the required impact category should be selected. Moreover, using more than one impact method will help validate the robustness of assessment results, as was done in Case Study 6 (Two Variants of a House in US).

#### Whether to normalize results

Depending on the required format for results, a decision can be made on normalization, which is a technique for changing impact indicator values with differing units into a common, unit-less format by dividing the impact category value by a selected reference quantity.[71]

#### Whether to apply weights

Weights need to be applied only if a single-value performance indicator is required. Weights have been defined by various scientific groups, and any of those can be used. Or weights can be defined by users by their own assessment of the relative importance of each impact.

#### **Step 7: Results and Interpretations**

The output from step 5 or 6 will either be a single value or multiple impact values. To assess the performance of the building, these values need to be compared to benchmark or baseline values. From Case Study 6, baseline values were obtained for a wood and concrete house for five locations. The global warming potential was measured to fall between 96 kg-CO $_2$  equi./m $^2$ /Y and 74 kg-CO $_2$  equi./m $^2$ /Y. The acidification potential for a standard house should range between 438.6 and 570.1 g-SO $_x$  equi./ m $^2$ /Y, whereas the photochemical smog formation potential should range between 22.6 and 26.7 g-C $_2$ H $_4$  equi./ m $^2$ /Y. Similar baselines can be obtained for other building types by conducting similar studies. Thus, by comparison with baseline numbers, environmental performance of a building can be rated and improved.

The next step would typically be to identify alternatives that can potentially reduce the environmental burden of the building. Following the identification of alternatives, another LCA run would be carried out using these alternatives to make a more informed decision.

# **Chapter Summary**

In this chapter, we discussed:

- ✓ Four variables that help define the goal and scope of an LCA study
  - Life-cycle stages to be included in analysis
  - Building systems to be studied
  - Type of expected results from either Life Cycle Inventory (LCI) Analysis or Life Cycle
     Impact Assessment (LCIA)
  - o Project phases at which LCA analysis is carried out
- ✓ Seven most common scenarios of use of LCA in buildings
  - Scenario 1 Life Cycle Impact Assessment (LCIA) of Whole-Building for All Life-Cycle
     Stages to Optimize a Building Design during Preliminary Design Stage
  - Scenario 2 LCIA of Whole-Building for All Life-Cycle Stages to Evaluate a Building Design during Detailed Design Stage
  - Scenario 3 Evaluating a Building's Environmental Footprint after Construction to Establish Baselines for Future Studies
  - Scenario 4 Evaluating the Impact of One Assembly over the Life Cycle of Building to Help in Selection of Assembly
  - o Scenario 5 Evaluating a Specific Impact for the Whole Building
  - Scenario 6 Evaluating the Impact of Using a Product during Maintenance Stage of a Building Life Cycle
  - o Scenario 7 Calculating the Environmental Payback of a Green Technology
- ✓ Process to integrate LCA in building design and evaluation
  - o **Step 1**: Defining the project's sustainability targets
  - Step 2: Deciding whether to conduct an LCA or not based on project scope, time, and resource limitations; if it is decided to conduct an LCA, go to step 3 or follow an alternate methods to check if the project targets are met
  - Step 3: Defining the goals and scope of LCA study
  - Step 4: Choosing an LCA tool appropriate to the goals also finding alternate methods to evaluate processes not included in the tool
  - Step 5: Life Cycle Inventory (LCI) analysis
  - Step 6: Life Cycle Impact Assessment (LCIA)
  - Step 7: Results and Interpretations (and implementing design improvements if conducted during design stage)



In this guide, we established a basic understanding about LCA for participants in the building industry—particularly architects—that includes the utility of LCA and proposed guidelines/suggestions for conducting LCA. To achieve this, we reviewed a set of eight case studies and nine LCA tools as well as conducted an example LCA. The guide reviewed the state of research to find answers to present limitations of use of LCA in practice. We showed that LCA results can help answer numerous questions that arise during the design and construction of a green building. It can reinforce the decisions taken by architects by providing a scientific justification. A number of whole-building LCA tools are available for use by architects.

In the current state of LCA, the limitations must be recognized. However, it also needs to be recognized that with increasing use, research, and tools development, these limitations will be resolved.

One limitation is the scarcity of the financial incentives for LCA use at this time, although this is expected to change quickly as LEED and ASHRAE 189.1 become proponents of the use of LCA in the design process. Currently, the greatest incentive is the ability of an architect to show the client that the use of LCA will improve and demonstrate the green-ness of the project and help significantly in increasing long-term paybacks by better decision making.

A second limitation is the deficiencies in the completeness of available databases, requiring the architect or LCA practitioner to use multiple data sources and an increased number of assumptions. This limitation is being ameliorated as the databases enlarge their store of information and as more tools and more easily used tools become available. The last major limitation is the lack of benchmarks established by government authorities, particularly in the US, that can be used for comparisons. This limitation also will be overcome as LCA becomes more commonly used and benchmark data become more readily available.

We opine that with improvements in LCI databases and whole-building LCA tool capabilities, design practitioners will have more faith in LCA results and be more inclined to conduct LCA analyses. As larger numbers of case studies are conducted representing different building types to set benchmarks, robust normalizing and weighting methods will be established as the tools are advanced. The establishment of attractive incentives in terms of tax incentives and other financial incentives, particularly in the US, will entice more owners along the path toward integration of LCA in building design and promote its widespread use by architects.



(Source: http://www.lcacenter.org/LCA/LCA-definitions.html;

http://lca.jrc.ec.europa.eu/lcainfohub/glossary.vm)

#### Acidification Potential (AP)

Acidifying compounds emitted in a gaseous state either dissolve in atmospheric water or become fixed on solid particles. They reach ecosystems through dissolution in rain. The two compounds principally involved in acidification are sulfur and nitrogen compounds. The unit of measurement is grams of hydrogen ions per functional unit of product.

#### **Benchmarking**

Comparison of products to determine improvement, optimization, and saving potentials.

#### Carbon Accounting

The process by which CO<sub>2</sub> emissions from fossil fuel combustion are calculated.

#### Cradle-to-Cradle

A specific kind of cradle-to-grave assessment in which the end-of-life disposal step for the product is a recycling process.

#### Cradle-to-Gate

An assessment of a partial product life cycle from manufacture (cradle) to the factory gate, i.e., before it is transported to the consumer.

#### Cradle-to-Grave

The full Life Cycle Assessment from manufacture (cradle) through the use phase and to the disposal phase (grave).

#### **Ecological Toxicity**

The impact potential of a chemical released into the environment to harm terrestrial and aquatic ecosystems. The unit of measurement is grams of 2, 4-dichlorophenoxy-acetic acid per functional unit of product.

#### **Embodied Energy**

The sum of energy input during the material manufacturing and construction phase of a building.

#### **Environmental Product Declaration (EPD)**

An internationally standardized (ISO 14025) and LCA based method to communicate the environmental performance of a product or service.

#### **Equivalents**

A metric measure used to compare, based on impact potential, the emissions from different sources contributing to a particular impact category.

#### **Eutrophication Potential (EP)**

The addition of mineral nutrients to the soil or water. In both media, the addition of large quantities of mineral nutrients, such as nitrogen and phosphorous, results in generally undesirable shifts in the number of species in ecosystems and a reduction in ecological diversity. The unit of measurement is grams of nitrogen per functional unit of product.

#### **Fossil Fuel Depletion**

An impact that addresses only the depletion aspect of fossil fuel extraction, not the fact that the extraction itself may generate impacts. The unit for measurement is mega joules (MJ) of fossil-based energy per functional unit of the product.

#### **Functional Unit**

The unit of comparison that assures that the products being compared provide an equivalent level of function or service.

#### Fuel-mix for Energy Generation

A breakdown, typically expressed in percentages, of the contribution of each renewable and nonrenewable source in the production of energy for a specific region.

#### Gate-to-Gate

A partial LCA that examines only one value-added process in the entire production chain, for example evaluating the environmental impact due to the construction stage of a building.

#### **Global Warming Potential (GWP)**

Characterizes the change in the greenhouse effect due to emissions and absorptions attributable to humans. The unit for measurement is grams equivalent of  $CO_2$  per functional unit (i.e., other greenhouse gases, such as methane, are included in this category and measured in  $CO_2$  equivalents).

#### Greenhouse Effect

Warming of the atmosphere due to the reduction in outgoing long-wave heat radiation resulting from their absorption by gases such as CO<sub>2</sub>, methane, etc.

#### **Impact Category**

Class representing environmental issues of concern to which LCI results may be assigned.

#### Life Cycle

Consecutive and interlinked stages of a product system, from raw material acquisition or generation of natural resources to final disposal.

#### Life Cycle Assessment (LCA)

A process of compilation and evaluation of the inputs, outputs, and potential environmental impacts of a product system throughout its life cycle.

#### Life Cycle Costing (LCC)

a) The total cost linked to the purchase, operation, and disposal of a product (equivalent to "Total Cost of Ownership" [TCO]); b) The cost of a product or service over its entire life cycle, including external costs.

#### Life Cycle Energy Analysis (LCEA)

An abbreviated form of LCA that uses energy as the only measure of environmental impact. Also referred to as Life Cycle Energy Assessment.

#### Life Cycle Impact Assessment (LCIA)

The LCA phase aimed at understanding and evaluating the magnitude and significance of the potential environmental impacts of a product system.

#### Life Cycle Impact Assessment (LCIA) Method

Methods that provide impact factors for elementary flows to evaluate the environmental effects of a product or a process through its whole life cycle. For example, Eco-indicator-95 and Eco-indicator-99.

#### Life Cycle Inventory (LCI) Analysis

The phase of LCA involving the compilation and quantification of inputs and outputs for a given product system throughout its life cycle.

#### Life Cycle Management (LCM)

A business management concept based on life cycle considerations that can be used in the development and application of sustainability strategies. Life cycle management is about minimizing environmental burdens throughout the life cycle of a product or service.

#### **Normalization**

A technique for changing impact indicator values with differing units into a common, unit-less format. This is achieved by dividing the impact category value by a selected reference quantity.

#### **Operational Energy**

Energy used in buildings during their operational phase, including energy consumption due to HVAC system, lighting, service hot water, etc.

#### **Ozone Depletion Potential**

The extent to which emissions from some processes may result in the thinning of the ozone layer, which protects the earth from certain parts of the solar radiation spectrum. The unit of measurement is CFC-11 per functional unit of the product.

#### **System Boundary**

Interface between a product system and the environment or other product systems.

#### **Smog Formation Potential**

The contribution of a product or system to the production of photochemical smog under certain climatic conditions (e.g., air emissions from industry and fossil-fueled transportation trapped at ground level and reacting with sunlight). The unit of measurement is grams of nitrogen oxide per functional unit of

product. This highlights an area where a regional approach to LCA may be appropriate, as certain regions of the world are climatically more susceptible to smog.

#### Water Use

Water resource depletion has not been routinely assessed in LCAs to date, but researchers are beginning to address this issue to account for areas where water is scarce, such as the western United States. The unit of measurement is liters per functional unit.

### Weighting

A calculation by which impact (or damage) category indicator results are multiplied by specific factors and added to form a total score. Some methods allow weighting across impact categories. Weighting can be applied on normalized or non-normalized scores.

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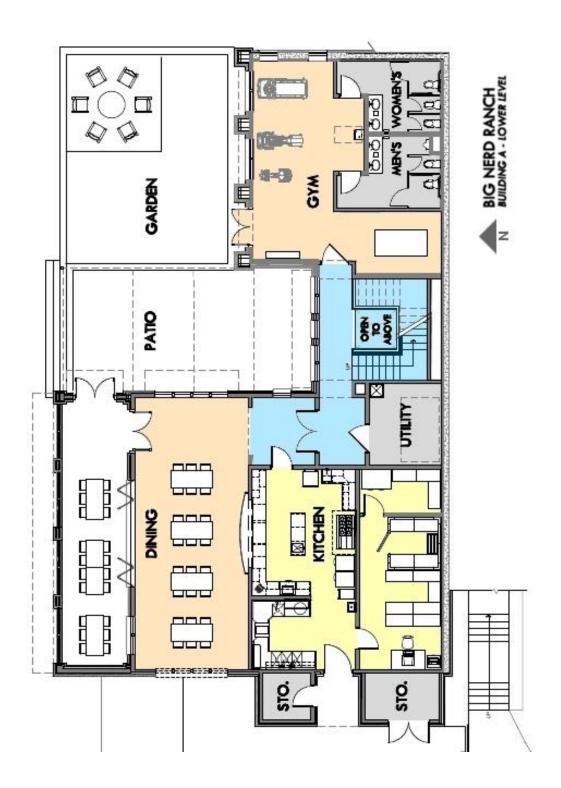
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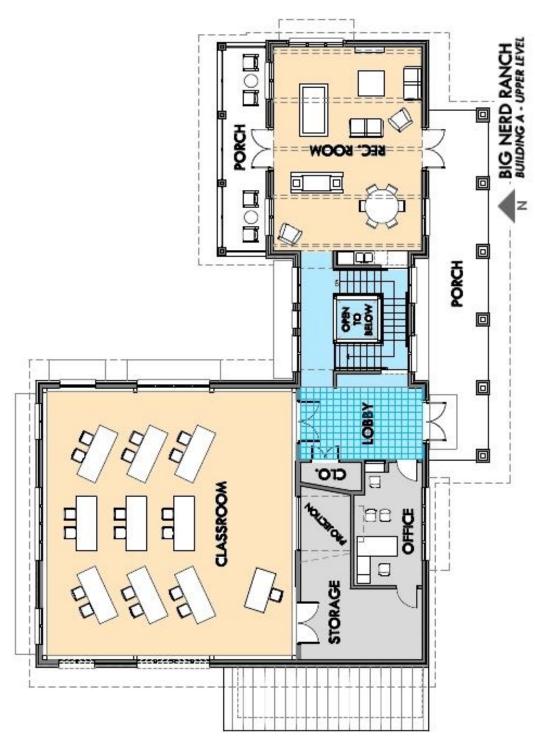
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# APPENDIX A

# Big Nerd Ranch - Building 'A' Ground Floor Plan



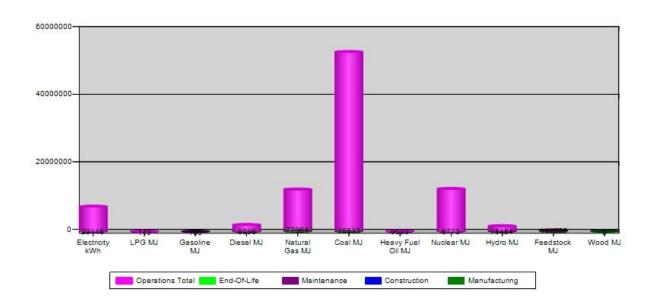


Big Nerd Ranch - Building 'A' First Floor Plan

# **Inventory Analysis Results**

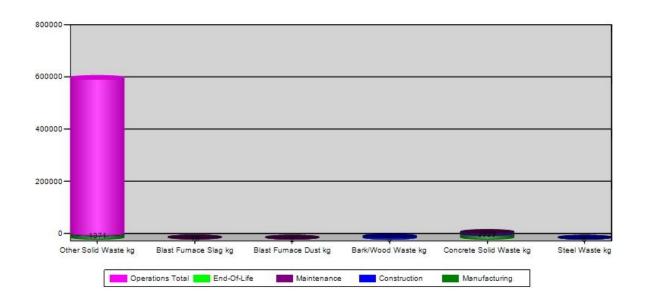
# Energy Consumption Absolute Value Chart By Life Cycle Stages

Project BNR



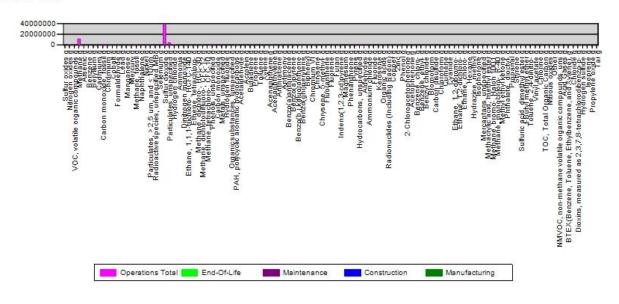
# Land Emissions Absolute Value Chart By Life Cycle Stages

Project BNR



# Emissions To Air Absolute Value Chart By Life Cycle Stages

Project BNR



# Emissions To Water Absolute Value Chart By Life Cycle Stages

Project BNR

